

The Japanese Ministry of Land, Infrastructure,  
Transport and Tourism  
Technical Criteria for River Works: Practical Guide  
for  
Planning

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## **Introduction**

With the severe economic climate coupled with a background of serious concern over rapid aging and low birthrates, demands for administrative services are changing, and more effective and efficient development and improvement of social infrastructure are now called for. Technological development has often contributed to the solution of these social problems, and the mission of socially supportive technologies will never decline in importance.

As part of the 1997 revision of the River Law, river improvement and conservation were added as objectives, and this necessitated the creation of a basic policy for river improvement and river improvement plans. In addition, the same year saw the enactment of the Environmental Impact Assessment Law, and in 1999 the River Council released a report on amendments to the Coast Act, construction of a healthy hydrologic cycle, and comprehensive sediment management.

In 2005, 7 years after the initial revision, the technical issues needed to properly address these new social demands for river administration were documented as the revised "Technical Criteria for River Works: Planning". To facilitate smooth application of the criteria, this practical guide has been published to provide descriptions of related concepts and case examples.

The major revisions include the following:

- (1) Documentation of the concept of environmental improvement and conservation and issues for investigation
- (2) Documentation of harmonious improvement and management of disaster prevention, utilization, and the environment
- (3) Specification of policies on the "sharing of information and cooperation among river basins" and "monitoring" for the more adequate improvement and management of rivers as natural and public objects
- (4) Specification of the role of the basic policy for river improvement and river improvement plans
- (5) Separation of the basic considerations of individual plans from facility rearrangement plans to form a Basic Planning section

These technical criteria and descriptions, if used as a matter of form, could impede the development of new technologies. This guide is merely a compilation of the ideas that are deemed appropriate at the present point. Technologies should be continuously replaced with more useful ones; therefore, any efforts aimed at developing new and original ideas and technologies while at the same time taking advantage of the policy criteria but not resolutely sticking to the criteria should be valued.

It is expected that this guide will be kept at hand by many river engineers; that the meanings of the technical requirements described here will be fully understood; and that the guide will be used in a wide range of fields and will prove to be a foundation for further technological development.

Finally, I express my deepest appreciation to all those who were involved in the revision work.

Masato Seiji  
Director General, River Bureau  
Ministry of Land, Infrastructure and Transport

July 2005

## **About this Revision of the “Technical Criteria for River Works: Planning”**

River Information Office, River Planning Division, River Bureau, Ministry of Land, Infrastructure and Transport

The River Bureau of the Japanese Ministry of Land, Infrastructure and Transport has revised the Planning volume of "Technical Criteria for River Works" (hereinafter referred to as "the Criteria"), which has been used as a guideline for the technical aspects of river administration. Notification of the revision was sent from the Director-General, River Bureau, to Regional Development Bureaus and prefectures on 30 March 2004.

Whereas the last revision, which was carried out 7 years ago in 1997, involved mainly minor changes in connection with the shift to the International System of Units, the revision of the Planning volume of the Criteria, this time with major changes in its content, was the first substantial revision since 1976—an interval of 28 years. The revised edition supports the changes in statutory plans in connection with the revision of the River Law and the Seacoast Law, and it introduces new concepts such as "construction of a healthy hydrological cycle system" and "comprehensive sediment management", which were presented at the Council for Social Infrastructure. Also, this edition contains full descriptions of environmental improvement and conservation and the implementation of proper monitoring, the importance of which has been pointed out in recent years.

The order of the contents in the Planning volume has been extensively rearranged to form two major parts: a Basic Planning part and a Facility Arrangement Planning part.

### **About the Criteria**

The purpose of the Criteria, which were established in 1958, is to compile technical knowledge in the areas of river, erosion, and sediment control and the management of landslides, steep areas, avalanches, and seacoasts (hereinafter referred to as "rivers, etc."); systematize this knowledge; and clarify the current technical standards, thereby constructing a foundation for future development. In addition to the revised Planning volume, the Criteria, which were released by Director-General of the River Bureau, include an Investigation volume and a Design volume, as well as the Maintenance volume, which is currently being experimentally reviewed.

It should be noted that the Criteria are general standards for technical issues related to rivers, etc. In cases where there is a more appropriate method to achieve the intended goal by the implementation of an actual measure, the existence of the Criteria should in no way discourage the adoption of such a method.

### **About the revision of the Planning volume of the “Technical Criteria for River Works”**

#### **(1) Background of the revision**

In preparation for the revision of the Planning volume of the Criteria, a review aimed at an across-the-board revision was started immediately after the last revision in 1997, and a revision draft was prepared mainly by the River Bureau of the Ministry of Land, Infrastructure and Transport. Since the Criteria cover a wide range of areas and include highly technical elements, in the preparation of the original draft not only a review by related sections of the River Bureau but also a detailed review from both the engineering and administrative points of view was conducted. These reviews were also based on the opinions of staff of Regional Development Bureaus and on knowledge supplied by the National Institute for Land and Infrastructure Management.

In addition, to collect extensive opinions from people with knowledge and experience and to ensure that the

revision would reflect these, the Study Committee on Technical Criteria for River and Coastal Works (see below) was organized in 2002, and it has provided active discussion and valuable opinions.

#### Study Committee on Technical Criteria for River and Coastal Works: Member List

Chairperson: Shoji Fukuoka Professor, Graduate School, Hiroshima University  
Masahiko Isobe, Professor, Graduate School, University of Tokyo  
Takehiko Ota, Professor, Tokyo University of Agriculture  
Tetsuya Kusuda, Professor, Graduate School, Kyushu University  
Shinji Sato, Professor, Graduate School, University of Tokyo  
Kaoru Takara, Disaster Prevention Research Institute, Kyoto University  
Kazumi Tanida, Professor, Osaka Prefecture University  
Tetsuro Tsujimoto, Professor, Graduate School, Nagoya University  
Hiroyuki Nakamura, Professor, Graduate School, Tokyo University of Agriculture and Technology  
Futoshi Nakamura, Professor, Graduate School, Hokkaido University  
Takahisa Mizuyama, Professor, Graduate School, Kyoto University  
Kazuo Murata, Japan Civil Engineering Consultants Association

#### (2) Change in organization

To allow users to quickly access an item, the items were rearranged so that the Planning volume, which used to be in one part, now has two parts: Basic Planning and Planning for Facility Arrangement, etc.

The Basic Planning part includes descriptions of statutory plans associated with projects operated by river administrators, such as river improvement plans and the basic doctrine necessary for systematic water and sediment management.

The Facility Arrangement part includes descriptions of river channel plans as well as plans associated with the actual arrangement of facilities. Both parts are divided into chapters based on the areas of river, erosion, and sediment control, and seacoast an independent chapter, which includes items commonly associated with these areas, provides topics such as information sharing, cooperation with the river basin, and the importance of monitoring, thus contributing to the integration of doctrine throughout all areas.

Descriptions of legal stipulations, such as the Cabinet Order Concerning Structural Standards for River Management Facilities, etc., have been excluded from these Criteria.

#### (3) Points of revision

Please refer to "Points of Revision" at the end of the book.

### Changes in organization

| Old edition of the Volume of Planning   | Related areas  |
|---|--|
| Chapter 1 Comprehensive River Planning<br>Chapter 2 Basic Planning for Flood Prevention<br>Chapter 3 Basic Planning for Low Water<br>Chapter 4 Basic Planning for Erosion and Sediment Control<br>Chapter 5 Basic Planning for Environmental Conservation<br>Chapter 6 Coastal Basic Planning<br>Chapter 7 Basic Planning for Landslide Prevention<br>Chapter 8 Basic Planning for Steep Slope Failure Prevention<br>Chapter 9 Basic Planning for Avalanche Control<br>Chapter 10 River Channel and River Structure Planning<br>Chapter 11 Multipurpose Facility Planning<br>Chapter 12 Dam Facility Planning<br>Chapter 13 Erosion Control Facility Planning<br>Chapter 14 Landslide Prevention Facility Planning<br>Chapter 15 Steep Slope Failure Prevention Facility Planning<br>Chapter 16 Avalanche Control Facility Planning | <p>The diagram shows vertical bars of varying lengths and positions corresponding to the chapters. The labels 'River', 'Sabon', 'General', and 'Coast' are placed to the right of the bars. 'River' bars are at the top left and middle left. 'Sabon' bars are in the middle. 'General' bars are on the right. 'Coast' bars are in the middle right.</p> |



| New edition of the Volume of Planning   | Related areas   |
|---|---|
| [Basic Planning]<br>Chapter 1 Basic Policy<br>Chapter 2 River Planning<br>Chapter 3 Erosion and Sediment Control Planning<br>(Countermeasures for Sediment Disasters, etc.)<br>Chapter 4 Seacoast Preservation Planning<br>Chapter 5 Information Sharing and Cooperation with the River<br>Basin<br>Chapter 6 Monitoring  | <p>The diagram shows vertical bars for 'River', 'Sabon', 'General', and 'Coast'. 'River' is on the left, 'Sabon' is in the middle, 'General' is on the right, and 'Coast' is in the middle right.</p> |
| [Planning for Facility Arrangement, etc]<br>Chapter 1 Improvement and Conservation of the River<br>Environment, etc., and Comprehensive Sediment<br>Management<br>Chapter 2 Planning the Arrangement of River Facilities<br>Chapter 3 Planning the Arrangement of Erosion and Sediment<br>Control Facilities, etc.<br>Chapter 4 Planning Seacoast Preservation Facilities<br>Chapter 5 Planning the Arrangement of Information Facilities | <p>The diagram shows vertical bars for 'River', 'Sabon', 'Coast', and 'General'. 'River' is on the left, 'Sabon' is in the middle, 'Coast' is in the middle right, and 'General' is on the right.</p> |

In response to economic and social trends and the progress and maturation of technological development, the technologies that need to be applied as standards are changing from day to day. Since the establishment of any technical criteria in ways that impede the introduction of new technologies may hamper future technological development, the Criteria are basically descriptions of the technical items and standards that should be complied with. Further social changes and fast-evolving technological innovation call for new criteria, so constant review will be indispensable to keeping them useful. In the future, we intend to follow up the utilization of the Criteria and undertake a revision of the Research and Design volumes that will reflect the purpose of the revision of the Planning volume.

Although the word "Proposal" was appended to the title of the Criteria in the old editions, because many years have passed since the establishment of the original Criteria we decided not to append "Proposal" to the title from this revised edition onward.

Finally, we express our deepest gratitude to all parties concerned who participated in the preparation of this edition, and to the Japan Institute of Construction Engineering for its cooperation in the coordination work.

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## **General Specifications**

## **General Specifications**

### **1. Purpose of the Criteria**

The “Technical Criteria for River Works” (hereinafter referred to as "the Criteria") stipulate the technical items necessary for the implementation of research, planning, design, and maintenance of rivers, erosion and sediment control, and the management of landslides, steep areas, avalanches, and seacoasts (hereinafter referred to as "rivers, etc."). The Criteria are aimed at the proper management of land and water, which are important components of the national estate, with a particular focus on river basins, and they aim to systematize the technologies associated with rivers, etc., and to thereby contribute to the maintenance and improvement of technological standards.

### **2. Content of the Criteria**

If technical standards have been legally stipulated, then the implementation of research, planning, designing, and maintenance needs to comply with these legal standards. The Criteria describe the standards for technical items associated with rivers, etc. as supplementary to these standards. Therefore, if a more appropriate method exists for the achievement of the original purpose when a certain measure is being implemented, then the Criteria should in no way prevent the adoption of such a method.

The Criteria consist of four volumes: Research, Planning, Design, and Maintenance, and the content of the Criteria will be revised in response to the future improvement of technical standards, etc.

### **3. Application of the Criteria**

The Criteria, as a rule, apply to all rivers, etc., but may not apply to rivers for which application of the Criteria is not rational. An example would be a section of a river where disaster-restoration works, which are urgent and/or need to take into consideration consistency with the upper and lower streams, are to be carried out.

## **Basic Planning**

## General Description

With the nation's peculiar climate condition, severe geomorphologic features, and social condition under which narrow alluvial plains are densely inhabited and the land there is densely used, proper land management is indispensable to support safe and comfortable daily lives of the citizens as well as advanced economic and social activities while conserving a rich natural environment. Also with arising many water-related problems caused by global warming and those concerning the safety of water including dioxin pollution, it is anticipated that land management associated water will grow to be increasingly important and complicated.

In order to properly implement land management, it is important to comprehensively manage land and water, which are important components of the national land, from the view points of disaster prevention, proper use of resources, and environmental conservation. For smooth, efficient, and comprehensive management of land and water, it is necessary to share with the citizens issues and goals of management of water, sediment, etc. and to implement hardware-based measures such as the development and improvement of erosion and flood control and seacoast preservation facilities which are organically linked with software-based measures such as land utilization guide and damage mitigation countermeasures.

Moreover, these measures need to be implemented within a system in which research of the present condition, planning of measures, implementation of measures, monitoring and assessment, and feedback are conducted in this order.

## Explanation

Due to its natural conditions such as its geographical, morphological, geological, and climatic features, Japan has a land which is vulnerable to disasters and draughts caused by typhoons, rain and snow storms, earthquakes, and volcanic eruptions, and it has suffered a series of major disasters caused by floods, landslides, and volcanic eruptions in recent years. Also, because the nation's population and assets are densely concentrated in certain areas, a major disaster in such areas may result in extensive, catastrophic damages. These natural and social conditions also make it difficult to implement the use of resources such as land and water and the development and improvement of social infrastructures such as transport networks. Moreover, in years to come during the 21st century, occurrences of abnormal rain storms and unexpected disasters associated with drastic climate changes caused by global warming, etc., further progression of desertification, occurrences of acid rain, reduction of water usable as resources, frequent occurrences of droughts, and other problems caused by expected changes in the water environment which will give adverse effects to the desired establishment of a healthy hydrological cycle system are anticipated. These problems have been added to by the problem of the contamination of water by substances such as dioxin being diffused through water. The following is an overview on the nation's major natural and social conditions.

### 1. Natural conditions

With steep backbone mountain ranges running through the central part of the long and narrow archipelago of Japan, most of its rivers are also steep. Also, since it is located in the Asian monsoon region, Japan is characterized by the concentration of rainfalls during the rainy season and the typhoon season. This causes water to flow downstream for short periods of time when a flood occurs, and the peak flow volume becomes extremely

large compared to the normal flow volume while a long duration of no rainfall causes the amount of water to drastically decrease easily resulting in a water shortage. With a wide extension of the vulnerable geology which has been produced as a result of crustal deformation caused by many tectonic lines such as the Median Tectonic Line combined with the rainfall and geographical characteristics, landslide disasters tend to occur easily. Thus, in Japan floods and landslide disasters happen very easily, and its geological features make it difficult to stably utilize rainfalls as a source of water.

At the time of the Jomon Transgression approximately 6,000 years ago, the majority of Japan's plains where many big cities such as Tokyo, Osaka, and Nagoya are now located presumably used to have their coastlines much toward inland from the present ones. Most of these plains lie on a young weak foundation called alluvium which cumulated within the past 10,000 years. Therefore, compared with the urban areas of European countries, which developed on the strong diluvium formed approximately 2 million years ago, solid safety measures for buildings and other structures are very important in Japan's major cities. Moreover, in the urban areas of our country, there are many cases that the water levels of the river at the time of a flood are higher than the surrounding elevations. In addition, excessive pumping of groundwater has invited land subsidence, and as a result, the downstream areas near the river mouths spread at sea level, and they are endangered by probable disasters caused by not only floods but also high tides.

In the 21st century, due to global warming and resulting changes in climatic conditions, the global water environment is anticipated to change resulting in a number of issues to arise from the viewpoint of establishment of a healthy hydrological cycle system.

Furthermore, despite its land area counting only about 0.3% of the global land area, Japan is one of the most earthquake-ridden countries, experiencing approximately 20% of the earthquakes larger than magnitude 8.0 which occurred in the world between 1900 and 1994 in or around its land area. Also, Japan is one of the premier volcanic countries where about 10% of all the active volcanoes of the planet exist.

## **2. Social conditions**

Along with the progression of urbanization, the population and assets of our nation have been highly densely concentrated in certain areas. For example, approximately 90% of the entire population dwell in the city planning areas which count approximately 25% of the national land area, and approximately 50% of the entire population and approximately 75% of the nation's total assets are concentrated within the flood susceptible areas of the alluvial plains which occupy about 10% of the national land area. For this reason, once a disaster occurs, it may result in enormous damages. While its water resources have been used from old ages as agricultural water as well as for power generation, industrial use, and water supply, wastewater is returned to rivers after processing in the sewerage system, etc. and efficiently reutilized for various purposes further down the rivers. Recent years have also seen the rise of water safety problems such as diffusion of dioxin and other toxic substances through water.

## **3. Natural environment**

The major factor which allows diversified organism species to live and grow in our country is its multiphase natural environment featured by the particular climate zones ranging from the subtropical to subarctic zones as

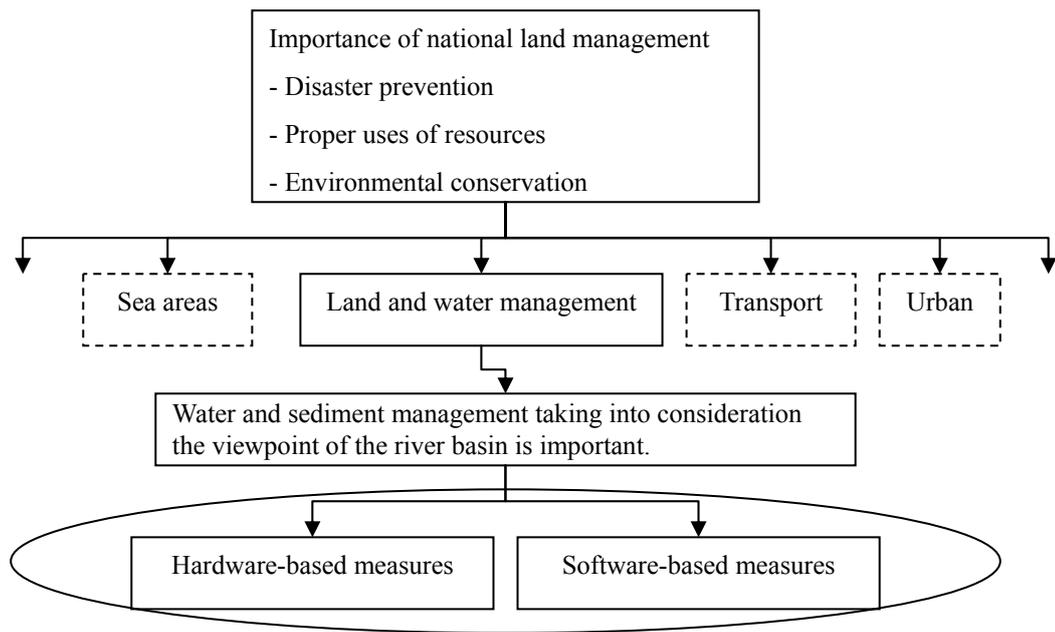
well as many uphills and downhills of widely different elevations. In addition, rivers not only have a flood control function and is used for various beneficial purposes, but they also function as places where an affluent and healthy living and regional culture that take advantage of the rich natural environment and waterfront spaces are fostered.

In order to achieve optimized conservation and proper use of the national land with such natural and social conditions, it is necessary to appropriately manage its components including the land, water, sea areas, atmosphere, transport, and cities, etc. while also considering the effects that are given between them. Among these components, it is particularly important to comprehensively manage the land and water from the viewpoints of disaster prevention, proper use of resources, and environmental conservation. To facilitate its achievement, comprehensive water and sediment management measures that combine hardware-based measures that require the development and improvement of erosion and flood control and coastal preservation facilities, and software-based measures that require efficient utilization of existing facilities and land utilization guide, as well as natural environment conservation. In addition, it is also important to provide information to and share tasks with the citizens, thereby supporting them start thinking about water-related issues and act on them on their own initiative.

National land management should be implemented within the framework of a system which ranges from planning of measures based on the present condition, implementation of measures, monitoring and assessment, and feedback to be reflected on future planning in this order. This is because these processes are closely related with each other, and the natural and social conditions are constantly changing.

Chapters 1 through 6 of the Basic Planning part are based on the following policies of description.

1. The basic policy of water and sediment management on which plans in Chapter 1 are based is described before descriptions of individual plans.
2. Chapters 2 through 4 describe basic concepts on which various statutory plans are based.
3. Chapters 5 and 6 describe those items included in the policy description in Chapter 1 which could not be stipulated because of existing statutory plans, the necessity for cooperation with the river basin, and the necessity and important points of the system which encompasses monitoring and assessment, as well as feedback to them.



# Chapter 1 Basic Policy

## Section 1 General Information

Water and sediment management for the purposes of disaster prevention, appropriate resource use, and environmental conservation involves the comprehensive management of land and water resources, important components of the national estate.

Water and sediment management needs to be implemented systematically and from a long-term point of view. It needs to aim at securing a domestic balance as well as overall balanced river systems for disaster prevention and mitigation, appropriate use of rivers, maintenance of normal water flows, improvement and conservation of riverine environments, maintenance of a healthy hydrological cycle, and comprehensive sediment management. At the same time, regional characteristics, project costs, including life cycle costs, and the effects and influences of all individual management projects, have to be considered.

To comprehensively implement such management programs, various plans must be established. They include basic policies for river improvement and river improvement plans, erosion and sediment control master plans, landslide prevention plans, and plans for seacoast preservation. Such plans are aimed at proper water and sediment management. They are established at the river basin level and should be consistent with each other. Policies based on these plans should be organically linked and implemented.

Management of land including water and sediment can achieve optimal effect if the people who live and work there recognize its tasks and importance, and positively tackle the tasks. Therefore, it is important to share information and collaborate with related administrations, residents, enterprises, or organizations.

### Explanations

River systems are an essential part of the hydrological cycle. They carry precipitation to the sea, transport eroded soil and sand, and provide habitat for flora and fauna. The relationship between rivers as natural objects and humanity originated in the use of rivers by people and in the natural processes presented by rivers to people in various forms. Since rivers faithfully reflect the status of their basins, human activities in the rivers and their basins change the rivers and the relationship between the rivers and the people.

For instance, urbanization reduces the water retention and flow retardation functions increasing flood runoff and the occurrence of urban flood disasters. In turn, these events lead to loss of the alimentation function [replenishment] of ground water, depletion of spring water, and reduced river flow. To address these problems, comprehensive flood-control projects have been introduced in some river basins to promote the proper use of land through such measures as: the installation of rainwater storages at the time of new development, enhancing water retention in the river basins, through forest and paddy fields; maintenance and securing of flow-retardation functions; publication of inundation records, and recommendations for dwelling styles that take the possibility of flood damage into consideration[development control].

As lifestyles and industry have developed, pollutants have begun to be discharged into rivers at levels beyond the purification capacity of river systems, resulting in water quality deterioration. The original features of rivers have been lost, and riverine environments have deteriorated as a result of reductions in river volume caused by the rationalization of agricultural water intake and the formation of river bypasses for channel-type power generation,

and occurrence of recession areas. In some regions, changes to the hydrological cycle have created serious negative impacts, such as ground subsidence from overextraction of ground water and the lowering of water tables in urban areas. In addition, as energy-intensive urban activities have led to the increased heat-island phenomena, attention has turned on the thermal amelioration effects of rivers and riparian areas in urban areas. Consequently, because proper regulation of the effects of rivers on people and efficient use of rivers by people are interdependent, it is vital to optimize the status and expected functions of rivers and their basins from a general viewpoint that is based on natural and social conditions.

Here, the ideal situation of a river and its basin determines their desirable status and that of the relationship between, as compared with their current natural and social states. The expected functions of the river and its basin determine what can be expected from them when their status is optimized.

In light of this point, in the implementation of water management it is important to promote the necessary policies and projects as part of integral and comprehensive management of the volume and water quality of the river. The aim should be to establish a healthy water cycle system that takes full advantage of the various functions that the river system originally had. To this end, plans that aim to establish a healthy water cycle system and take into consideration not only the river volume but also the water intake and discharge system, including the networks of channels in the river basin and the ground water, are called for.

In contrast, in mountainous areas, on plains, in coastal areas, and in estuaries, various problems associated with soil and sand movement are apparent, including degradation, estuary closure, and shoreline retreat. To solve these problems, improve the stability of channels, secure flood control, manage rivers, improve the functionality of coastal facilities, and conserve the environment, it is necessary to promote comprehensive sediment management by identifying not only the water system but also coastal areas as part of the entire sediment transport system in terms of quantity, quality, and time.

Projects for the proper management of land and water need to be implemented systematically, taking into consideration the project cost, including the life cycle cost and the effects and influences of the project.

Therefore, assessments of the effects of a project need to take into consideration its life cycle, the benefit–cost ratio, and any peripheral influences (external economy, etc.).

In order to properly manage water and sediments, in river planning, including planning for flood control, water utilization, and environmental improvement and conservation (Chapter 2); erosion control planning, including countermeasures for sediment disasters and planning for environmental improvement and conservation (Chapter 3); and seacoast preservation planning, including coastal protection and environmental improvement, conservation, and utilization (Chapter 4), it is necessary to take a whole river basin perspective and adjust these plans to secure a balance in the entire river system. This shift helps in disaster prevention and mitigation management, proper use of rivers, maintenance of normal water flows, improvement and conservation of riverine environments, and comprehensive sediment management to realize the above goals and construct a healthy water cycle. In focusing on sediment movement it is necessary to consider not only the river system, but the entire sediment system, including seacoast areas.

When we examine these issues, we need to examine plans involving boundary areas between erosion control and river, and those between rivers and seacoasts, but since the phenomena occurring around these areas are complicated and there is much that is still unexplained, studies need to continue in future.

Also, we need to conduct studies to develop a comprehensive plan for basic policies, including that for river basins.

In developing the plan it is important to achieve accountability, and it is necessary to consider consistency with other plans and the ensuring of transparency. Also, the people need to take an interest in water-related matters, develop solutions, and then act to support these issues. We therefore need to gather and improve all water-related information and provide this information to the people so that they can share and utilize it.

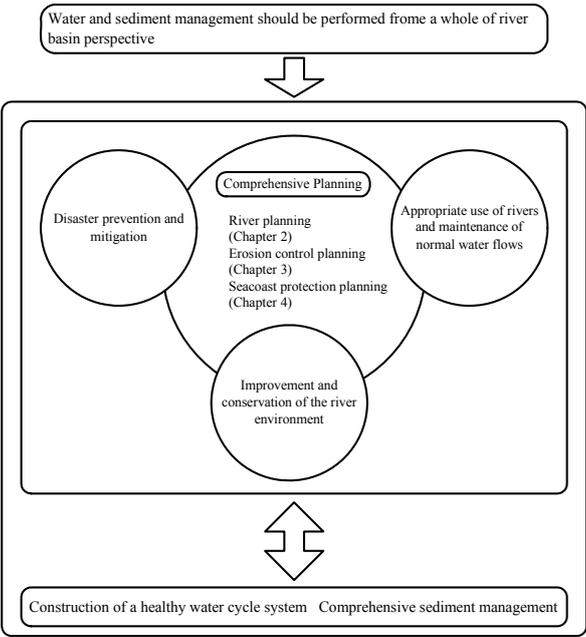


Fig. 1-1 Comprehensive planning

## Section 2 Disaster Prevention and Mitigation

### 2.1 Overview

Disaster countermeasures need to be implemented systematically and from a long-term viewpoint to ensure safety and security in a fragile land, to develop a sustainable society, and to realize the efficient use of land and environmental conservation.

Planning of disaster countermeasures has to not just attempt to prevent disasters from external forces of a certain magnitude, but also to minimize damage if such forces are exceeded.

### Explanations

It takes a long time for disaster countermeasures to actually start functioning, and although in some cases they bring instantaneous effects when they are implemented, in other cases they do not have effects until a certain degree of improvement occurs. Therefore, the systematic implementation of disaster countermeasures while constantly adopting a long-term perspective makes the countermeasures more efficient and effective.

In planning countermeasures to possible disasters, consideration of damage prevention against a certain magnitude of external force in terms of the capacity of future and present scales of planning and the present facilities is not enough; it is also important to minimize the damage by implementing both hard (structural) and soft (non-structural) measures jointly with the river basin if an excessive external force should occur.

This section describes countermeasures to flood, sediment, and earthquake disasters.

### 2.2 Countermeasures to flood disasters

A flood disaster can be defined as damage to human lives, properties, and social and economic activities through floods or tidal waves.

Planning of countermeasures to possible flood disasters should be primarily based on the prevention or mitigation of flood disasters against a certain magnitude of external force such as rainfall, and it should take into consideration the minimization of damage if a phenomenon that exceeds a certain magnitude should occur. Also, it is important for countermeasures to flood disasters to take into consideration the characteristics of the river and flood, the form of the flood disaster, and the situation on the floodplain, and to aim to achieve a proper balance in the entire river system, including a balance between the upper and lower reaches and the main stream and branches.

### Explanations

Since countermeasures to flood disasters need to address problems caused by natural phenomena, and there are social, financial, and technical constraints, it is impossible to totally prevent flood disasters caused by a flood or tidal wave of any magnitude. Therefore, countermeasures to flood disasters should be planned on the basis of protection against a flood or tidal wave of a certain magnitude under various constraints.

Although flood disasters caused by phenomena exceeding a certain magnitude occur rarely, if they do occur the resulting damage can be anticipated to be enormous. Consequently, the implementation of countermeasures to

flood disasters when a phenomenon greater than a certain magnitude occurs should include software-based measures aimed to mitigate the flood disaster as much as possible.

In addition, it is necessary to plan countermeasures to flood disaster that are properly balanced as part of the entire river system. Because, in general, a flood in the lower reaches of a river or in the main stream brings a greater scale of disaster than one in the upper reaches of the river or in a branch, it is important to maintain a balance between the upper and lower reaches and between the main stream and branches in the planning of countermeasures and the improvement of facilities. Planning of countermeasures to flood disasters needs to take into consideration various factors, including the past flood disaster record, the characteristics of the flood, the scale of damage potential on the floodplain, the form of land use, and the relationship between the floodwater level and the ground level in landslide-prone areas. Also, in cases where inland flooding occurs frequently but there is a high level of security against foreshore water flooding, or the level of security against inland is high but there is a low level of security against foreshore flooding, there is not a proper balance between protection against floods and countermeasures to landside water. In cities and their surrounding areas, changes in runoff into rivers may be caused by urbanization and residential land development, and high concentrations of population and assets within the flood area may exacerbate flood disasters. In such cases, it is necessary to make efforts over the entire basin toward not only drainage and river improvement but also runoff control by controlling land use and by building water storage and infiltration facilities. In the broad sense of the term, this also constitutes the securing of a balance between the river basin and the river.

### **2.3 Countermeasures to sediment disasters**

Sediment disasters can be defined as damage to human lives, properties, and public facilities through sediment movements such as landslides and erosion of mountainsides or slope surfaces, sediment flows, earthflows, or landslides.

Countermeasures to sediment disasters should be implemented efficiently and effectively and based on knowledge of the mechanisms of sediment movement and disaster occurrence. They should appropriately combine structural measures such as the building of facilities and soft measures such as the establishment of warning and evacuation systems. These should also take into consideration as much as possible, and as required, the balance of sediment movement over the entire sediment transport system, including in seacoast areas.

Furthermore, the implementation of countermeasures to sediment disasters needs to be aimed at not only preventing disasters on a projected scale but also minimizing the damage if a disaster of excessive scale should occur.

### **Explanations**

Sediment movement takes place in the following three major forms:

- Failure, erosion, and landslide on a slope such as a mountainside or cliff due to the action of rain and ground water (slip erosion and landslide)
- Flood caused by the accumulation of aggregates of water and sediment, which are transported downstream in a steep stream (mass transport)

- Downstream transport of sediments created by riverbed and riverside erosion (individual transport).

Sediment disasters occur as a result of one of these three forms of sediment transport.

Slope failure and earthfalls usually occur on steep mountainsides or slopes and directly damage houses and arable land. Since it is not clear in many cases how landslide occurrence is related to rainfall, we need to develop a proper understanding of the geography and geology of areas where landslides may occur.

Other sediment disasters include those caused by snowslides in the presence of snow cover and drift wood, and lava or pyroclastic flows caused by volcanic eruptions.

Because of the complicated nature of the occurrence mechanisms of sediment disasters, the realization of countermeasures takes a long time, and in implementing such plans, it is necessary to ensure that early effects can be obtained, not only against phenomena on a projected scale but also against more frequently occurring sediment disasters on a smaller scale.

In addition, countermeasures to sediment disasters need to be implemented effectively, not just with hardware-based measures such as construction works, but also with a combination of software-based measures such as the establishment of warning and evacuation systems and the restriction of land use.

## 2.4 Countermeasures to earthquake disasters

The purpose of a countermeasure to an earthquake disaster is to protect river administration facilities, erosion control facilities, and coastal protection facilities from disasters caused by seismic motion; to prevent and mitigate resulting secondary disasters such as flood and sediment disasters; and to prevent and mitigate disasters caused by tsunami.

For protection against seismic motion the necessary seismic performance should be determined on the basis of the characteristics of the river and the erosion control and coastal facilities. For protection against tsunami, countermeasures that include coastal protection should be implemented.

### Explanations

Countermeasures to earthquake disasters include the securing of seismic performance against seismic motion and disaster prevention measures against tsunami. The following should be taken into consideration in the planning and implementation of countermeasures to seismic motion disasters.

1. On the basis of the seismic characteristics of the region and river of interest, erosion control and coastal facilities and their ability to withstand earthquakes should be secured. Design methods are described in “Technical Criteria for River Works (Draft) Design”.
2. For important facilities, it is important to adopt a design that takes into consideration the long recurrence interval of disastrous earthquakes and to implement soft measures such as a regional disaster prevention plan.

The following should be taken into consideration in the planning and implementation of countermeasures to tsunami disasters:

1. In coastal areas where the possibility of earthquake occurrence has been pointed out but no major earthquakes have occurred for a long time, it is necessary to consider countermeasures to an earthquake and

tsunami of the maximum scale predictable from existing knowledge.

2. Countermeasures to tsunami disasters need to be comprehensive, combining the improvement of disaster-prevention facilities, city and town planning from the viewpoint of tsunami disaster prevention, and the establishment and improvement of disaster-prevention systems. Countermeasures for coastal areas should take into consideration the fact that disasters can take diverse forms, depending on the geography, use of coastal areas, and land uses.

### **Section 3 Proper Use of Rivers, Maintenance of Normal Water Flows, and Improvement and Conservation of Riverine Environments.**

The purpose of proper use of rivers, maintenance of normal water flows, and improvement and conservation of riverine environments is to ensure a safe and secure living environment, sustainable development of society, efficient use of national land, and environmental conservation. To this end, we need to achieve the following for not only rivers but also their basins:

1. Proper use of rivers and maintenance of normal water flows
2. Conservation and restoration of a good living and growing environment for plants and animals
3. Maintenance and formation of an aesthetically pleasing landscape
4. Maintenance and formation of places for activities that bring humans into contact with rivers
5. Conservation of good water quality.

#### **Explanations**

The purpose of proper use of rivers, maintenance of normal water flows, and improvement and conservation of riverine environments is to ensure a safe and secure living environment, sustainable development of society, efficient use of national lands, and environmental conservation. These goals are positioned as important elements of national land management, as described in the Section 1 General Information.

Here, ‘river environment etc.’ includes riverine, coastal, and mountain stream environments.

To achieve proper use of rivers, maintenance of normal water flows, and improvement and conservation of the river environment, the following goals need to be realized at the same time as efforts are made within the river basins:

1. Proper use of rivers and maintenance of normal water flows

Proper use of rivers and maintenance of normal water flows are aimed at maintaining the original functions of the running water in rivers. These functions comprehensively include the occupation of running water; navigation; fisheries; tourism; conservation of clear running water; prevention of salt damage; prevention of estuary closure; protection of river administration facilities; maintenance of ground water levels, landscapes, and lives and the growing environments of plants and animals; and procurement of places for activities that bring humans into contact with rivers.

2. Conservation and restoration of a good living and growing environment for plants and animals

[The aim of ...]Conservation and restoration of a good living and growing environment for plants and animals aims to conserve and restore biological communities and living and growing environments that are in harmony with the river, and to maintain these over many years to come.

3. Maintenance and formation of an aesthetically pleasing landscape [Preservation of Landscape values]

Maintenance and formation of an aesthetically pleasing landscape means the maintenance and formation of a water-based landscape in which rivers are naturally present; this landscape should be based on the natural river landscape and the historical and cultural background of the region.

4. Maintenance and formation of places for activities that bring humans into contact with rivers [Enhancing interaction between humans and rivers]

Maintenance and formation of places for activities that bring humans into contact with rivers enables humans to contact nature in a symbiotic relationship without having a major influence on nature.

5. Conservation of [Protecting] water quality

Conservation of good water quality encompasses the securing of a level of water quality necessary for the proper use of rivers, the maintenance of normal water flows, and the conservation of river environments.

## Section 4 Comprehensive Sediment Management

To achieve disaster prevention and mitigation, proper use of rivers, maintenance of normal water flows, and improvement and conservation of the river environment it is important to promote comprehensive sediment management.

Comprehensive sediment management is implemented to address the problems associated with sediment movement that are occurring in fields in mountainous and piedmont areas, in alluvial fans, on plains, and in estuarine and coastal areas. If individual countermeasures against the problems faced by erosion control, dams, rivers, and seacoasts cannot solve these problems, then comprehensive sediment management should not be limited to individual solutions for these fields. Instead, it should identify sediment movement as part of the concept of sediment transport and should provide the necessary countermeasures, such as sediment-generation control and adjustment of sediment runoff, as part of the entire sediment transport system in order to solve these problems.

### Explanations

Since Japan is located in the circum-Pacific orogenic zone, has a fragile geology, and is covered with precipitous terrain, the environment is marked by the high rates of erosion associated with steep and mountainous terrain. Sediments produced in the mountains and plateaus are carried downstream by rivers, to form alluvial fans and floodplains, and/or are transported to the coast where they enter the coastal sand drift system to form coastal sedimentary topographies. In Japan, space used as a primary source of people's livelihoods comes from the land formed by sediments transported by rivers.

Some forms of sediment transportation impair the security of our lives; degrade the functionality of flood-control and water-utilization facilities; and reduce the functionality of rivers, mountain streams, and seacoasts as natural environments for plants and animals to live and grow in and as places for activities that bring humans into contact with nature.

In particular, problems associated with sediment transport that are occurring in different areas of river basins, including mountains and piedmonts, alluvial fans, plains, estuaries, and coasts, may not be solved simply by implementing countermeasures to the problem in individual areas such as erosion control facilities, dams, rivers, and coasts.

In such cases, we must not limit the measures to each individual area; instead, we must identify the entire process of sediment transport from the mountain and piedmont to the estuary and coast through the concept of a sediment transport system. We need to investigate and examine the entire system, comprehensively understand sediment transportation, and plan and implement countermeasures.

On the basis of the characteristics of each river and seacoast, comprehensive sediment control as part of national land management should aim to properly control sediment production and adjust sediment runoff in the entire sedimentary system, which has both temporal and spatial expansion. Thereby, it will be able to prevent disasters caused by sediment transportation, conserve the ecological system and landscapes of rivers and seacoasts, utilize open spaces along the rivers and seacoasts, and realize a rich and vital society.

Since it is important in the implementation of comprehensive sediment control to understand the characteristics

of sediment transportation in the entire sedimentary system and improve the accuracy of research and prediction methods, sediment transportation over the entire sedimentary system should be monitored.

# Chapter 2 River Planning

## Section 1 The Basics of River Planning

### 1.1 General Overview

The aim of river planning should be to achieve a harmonious balance between flood control, water utilization, and environmental function and should also consider comprehensive sediment management.

River planning should also consider the quality of the various data used, such as hydrological data, including rainfall and flow rate, and environmental data.

### Explanation

This chapter covers the basic elements of planning for flood prevention, appropriate use of rivers, maintenance of the designated functions of river water, and improvement and conservation of the river environment. These issues should be considered from the viewpoints of flood control, water utilization, and the environment as part of the establishment of a basic policy for river improvement and river improvement plans, as stipulated in the River Law.

In this chapter, the descriptions of river planning have been divided into "Basic Flood Prevention Planning", "Basic Appropriate Use of Rivers and Maintenance of Normal River Water Function", and "Basic Improvement and Conservation of the River Environment" for purposes of convenience. However, flood control, water utilization, and environmental functions are in reality closely interrelated, and it is not possible to completely separate them in practice. Comprehensive sediment management is also very important along with river management, including maintenance, flood prediction, and facility operation. In practice, river planning needs to be undertaken so that these various functions are comprehensively reinforced.

The following are some of the more important considerations when undertaking river planning:

- The present state and historical changes to the natural environment of the basin
- The present state, historical changes, and future outlook for land use and the social environment of the basin
- History of disasters and measures taken
- Achieving the required level of flood control
- Measures for mitigation of damage when the design flood level is exceeded
- Comprehensive sediment management
- The present status and future prospects for water utilization
- Securing of a healthy water cycle system
- Maintenance and management of rivers
- Conservation and restoration of the natural environment
- Maintenance and formation of an aesthetically pleasing river landscape
- Maintenance and formation of places for human interactions with rivers
- Linkages between river management and regional development
- Economic rationality..

River planning requires various data. In flood prevention planning, for example, requires data on rainfall, flow

rates, water levels, floodmarks, sea levels, cross-section surveys, to name just a few. Before these data are used, their accuracy should be thoroughly confirmed by a method such as comparison with the results of observations in nearby areas. If necessary, the data should be corrected.

## **1.2 Fundamental river management policy and river improvement plans**

The fundamental river management policy for a broad geographic area (country, province region), prescribe the long-term policy for river improvement and the basic types of improvement planned for each river system while taking into consideration the entire balance across the region and the characteristics of the individual rivers and their basins.

A river improvement plan, on the other hand, should prescribe the specific improvements to be carried out over a period of about 20 to 30 years in accordance with the stipulations of the fundamental river management policy while taking the needs of the inhabitants of the river basin into consideration.

### **Explanation**

The implementation of a river improvement project involves first setting the goals to be achieved and then implementing the measures aimed at achieving these goals. Since river improvement requires enormous cost and time, the general practice is to set medium-term goals with staged implementation while maintaining good of the level between different river systems, rivers, etc. It is not just the rivers themselves that change in response to natural processes; the social situation within the river basin also continually changes over time, leading to direct influences on the river and changes in the river-related needs of the local residents. Therefore, it is impossible to comprehensively determine at any given time the specific improvements that will reflect the long-term goals or the specific procedures and timing needed to achieve these goals. Consequently, a target planning period should be set that is appropriate for achieving the immediate needs and providing local residents of a sense that tangible results will occur in a realistic timeframe. After choosing the planning period, a river improvement plan that defines the medium-term improvement goals should be set in accordance with the fundamental river management policy and its long-term goals.

The following items are to be described in the fundamental river management policy:

1. The basic policy on comprehensive conservation and utilization of rivers in the given river system
2. Basic river improvement
  - a. Design flood and flood diversion to river channels and flood control facilities
  - b. Design flood discharge at major locations
  - c. Design high water levels at major locations and associated river width
  - d. The flow discharge needed to maintain normal functions of river water at major locations.

The following items are to be described in the river improvement plan:

1. The goals of the river improvement plan
2. Information on the implementation of river improvements, including:
  - a. The purpose and types of work, locations, and an outline of the function of any river management

facilities to be built

- b. The location, purpose, and type of any required river maintenance.

As described above, a river improvement plan identifies the specific components of a river's improvement. In executing the actual improvement work within cost and time constraints, it is essential to determine the order of the works and coordinate the initiation and progress of all projects. To this end, the following should be considered:

1. The basic unit of a river improvement plan is a section of river in which a series of river improvement works is to be implemented.
2. Generally, the planning period is set at around 20 to 30 years, which is regarded as the time required to complete a set of river improvement works for a particular section. However, in cases where there are uncertainties about specific improvements (e.g. if an extended period is needed for research and study), then these improvements should be omitted from the plan to enable a shorter planning period to be adopted. Alternatively, the issues of uncertainty should be specified for further investigation and the plan reviewed at a later time after they have been clarified.
3. The plan should be periodically reviewed and revised as necessary with respect to changes in the circumstances surrounding the subject river, including regional planning objectives.
4. Reviewing the river improvement measures should consider the allocation of funds to allow the plan to be realized within the planning period and to be compared with alternatives.
5. The river improvement measures should be described so that the need for them and effects they will bring are clearly presented.
6. In deciding the composition of each item of river improvement works, the need to minimize future follow-up work should be considered as much as possible. However, because a particular improvement work may be urgent, and because there is also a need for the facility to be durable, future follow-up work may be unavoidable.
7. The maintenance plan identifies the maintenance tasks to be systematically undertaken, not just one-off and urgent maintenance works. Items that are needed for river monitoring, such as observations and surveys, should also be included.
8. The river improvement plan should also describe any tasks that are needed as preconditions for river improvement.

## **Section 2 Basics of Flood-prevention Planning**

### **2.1 Overview**

A flood prevention plan first needs to adopt a flood hydrograph (hereinafter referred to as design flood). This design flood forms the basis of the plan at design control points and is adopted with the aim of ensuring the desired flood behavior modification to prevent or mitigate river flooding disasters.

For this reason, the flood prevention plan should be prepared in such a way that the facilities to be built from the design flood are technically and economically well balanced throughout the river system and achieve the

desired level of functionality.

In the preparation of a flood prevention plan, it is necessary to comprehensively examine the functions of the river system, including flood control, water utilization, and environmental functions. It should be noted that the plan does not aim to control the maximum possible flood in the subject river. The plan aims to not just control the design flood but also to be able to deal with the occurrence of a flood that exceeds the design flood (hereinafter referred to as excess flood), wherever necessary.

The fundamental river management policy should specify the peak flow of the design flood at design control points, deployment of river channels and flood control facilities, and the design flood discharge at major locations. The river improvement plan should specify target years for staged goals to be achieved and should aim to prevent flooding within a certain magnitude and, where necessary, mitigate damage from floods that exceed the design flood. Also, the plan should emphasize the efficient use of existing facilities and non structural measures and consider countermeasures to be implemented by communities in the river basin.

### **Explanation**

In the preparation of a flood prevention plan, coordination between the plan and other river plans and facility plans for the subject river and its basin is necessary. In general, for example, this means coordination between the river course plan and the erosion and sediment management plan, between the flood control plan and the water utilization plan, and between the river course plan and the environmental conservation plan, etc.

A flood prevention plan should be technically and economically appropriate and ensure that sufficient effects are produced.

Technical and economic consideration should be given not to concentrate the damage caused by excess floods to the greatest extent possible. Consideration should also be given to ensuring the availability of appropriate countermeasures in the event of an excess flood by elucidating the damage that such a flood would cause to affected regional communities.

If it is sufficient for the purpose of the plan, the design flood could be defined only by peak discharge instead of defining design hydrographs.

## **2.2 Method of determining the design flood**

Although several different methods exist for determining the design flood, the most common, and the one that should be used as standard, is based on rainfall analysis.

The design flood should be determined for each design control point.

### **Explanation**

The design flood is estimated from the probability of occurrence of a flood of the scale represented by the hydrograph, i.e., the occurrence probability. The occurrence probability indicates the degree of security adopted for this flood prevention plan, or the safe level of flood control: the smaller the occurrence probability, the greater the degree of security.

However, a flood hydrograph itself is not necessarily useful for calculating the occurrence probability. In many

cases, a flood hydrograph, as used for statistical analysis from peak flow or total volume, presents difficulties such as a lack of precision because of data shortages, and the need for complex calculations.

Therefore, the method that focuses on the rainfall that causes the flood involves selecting a subject rainfall level with a probability of excess corresponding to the established safe level of flood control. A hydrograph is then plotted from this subject rainfall. This method should be used as the standard approach, though in some cases, a method more appropriate to the subject river may need to be adopted.

While a number of flood hydrographs may be calculated using a flood runoff model based on the subject rainfall, the hydrograph that is used as the basis of the flood prevention plan represents the "design flood". The selection of a design flood requires comprehensive review for setting an appropriate peak flow that corresponds to the scale of the design. Since the design flood serves as the basis for the flood prevention plan, the flood hydrograph must be one that has not been affected by any artificial manipulation such as flood control. The design flood is not necessarily the one with the maximum peak flow or maximum total flow among the calculated flood hydrographs.

Incidentally, the phrase "subject rainfall" is used here rather than the more conventional phrase "design rainfall". This avoids the interpretation of "design rainfall" as meaning only one type of rainfall event that is used to determine the design flood. As described in Section 2.7 of this chapter, a design flood can be produced by a series of rainfall events, and such a group of rainfall events that are used to determine the design flood are referred to as the "subject rainfall".

### **2.3 Subject rainfall**

The subject rainfall is selected for each design control point. Subject rainfall is represented by three elements: the amount of rainfall, the temporal distribution of the rainfall, and the regional distribution of the rainfall.

#### **Explanation**

This section shows the elements of the subject rainfall as used in the standard method for determining a design flood.

The concept of selecting subject rainfall will be described in Section 2.6 of this chapter.

### **2.4 Design reference point**

The design control points should be chosen to have sufficient hydrological data available, should serve as locations for hydrological and hydraulic analyses, and should be closely related to the overall plan. The design control points should be set wherever necessary for the plan.

#### **Explanation**

Design control points are used as points at which to evaluate the target safety level. Suitable locations are river gauging stations or dams or other major flood control facilities that can serve as a basis for hydrological analysis. Fig. 2-1 shows candidate reference points: a gauging station at A on the main river course, gauging stations on tributaries B and C, and dams D and E.

The scale of the subject rainfall can differ from point to point, and if there are different facilities subject to the plan differ at the same location, then the subject rainfall may differ for each set of facilities.

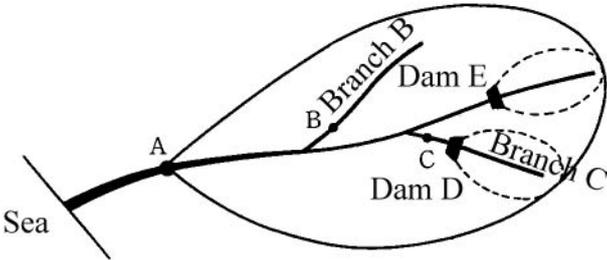


Fig. 2-1

**2.5 Determining the design scale**

**2.5.1 Scale of plan**

In determining the planning scale, the importance of the subject river should be emphasized, and the actual damage caused by past floods, economic effects, and other factors should all be taken into consideration.

**Explanation**

The planning scale refers to the level of safety against flood disasters to be provided in the area affected, and it is desirable that a good balance be maintained between the upstream and downstream areas, as well as between the main stream and the tributaries, depending on the importance of each river. This balance also needs to be viewed from a national perspective.

The importance of the river should be defined from the purpose of the flood prevention plan and in consideration of various elements, such as the extent of the river basin, the social and economic significance of the subject region, the quantity and quality of the assumed damage, and the history of past disasters. Approximate references for determining the scale of the subject rainfall when rivers are categorized into importance classes A, B, C, D, or E are shown in Table 2-1.

For estimated damage, refer to Economic Research in the Research volume.

Generally, a river that has major sections of class I river is designated in importance as class A or B; one that has minor sections of class I urban river and class II urban river is designated as class C. Classes D and E are generally designated class ‘general’ rivers, depending on their importance.

For regions that have suffered enormous damage in the past, it is generally undesirable to determine the planning scale without considering the prior catastrophic floods. In such cases, a plan is usually set up to stabilize people’s livelihoods such that future floods of the same scale will not cause disasters.

However, in this case, there is also a need to consider the balance between upstream and downstream areas and the balance between the main stream and tributaries.

Once the design scale has been determined, the subject rainfall, which is necessary for engineering design, can be selected. The subject rainfall is characterized by the amount of rainfall, temporal distribution, and regional distribution; the scale of the subject rainfall, however, is generally evaluated by its return period.

Therefore the return period of such subject rainfalls does not necessarily correspond to the return period of peak discharge.

However, since the return period of the peak discharge of the design flood has an important meaning in a flood prevention plan, if there is a significant difference in return period may arise between subject rainfall and peak discharge, it will be necessary to clarify the relationship and consider the adoption of some other method to determine the planning scale.

**Table 2-1 Importance of river and planning scale**

| Importance of river | Planning scale (Return period in year of subject rainfall) * |
|---------------------|--|
| Class A             | Over 200   |
| Class B             | 100-200  |
| Class C             | 50-100   |
| Class D             | 10-50  |
| Class E             | Below 10   |

\* Inverse of the annual probability of excess

### 2.5.2 Consistency of the scale of plan within the same river system

When setting flood prevention plans within the same river system, consideration should be given to maintaining sufficient consistency between the upstream and downstream areas with regards to the planning scale, as well as between the main stream and tributaries.

#### Explanation

The purpose of a flood prevention plan is to prevent floods of the design scale.

Maintenance of consistency does not necessarily mean that the design scale will continue between the upstream and downstream areas or between the main stream and tributaries. Especially, when two or more design control points have been determined within the same river system, there will usually be no correlation between the subject rainfalls in terms of the amount and duration of rainfall, etc. Simply using the same planning scale for both the upstream and downstream areas or for the main stream and tributaries will, in many cases, endanger the downstream or mainstream regions if an excess flood occurs. Therefore, the appropriate scale for the particular section of river should be taken into consideration to achieve consistency in the level of protection.

In addition, consideration should be given, wherever necessary, to ensuring that the damage caused by an excess flood will be distributed as much as possible and will not be excessively concentrated on specific areas.

## 2.6 Selection of subject rainfall

### 2.6.1 Determining the amount of subject rainfall

The amount of subject rainfall should be determined by setting the planning scale in accordance with the stipulation in Section 2.5.1 of this chapter, and also by setting the duration of rainfall.

### 2.6.2 Review of past floods

The review of past floods should include the characteristics, duration, and spatial distributions of the amounts of rainfall that caused them; their water levels and hydraulic and hydrological data, such as rates of discharge; and the flood situation and the reality of damage, etc.

#### Explanation

Data on the rainfall, water level, and flow discharge associated with past floods are critical for hydraulic and hydrological analysis. To clarify the temporal and spatial distributions of rainfall, it is necessary to collect as many hourly rainfall data as possible for major locations within the river basin.

Actual measurements of flow discharge at major locations is desirable. If unavailable, estimates should be obtained using an appropriate method, such as by inverse calculation from floodmarks. The highest possible accuracy is required in estimating the impacts of flooding and water retardation from particular flow discharges. The characteristics of past flooding and damage it caused should be thoroughly investigated because this will be of prime importance in judging the effects and significance of the project.

### 2.6.3 Duration of subject rainfall

In determining the duration of the subject rainfall it is necessary to consider the size of the river basin, the properties of the rainfall, the pattern of flood runoff, the type of project facility, and any difficulties in accessing past data etc.

#### Explanation

The duration of the subject rainfall should be based on the size of the river basin, the duration of flooding, and the cause of rainfall (typhoon or front). The type of counter measures that would be studied should also be considered.

Since the data necessary to determine rainfall duration are not always available, the duration is often set at 1 to 3 days for the purposes of statistical analysis and other reasons.

Also, in the case of a river where a flood can travel from the most distant point of the basin to the river within several hours, it is necessary to separately examine those rainfall events whose durations dominate the size of the peak discharge of the flood.

### 2.6.4 Determining the time and areal distributions of the subject rainfall

The temporal and spatial distributions of the subject rainfall should be determined for a considerable number so that each subject rainfall would have equal amount of rainfall to that of planning scale determined in Section 2.5.1 of this chapter.

It should be corrected if significant inconsistency arises from simply extending the distributions.

#### Explanation

Once the total rainfall for the subject rainfall is given, the remaining two elements—that is, the temporal and

spatial distributions—should be determined to define the subject rainfalls.

After having total volume of the subject rainfall, you should determine temporal and spatial distribution of the subject rainfalls.

In general, the following two methods are available.

One method is to clarify the statistical or meteorological relationships between these three elements (i.e. the amount of rainfall, temporal distribution, and spatial distribution) and determine the temporal and spatial distributions for given rainfalls from these relationships.

Another method is to determine the amount of rainfall and then create the temporal distribution and spatial distribution by simply expanding or contracting some past rainfall patterns. Unless they are regarded as being unlikely to occur in consideration of the statistical relationships between these elements, they will be adopted. Since it is usually simple and easy to understand, the latter method is used here. In selecting past rainfall events, care must be taken that rainfall events that have caused severe floods or have high recurrence patterns in the basin are not excluded. The number of rainfall events to be selected varies depending on the length of time for which the data have existed; the maximum extension rate is set to about 200% in many cases.

The rainfall patterns that have extensive differences in spatial distribution or have high intensity during a part in temporal distribution may arise remarkable discrepancies because rainfall intensity during the hours that dominant the peak discharge tends to be extremely high in such rainfall patterns.

The following examples are considered as specific processing methods:

1. If extension of rainfall that has extensive differences in spatial distribution causes rainfall in some parts of the basin to be significantly large, and the return period of that rainfall is significantly different from the return period of the design scale, then the expanded rainfall of that rainfall pattern should be excluded from the subject rainfalls, since its inclusion is deemed inappropriate.
2. If extension of a pattern where short duration, high intensity rainfall causes the return period of rainfall intensity within the duration that is predominant over the peak discharge of a flood to be remarkably different from the return period of the design scale, then the extended rainfall of that rainfall pattern should be excluded from the subject rainfalls since its inclusion is deemed inappropriate.
3. Subject rainfalls for the rainfall patterns described in 1 and 2 above should be adopted after correction of the spatial and temporal distributions as well as any remarkable differences in return period.

#### **2.6.5 Adjustment of the actual rainfall duration against the subject rainfall duration**

If the actual rainfall duration selected in Section 2.6.4 differs from the subject rainfall duration, then the following adjustment is to be made, depending on the duration:

1. When the actual rainfall duration is shorter than the subject rainfall duration

Leave the actual rainfall duration as it is, and extend only the amount of rainfall to that of the subject rainfall.

In this case, however, if any inconsistency as described in Section 2.6.4 arises, correction should be made within that range.

2. When the actual rainfall duration is longer than the subject rainfall duration

As a rule, the solution described in 1 above should be adopted. However, if the amounts of rainfall after extension have become fairly large compared with the amount of subject rainfall, then, as a rule, extend the amount of rainfall within a time equivalent to the duration of the subject rainfall only, and use the actual rainfall for any rainfall before that time.

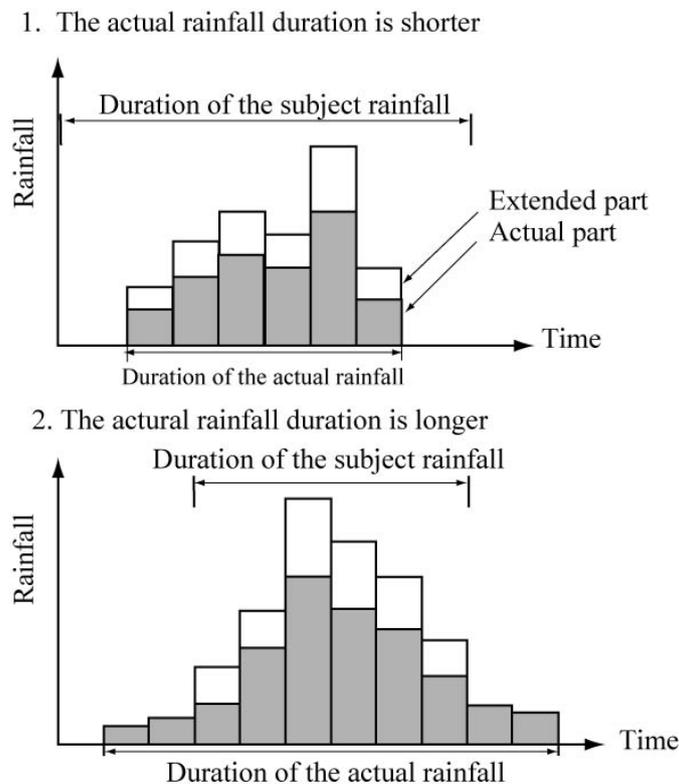
**Explanation**

It is extremely rare for the actual rainfall duration selected in Section 2.6.4 of this chapter to agree with the subject rainfall duration. However, even the data used for determining the design scale of the subject rainfall in Section 2.5.1 normally do not have rainfall durations that match the subject rainfall durations; therefore, normally, it is not necessary to make any adjustment at all. On the other hand, if the actual rainfall duration is much longer than the subject rainfall duration, and the amount of rainfall after extension is much greater than that of the subject rainfall, then not making any adjustment will result in inconsistency.

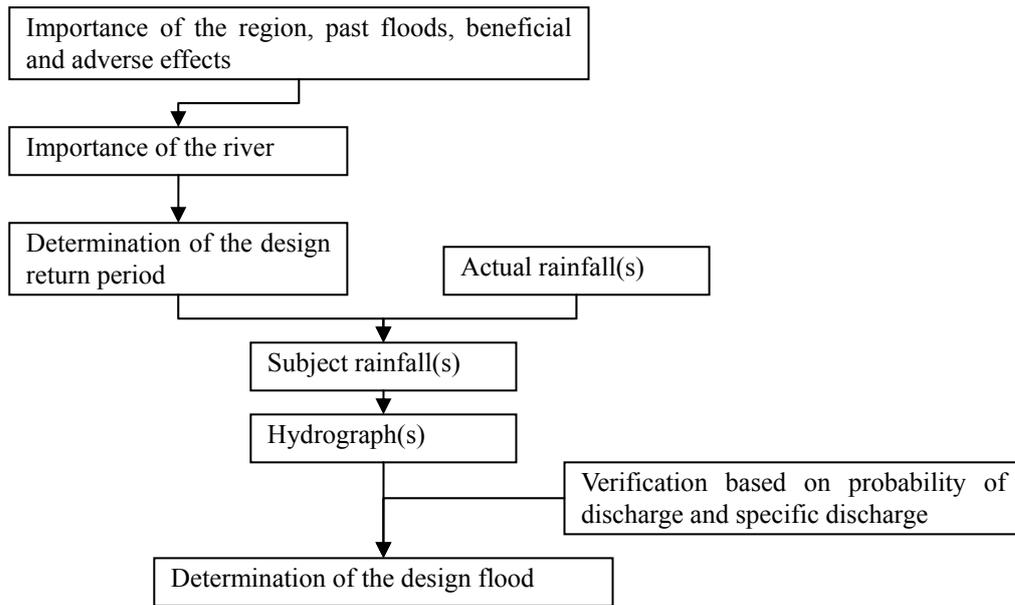
In this case, as a rule, with the part that is identified as the main constituent of the series of rainfall events positioned at the center, the amount of rainfall within the time corresponding to the subject rainfall duration should be extended so that it equals the amount of the subject rainfall, and the actual rainfall should be used as it is for preceding rainfall that continues to the subject rainfall (Fig. 2-2).

Various other adjustment procedures may be possible. However, because in river planning there are many cases in which comparison with other rivers is required, we have decided to propose this procedure as a standard.

When the construction of a detention reservoir is anticipated, however, it is necessary to take into consideration the series of rainfall events, including rainfall before and after.



**Fig. 2-2 Adjustment of rainfall durations**



**Fig. 2–3 Determination of the design flood**

## 2.7 Determination of the design flood

### 2.7.1 Determining the design flood

The design flood should be determined from flood hydrographs plotted for the subject rainfalls selected in Section 2.6 of this chapter. An appropriate flood runoff model will be used, and there will be comprehensive consideration of the properties of past floods, project facilities, etc.

#### Explanation

Since the subject rainfalls have already been selected, it is easy to calculate the hydrograph of a flood using an appropriate runoff model, but a careful examination is needed to select the hydrograph that will be used as the basis for determining the design flood.

The process of determining the design flood should be as shown in Figure 2–3.

To select (a group of) subject rainfalls, the spatial and temporal distribution should be examined as described in Section 2.6.4 of this chapter. The rate of extension should be about 200% in most cases.

Flood control facilities such as dams and flood control basins should be ignored in the hydrograph calculations, and for water utilization dams such as hydroelectric storages, operating rules for flood conditions should be taken into consideration.

In most cases, since inappropriate rainfalls have already been rejected from the examination of spatial and temporal distributions, etc., the hydrograph that shows the maximum discharge among the calculated hydrographs in the group should be selected to give the peak discharge of the design flood.

When there is a sufficient record of discharge data, a discharge probability should be used. For small and

medium-sized rivers, the peak discharge of the design flood should be verified by a method such as the rational formula. It is also necessary to use the unit discharge to check the relative balance between the main stream and tributaries, between the upstream and downstream, climate characteristics, and other rivers of similar design scale.

Another method of determining the design flood includes determining the peak discharge for the design scale by evaluating the probabilities of rainfall of different magnitudes along with their spatial and temporal distributions based on extensive amounts of accumulated data.

**2.7.2 Conversion of subject rainfalls into a flow discharge**

A runoff calculation method that is best suited to the characteristics of the subject river should be used to convert the subject rainfalls into a flow discharge. The rational method can be used for rivers in which flood storage does not have to be taken into consideration.

**Explanation**

One of the various calculation methods for converting rainfalls into flow discharge that can adequately reflect the runoff characteristics of the subject basin should be selected. Runoff calculation methods include, among others, the unit hydrograph method and the storage function method. The rational method is widely used where storage phenomena are barely observed in the river channel or basin, where there is no need to take storage phenomena into consideration, and where there are no construction plans for dams, flood control basins, or the like.

For details of these runoff calculation methods, please refer to the Technical Criteria for River Works (Draft) Research.

**2.7.3 Determining constants for the flood runoff model**

When determining constants for the flood runoff model being used to convert the subject rainfalls into a flow discharge, the following factors need to be considered:

1. Possible difference between the actual and design flood scales
2. Possible changes in the basin conditions since the flood through land development, afforestation, deforestation, etc..

**Explanation**

Since parameters for the flood runoff model are usually obtained from actual data from smaller scale floods, care must be taken to avoid irrational results when determining them.

In determining the constants, sufficient consideration must be given to possible changes in basin conditions that that may have occurred since the time of the actual flood, such as those caused by land development. In particular, the runoff ratio needs careful examination since it can change considerably in response to the state of the basin and can greatly influence the flood runoff volume as well as the flood peak discharge.

The following default values may be used for the runoff coefficient when using the rational method, and inlet

time may be used to calculate the time of concentration (time for the flood to travel from the most distant point of the basin to the point in the river channel under consideration). For details about formulas for determining the time of concentration, please refer to the Research volume of Technical Criteria for River Works (Proposal).

| <Runoff coefficient>  |     | <Inlet time>                        |                            |
|-----------------------|-----|-------------------------------------|----------------------------|
| Densely built-up area | 0.9 | Mountainous river basin             | 2 km <sup>2</sup> : 30 min |
| General built-up area | 0.8 | River basin with very steep slopes: | 2 km <sup>2</sup> 20 min   |
| Field and plain       | 0.6 | Area with sewerage system           | 2 km <sup>2</sup> : 30 min |
| Paddy field           | 0.7 |                                     |                            |
| Mountain              | 0.7 |                                     |                            |

#### 2.7.4 Consideration of landside waters

When inner waters are deemed to have a great influence, that influence should be taken into consideration.

##### Explanation

In the downstream part of a river or in a basin, inner waters may have a strong influence over the peak discharge of the design flood.

In such a case, the existing inner water drainage plan should be taken into consideration. In addition, even if there is no specific drainage plan currently available, an appropriate amount of drainage discharge should be added to the calculated design flood, as required, taking into consideration the future development situation of the subject area and referencing drainage plans, etc. for other similar areas. Methods for this purpose include one that calculates the drainage discharge from an inner water analysis model, and one that uses a unit discharge of approximately 5 m<sup>3</sup> s<sup>-1</sup> km<sup>-2</sup> for an urban area and approximately 2 m<sup>3</sup> s<sup>-1</sup> km<sup>-2</sup> for a general area.

### 2.8 Design flood discharge

#### 2.8.1 Design flood discharge

In flood-prevention planning, the high water discharge to be used as the basis for river channel and dam planning for major locations should be determined by rationally routing the design flood through river channels, dams, etc. This is called the design flood discharge.

##### Explanation

For river channel and dam planning, the high water discharge at design control point is of primary importance. However, the flood control capacity of a dam is influenced by the shape of the hydrograph, the capacity of the dam that could be built, the rules of operation of the dam, etc. in addition to the high water discharge. Therefore these elements must be thoroughly examined when determining design flood discharge. The runoff discharge at the design control point and other major locations is calculated from one or more design floods and varies depending on the channel characteristics and hydraulic conditions such as the capacity of the dam, etc. Rational distribution of the design flood to river channels and dams means the distribution of these flow volumes to

channels, dams, etc. while considering consistency between upstream and downstream and between the main stream and tributaries and examining the items described in Section 2.8.2 below.

### **2.8.2 Items to be examined in determining the design flood flow discharge**

When setting the design flood discharge for a river channel, dam, flood control basin, etc., it is important to fully consider each of the following:

1. Study on installation of flood control facilities such as dams, regulating reservoirs, and flood control basins from technical, economic, social, and environmental conservation points of view.
2. Study on improvement of current river channels and distribution to cutoff channels, discharge channels, and tributaries, etc. from technical, economic, social, and environmental conservation points of view.
3. Issues concerning the coordination of current and future regional development plans along the river and other projects related to the river.
4. Perspectives and policies on strategies to counteract future increases in flood discharge in areas subject to increasing urbanization.
5. Technical, economic, and social measures for dealing with excess floods.
6. Evaluation at each phase of project implementation.
1. 7. The difficulty of operation and maintenance of the subject facilities.

#### **Explanation**

The items listed above need to be thoroughly examined before determination of the design flood discharge to be used as the basis for each river facility plan.

### **2.9 Measures against excess floods**

For rivers that are anticipated to cause serious damage in floods exceeding the design level, measures to mitigate the effects of such excess floods should be planned.

#### **Explanation**

River embankments are constructed or improved to withstand the normal action of flowing water below the design flood level (or design storm surge level if applicable). However, since floods are normally caused by natural rainfall, there is always some probability that a flood exceeding the design flood discharge may occur. If such an excess flood occurs, river embankments may be destroyed, resulting in enormous damage. In particular, the failure of river embankments that protect areas possessing high population density, extensive infrastructure,, or central administrative functions will not only take a heavy toll in the area but will also cause great damage to the entire country, both socially and economically.

For this reason, the consequences of floods exceeding the design scale should be considered when establishing a fundamental river management policy and river improvement plans. Construction of embankments with higher standards of protection than for the design flood should be planned for particular river sections if the predicted damages from excess floods are deemed unacceptable.

## **Section 3 Basics of Appropriate Utilization of Rivers and Maintenance of Normal River Water Function**

### **3.1 Overview**

The basic items associated with the proper utilization of rivers and the maintenance of normal river water function should be aimed at proper management of rivers. They should serve as a basis for determining the flow discharge necessary to maintain the normal function of river water and for establishing a policy for securing this flow discharge while ensuring consistency with flood control functions.

#### **Explanation**

For appropriate utilization of rivers and maintenance of normal river functions, the basic aim is to manage rivers to ensure that they are kept in their normal condition as part of a comprehensive river management strategy.

The flow discharge necessary to maintain the normal function of river water should be determined from the current uses to which the river water is put, the present condition of the river environment and the natural and social environments of the river basin and their historical contexts.

The formulation of policies for securing the discharge levels needed to maintain normal river functions should fully consider structural measures such as dams and non structural measures such as rationalization of diversion of flow and more effective use of existing facilities. The policy should ensure that the flow discharge needed to maintain normal river functions can be secured for at least up to a 1 in 10 year drought. It is also desirable to develop measures for droughts that may exceed this design scale.

### **3.2 Normal flow discharge**

There are two types of flow discharge to be determined. The first is the flow discharge determined in total consideration of water functions such as navigation; fisheries; tourism; maintenance of clean river water; prevention of salt damage; prevention of river-mouth clogging; protection of river management facilities; maintenance of groundwater levels, landscape values, and ecosystems; and the securing of opportunities for human interactions with the river. This discharge is hereinafter referred to as "maintenance flow discharge".

The second is the flow discharge necessary for water utilization downstream of the point for which the maintenance flow discharge has been determined (hereinafter referred to as "water utilization flow discharge"); this discharge is determined at a point that serves as the reference point for appropriate river management.

The normal flow discharge, if necessary, should be determined for each of the river reach represented by annual fluctuations in the maintenance flow discharge and water utilization flow discharge.

#### **Explanation**

The flow discharge required to maintain the normal functions of the river water should be secured throughout the year, and it should be determined in consideration of fluctuations in river discharge, in addition to other factors.

For the main stream and major tributaries, one or more reference points for monitoring normal flow discharge to ensure appropriate low water management. It is desirable to select reference points at which sufficient hydrological data have been obtained and which have good flow discharge correlations with other flow discharge observation points under normal river conditions, or at points for which the relationship between upstream and downstream flows is clearly understood and which is close to the where the river water is utilized.

The normal flow discharge should be determined by investigating the water balance between these reference points from data on the inflow and quantity of water intake of the subject river; the value chosen for normal flow discharge should satisfy both the maintenance flow discharge and the water utilization flow discharge.

The normal flow discharge should be determined for each of the river reach determined in consideration of the annual fluctuation patterns of both the maintenance flow discharge and the water utilization flow discharge.

### **3.3 Determining the maintenance flow discharge**

The maintenance flow discharge should be determined for each of the different sections of the river, divided from the similarity of their properties.

The maintenance flow discharge, if necessary, should be determined for each of the river reaches,.

#### **Explanation**

The maintenance flow discharge should be determined in total consideration of river functions such as navigation; fisheries; tourism; maintenance of clean river water; prevention of salt damage; prevention of river-mouth clogging; protection of river management facilities; maintenance of groundwater level, landscape values, and ecosystems; and the securing of opportunities for human interactions with the river. Among these conditions, the needs for tourism are considered to be largely satisfied if conditions such as landscape values and river and navigation are maintained. The securing of opportunities for human interactions with the river are considered to be basically satisfied if the flow discharge, determined from such conditions as river and navigation, fisheries, and maintenance of clean river water, landscape values, and ecosystems, is maintained. Consequently, the maintenance flow discharge can often be determined by comprehensively taking into consideration the above-mentioned nine conditions, with the exception of tourism and the securing of opportunities for human interactions with the river.

In determining the maintenance flow discharge, it is desirable to also consider fluctuations in flow discharge.

#### **1. River and navigation**

River and navigation was originally carried out within the context of natural fluctuations in river water level that would occasionally cause suspension of service in times of drought. Even if river and navigation services are temporarily suspended when a drought occurs, they can be substituted with alternative services such as land transport and can return to normal operations as soon as adequate water levels are restored.

However, depending on the amount of water traffic and availability of alternative means, river and navigation can play an important role in physical distribution and public transport. Also, the public need for river and navigation is high where it is associated with tourism or has historical significance. In such cases, it may be

necessary to specify a target and secure a certain flow discharge (width of water surface and depth of water) based on it.

## 2. Fisheries

The flow discharge necessary for fishery operations can usually be satisfied by the flow discharge necessary for maintaining ecosystems. However, further examination will be necessary in the case of rivers for which special conditions, such as for laver (nori seaweed) cultivation, are needed.

## 3. Maintenance of clean river water

There is a need to control the deterioration in water quality that occurs as a consequence of reductions in water volume. Essentially, the quality of the river water should be maintained by implementing pollutant source-control measures in the river basin. Therefore, reduction of the pollutant load in the basin should be given first priority in determining the necessary flow discharge. However, since it may be difficult to secure high water quality through pollutant control alone, it is necessary to also consider the possibility of a measure for increasing the flow discharge.

## 4. Prevention of salt damage

The flow discharge necessary to prevent salt damage should be determined such that the effects of saltwater intrusion on river fisheries and ecological systems are minimized, as are the effects of an increase in the salinity of water and groundwater taken from the river for domestic water supply and agriculture.

## 5. Prevention of estuary clogging

A certain flow rate should be ensured for rivers where the estuary is prone to clogging or is expected to be subjected to clogging in the future.

## 6. Protection of river management facilities

It is necessary to secure a flow discharge sufficient to prevent the degradation of wooden facilities (e.g. revetment foundations and pile hurdles) because of water level reductions caused by decreases in flow discharge.

## 7. Maintenance of groundwater level

It is necessary to secure an adequate level of flow discharge if a reduction in river flow discharge causes a remarkable reduction in groundwater level and directly and extensively influences groundwater recharge.

## 8. Landscape

The flow discharge necessary for maintenance of landscape values is to be determined such that the landscape is visually satisfying. Drought-affected river landscapes are a natural phenomenon, but a permanently degraded river landscape due to low flows caused by large-scale water intake is undesirable. In particular, it is necessary to secure adequate flow discharge to maintain widely popular river landscapes that are valuable for tourism, scenic values, or holding special events.

## 9. Status of ecosystems

The flow discharge should allow maintenance of river ecosystems. Diverse ecosystems are formed in rivers with fluctuating discharge. Although the occurrence of natural droughts is an element of this fluctuation, a reduction in flow discharge through large-scale artificial intakes can cause a drastic deterioration in the habitat of animals and plants.

It is particularly important to secure a level of flow discharge that will maintain habitat in riffles, inlets, etc., which are vulnerable to drastic reductions in flow discharge.

In addition to the above items, if there are other items that need to be taken into consideration as measures affect adversely the present condition of the subject river, then those items should also be examined.

Since the elements described above change along the river course because of changes in natural and social factors, it is necessary to divide the subject river into multiple sections of relatively uniform conditions and determine the maintenance flow discharge for each section based on the prevailing conditions.

Classification of river sections should be conducted by comprehensively examining various factors, such as the riverbed configuration, tributary inflow, condition of the river channel, ecosystem conditions, river water quality, and river utilization.

The items needed to maintain the flow discharge may differ for each river reach of each particular river. For this reason, in determining the maintenance flow discharge, the necessity for river reach division should be examined. If necessary, river reach division taking into consideration the reach-specific pattern of necessary flow discharge by item should be performed, and the maintenance flow discharge should be determined for each river reach.

Facility installation or improvement, etc. may be a possible measure in the river section and ensuring a particular level of flow discharge may then not be the best river management strategy. Therefore, the possibility of such facility installation or improvement should be taken into consideration when determining an appropriate maintenance flow discharge.

### **3.4 Determining the water utilization flow discharge**

The water utilization flow discharge should be determined for each of the locations selected as appropriate for the actual situation of river water utilization. This discharge should be determined for each of the sections determined by river reach division in consideration of the annual water utilization pattern, as required.

#### **Explanation**

The water utilization flow discharge is the level of river flow discharge necessary for occupancy of river water. It should be determined from the water levels and volumes necessary at each intake location. To maintain water levels high enough for the intake may require the installation of weirs or improvement of intake facilities, etc.

The flow discharge to be ensured for the subject river should consider licensed water rights and customary water rights. Both licensed water uses and customary water uses should be thoroughly investigated to clarify the purpose of use, water volume used, period of use, etc.

The water utilization regulation needs to be reviewed at appropriate intervals in response to changes in actual water utilization.

In determining the water utilization flow rate, the intake volumes and locations for various water uses should be longitudinally pigeonholed to select appropriate locations and determine the water utilization flow rate for each location. If the need arises, river reach division should be conducted in consideration of the annual water utilization pattern, and the water utilization flow rate should be determined for each of the periods.

## Section 4 Basics of Improvement and Conservation of the River Environment

### 4.1 Overview

The fundamentals of improving and conserving the river environment involves: the conservation and restoration of a sound habitat for animals and plants; the maintenance and enhancement of a good landscape; the creation and maintenance of places for enabling human interactions with the river; and the preservation of water quality.

#### Explanation

With the modern demand for affluent, comfortable, lives in a high-quality environment, rivers are increasingly expected to provide pleasant riparian leisure space and healthy habitat for plants and animals. In addition to providing flood control and supplying water, rivers are also seen as important elements of regional landscapes, nature, and culture. For this reason, it is necessary to define the fundamental elements of "improving and conserving the river environment".

The basic items associated with the improvement and conservation of the riverine environment need to be examined, together with the basic items associated with flood-prevention plans (Section 2) and the basic items associated with appropriate river use and the maintenance of normal river water functions (Section 3).

### 4.2 Conservation and restoration of a healthy environment for plants and animals

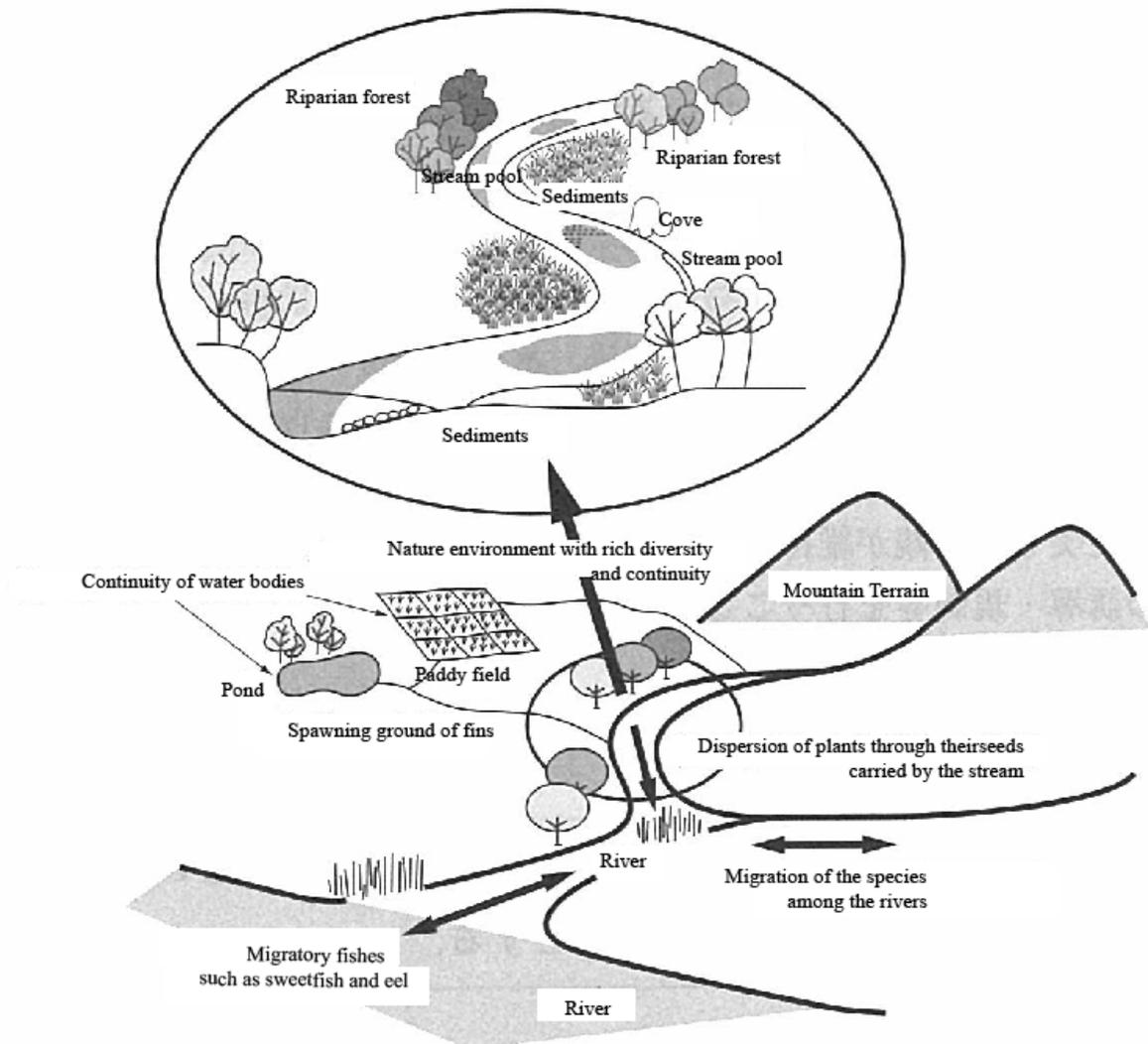
In river improvement and management, efforts should be made to maintain the biotic communities and habitat that will benefit the river in the future, taking into consideration the present status and past changes in biotic communities and in the habitat of the river.

#### Explanation

Conservation and restoration of habitat is important for maintaining diverse ecosystems, sustainable utilization of biotic resources, and opportunities for human interactions with nature. A river changes its physical form as it flows downstream and eventually reaches the estuary; its waters also change under the influence of various elements in the basin. From their source to the estuary, rivers change in character because of differences in topography, soil, water, exposure to sunlight, etc. in different parts of the river and the different river habitats such as the aquatic environment, the water's edge, sand bars, and so on. Diverse communities of plants and animals live and grow in these diverse environments. It is necessary to fully understand the vital role that rivers play in conserving the diversity of biotic communities, providing regionally significant ecosystems in a healthy condition.

To this end, it is important to determine which biotic communities and habitats are suitable for the river. There is a need to focus on the objects and lives that are scientifically significant or rare—those that are typically seen in the river, highly dependent on the river, and maintained by the dynamism of the river, and those that are indicators of continuity between upstream and downstream. There is also a need to consider the environments that are atypical of the river, and to take into consideration the present situation and the historical trajectory and

background.



**Fig.2-4 Stochastic illustration of the diversity and continuity of inhabitation and growth of the animals and plants**

In focusing on these points of view, it is important to maintain, improve, and manage rivers so that the communities and habitats suitable for the river can be conserved, restored, and maintained in the future.

#### 4.3 Maintaining and enhancing landscape quality

In the maintenance and management of rivers, efforts should be made to maintain and enhance landscapes formed around river water, taking into consideration the natural attributes of the river landscape and the historical and cultural background of the region.

#### Explanation

A riverfront landscape basically consists of a dynamic microtopography formed by the interaction between water

flow, sediment movement, and the plants and animals (especially plants) living there. The present appearance of the landscape is the result of interactions between these natural processes and human interventions. The riparian landscape also reflects the living and growing environment for animals and plants. Therefore, changes in riparian landscapes occur in tandem with changes in the living and growing environment for animals and plants. The fundamental components of the natural riverside landscape are the microtopography, vegetation, and water flow, and these need to be emphasized in the maintenance and building of a good landscape.

From ancient times people have interacted with rivers in various ways such as flood prevention, waterborne traffic, utilization of river water for daily life and productive activities, expression of religious faith, etc., and the present river landscape has been formed through these historical and cultural backgrounds. For example, some cities that have developed as pivotal points of waterborne traffic still have boatslips, old clay structures, etc. along the riverside, and there are cases where these are valuable heritage items that represent the uniqueness of the region. Where the river is used in people's daily lives, there may be stairways that lead to washing places or the river itself. Structures for controlling the river flow and water level also constitute elements of the landscape. Revetments, embankments, flood damage-protection forests, etc. are also important elements of the landscape. The elements behind these landscapes, which have been formed through the interactions of human activity and the natural events associated with rivers, need to be well understood in terms of the historical trajectory and the regional uniqueness.

With its horizontal surface, water provides visual relief to people. It harmonizes with the treescape and helps to form unique, integrated scenery. In an urban city forested with buildings, a wide horizontal landscape provides a pleasant open space. A rippling water surface reflecting light presents various elements of expression and beauty, depending on how the water is illuminated and on the different angles of the waves—an effect only possible with water. Thus, efforts should be made to maintain and build water-based river landscapes by identifying water itself as an important element of these landscapes.

The values of river landscapes include their value as natural landscapes created through the interactions among the microtopography, water, and the creatures and plants of the river; their value as a human and social landscape fostered through the long relationship between people and the river; and their value as water-based landscapes.

Although all of these values are important in the maintenance and building of a good landscape, it is important to judge, from the properties of individual rivers, which of these should be more important in the conservation and improvement of riverside landscapes.

To maintain and build a beautiful landscape centered around water as the key element, harmony with the surrounding landscape is important. It is also necessary to induce and control the landscape through coordination with relevant bodies, etc.

#### **4.4 Maintenance and creation of places for activities that bring humans into close contact with rivers**

In the maintenance, improvement, and management of a river, efforts should be made to conserve the riverine environment and maintain and improve riparian places so that people can gain close contact with the river and coexist with nature.

#### **Explanation**

Activities that bring humans into contact with nature are not possible in all parts of a region. Therefore, it is important to plan activities that take advantage of the scenery and freshness of riverside areas, as well as the characteristics of the river or the region, such as historical and cultural resources, festivals and other special events. It is also important that people deepen their understanding of the river environment itself, as well as of the various natural and social factors peripheral to the river that affect them, and that the human interactions with the river will themselves not have any adverse impacts on the river environment.

In maintaining and creating places for human interactions with a river, it is important not only to build, improve, and maintain facilities and places but also to conserve the entire riverine environment itself, which includes the natural environment and landscapes as a background for these activities. It is also important to install, improve, and maintain facilities and places that integrate educational and welfare functions. In addition, although the use of a river is, as a rule, based on individual responsibility, it is important to have sufficient provision of safety-related information, education of river users, cooperation between relevant agencies and bodies in the river basin, and preparation for emergencies.

In the improvement and maintenance of facilities or places, efforts are to be made to ensure that the environment will be maintained in the future, taking into consideration the river shape and natural processes of the river (e.g. erosion and accumulation of sediment, movement of sandbanks).

#### **4.5 Preservation of water quality**

In river improvement and management, efforts should be made to preserve the quality of river water so that the river is properly utilized, the normal function of the river water is maintained, and the river environment is conserved.

#### **Explanation**

The water quality appropriate to maintain the normal function of river water and conserve the river environment is that which does not impair the various river-associated functions, including water utilization (e.g. water for domestic supply, agricultural water, industrial water, fishery water); conservation of habitat; securing of places for human interactions with the river; landscape management; conservation of peripheral living environments; tourism; protection of river management facilities; and maintenance of groundwater quality.

The term “water quality” includes the concept of riverbed condition.

In preserving water quality, it is important to grasp the relationship between the river basin and the water area, and it is also necessary to reflect the natural and social environments of the basin and their historical trajectories. This includes organisms that used to live and grow there in the past, as well as the relationship between changes in human interactions with the river and water quality. It is also important to address future changes in the condition of the river basin and changes in the residents’ attitudes in relation to sustainable production activities in the basin and requests made by riverside residents in regard to the river.

In addition, it is also necessary to examine possible countermeasures against unexpected water quality hazards and cases of abnormal water quality.

## **Chapter 3 Erosion and Sediment management Planning (Countermeasures for Sediment Disasters, etc.)**

### **Section 1 General Information**

Erosion and sediment management planning (countermeasures for sediment disasters, etc.) is the process of preparing master plans aimed at preventing or mitigating sediment-related disasters. Such plans include: landslide prevention plans; steep slope failure prevention plans; avalanche control and impact mitigation plans; and comprehensive sediment disaster prevention and impact mitigation plans aimed at combinations of debris flows, landslides, and steep slope failures.

#### **Explanation**

The term “river basins” also includes volcanic areas and areas at the base of volcanoes, steep slopes, etc.

“Sediment yield” means the production of unstable sediment through phenomena such as failure and erosion of a mountainside or slope as a result of heavy rainfall, snowmelt, earthquake, etc.. Mechanisms of sediment movement include debris flow, landslides, river bed or bank erosion, etc. The aim of prevention and mitigation of sediment disasters caused by sediment yield and discharge is to protect the lives of the general public, property, and the living and natural environments from direct disasters caused by the failure or erosion of a mountainside or slope, direct impact by debris flow, etc. They also aim to provide protection from indirect disasters caused by the burial of flood control reservoirs with sediment, flooding induced by riverbed accretion etc.

The following is a list of issues to be taken into consideration in erosion and sediment management planning.

- Social environment, including land use in the river basin, etc.
- History of past disasters and projects
- The level of safety against sediment disasters to be secured
- Comprehensive sediment management of the entire sedimentary system
- Conservation and restoration of a good natural environment
- Maintenance and building of a good landscape
- Utilization of river basins, etc.

## Section 2 Erosion and Sediment management Master Plan

### 2.1 Overview

Erosion and sediment management master plans should be designed in such a way that hazardous sediment can be rationally and effectively managed within the design area to prevent and mitigate sediment yield in the subject river basin and sediment disasters caused by sediment discharge.

Erosion and sediment management master plans include river system erosion and sediment management plans, debris flow control plans, large woody debris prevention plans, volcanic sediment and erosion control plans, and disaster prevention plans for abnormal sediment such as from landslide dams. These plans are to be developed and implemented in accordance with the disaster phenomena and the reasons for taking countermeasures.

### Explanation

“Hazardous sediment” means yielded or discharged sediment likely to cause a sediment disaster.

Depending on the disaster phenomena and the purpose of the countermeasure, erosion and sediment management master plans can be divided into five categories:

- 1) river system erosion and sediment management plans, which aim to prevent or mitigate sediment disasters by controlling the movement of hazardous sediment into the river system from mountainsides and slopes, whereby sediment is yielded to torrents, the river, and eventually the seacoast;
- 2) debris flow control plans, which aim to prevent or mitigate disasters caused by debris flows;
- 3) large woody debris prevention plans to prevent or mitigate disasters caused by floating logs discharged with sediment;
- 4) volcanic sediment and erosion control plans, which aim to prevent or mitigate disasters caused by rainfall, volcanic activity, etc. in volcanic sediment and erosion control areas; and
- 5) abnormal sediment disaster prevention plans, which aim to prevent or mitigate disasters caused by abnormal sediment movement induced by landslides, dam collapse, etc.

Multiple plans from these five categories can be prepared for the same region simultaneously, depending on the disaster phenomena and the purpose of the countermeasure. In such cases, the plans should be developed separately for different disaster phenomena, and it is necessary to adjust each plan to maintain consistency between plans.

## 2.2 Basic items associated with river system erosion and sediment management

### 2.2.1 Overview

A river system erosion and sediment management plan should be established for the purpose of securing the flood control and water utilization functions of the river. It should also aim to conserve the environment through the prevention and mitigation of sediment disasters by controlling the movement of hazardous sediment into the river system from the mountainside, whereby sediment is originally yielded to torrents and eventually to the river.

As part of the river system erosion and sediment management plan, a sediment management plan for rationally and effectively managing hazardous sediment should be designed based on the design sediment discharge, etc.

In river systems where problems related to sediment movement are evident, the plan should be established by taking into consideration the promotion of comprehensive sediment management.

### Explanation

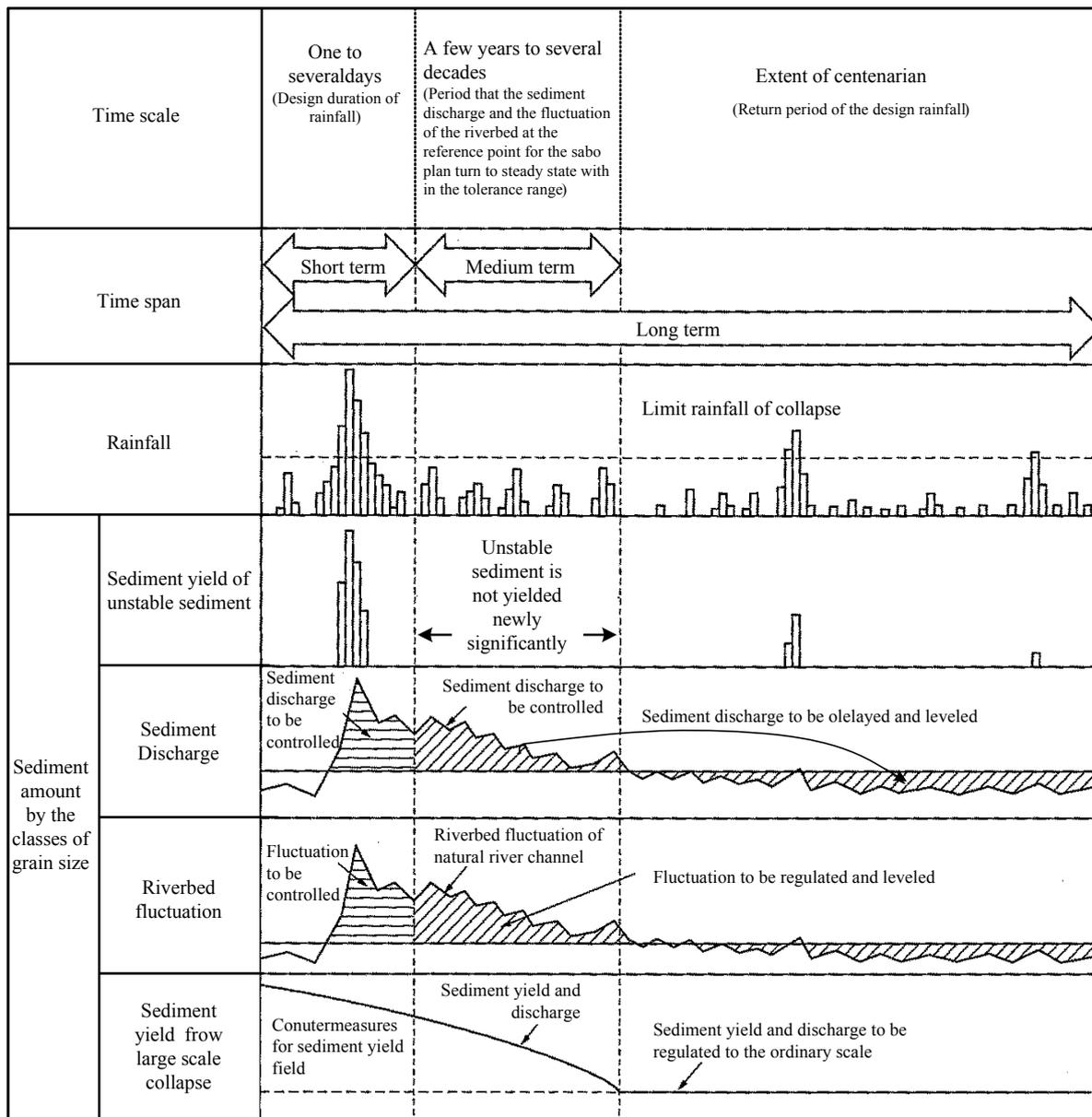
In designing a river system erosion and sediment management plan, there are three elements that need to be considered: 1) sediment discharge, 2) sediment quality (grain size), and 3) the time scale of sediment movement. A conceptual diagram of sediment movement in a river system erosion and sediment management plan formed around these elements is shown in Figure 3-1. Since a river system erosion and sediment management plan must be based on an understanding of the processes occurring within the system, and thus accumulated data, it is necessary to conduct sediment monitoring.

The subject time scales for sediment movement should be categorized into short-term, medium-term, and long-term, and sediment movement phenomena should be determined for each of these periods.

As a guide, the short-term period should be determined from the duration of a series of rainfall events that cause the design-scale phenomenon.

The medium-term period should be set to somewhere between a few years and several decades, which is considered to be the period of time necessary for the sediment yielded by short-term rainfall events to move and start having effects on the surrounding environment.

The long-term period should be set to between a few and several decades or longer that covers the short-term and the medium-term periods.



**Fig.3-1 conceptual diagram of Sabo plan for the whole river system**

### 2.2.2 Design scale

The design scale of a river system erosion and sediment management plan should generally be determined from an evaluation of the probability of the subject rainfall exceeding the annual precipitation, while also taking into consideration various factors such as past disasters in each river system, the importance and beneficial and adverse effects of the planning area, etc.

#### Explanation

The subject rainfall is determined by three elements: rainfall precipitation, temporal distribution, and spatial distribution. Refer to Section 2.6 of Chapter 2 for how to determine the subject rainfall.

The design scale should be appropriately determined from the subject rainfall, taking into consideration the

occurrences of sediment movement phenomena in past disasters. The design scale of sediment discharge by bed load transport should be determined in accordance with Section 2.5 of Chapter 2.

### **2.2.3 Design control points in the erosion and sediment management master plan**

Design control points in are points at which targets are set for sediment volume.

Design control points are to be set in the lowest reach of the target design area, at locations associated with river planning, in upper reaches that are targets for conservation, and in the lowest reach of areas where sediment is likely to be yielded, among others.

Auxiliary reference points are to be set in case it is necessary to determine sediment movement at a point where the sediment movement pattern changes, for example, in the upper reach of a tributary that is the target for conservation or at a confluence of the main stream and a tributary.

#### **Explanation**

Design control points are to be positioned where the regional characteristics are well reflected, in order to clarify the subject of the river system erosion and sediment management plan and ensure consistency with the sediment management plan within the entire planning area of the river system erosion and sediment management plan.

Auxiliary reference points should be set at more than one location if necessary.

### **2.2.4 Design sediment volume**

The design sediment yield, the design sediment discharge, and the design tolerable sediment discharge should be determined as the design sediment volumes necessary to develop a sediment management plan as part of the river system erosion and sediment management plan.

#### **Explanation**

The design sediment yield includes newly eroded sediment from mountainside or riverside collapse, sediment from the expected expansion of old collapses, and residual sediment from old collapses that is likely to be discharged at the time of collapse occurrence or is accumulated on the river bed and becomes the subject of secondary erosion. The design sediment yield is determined from data from surveys of the present status, surveys of past disasters, surveys in similar areas, etc.

The design sediment discharge is the part of the design sediment yield that is transported by the tractive force of a debris flow or runoff from design scale rainfall and which reaches the design control points. It is to be determined in consideration of past sediment discharges, the topography of the basin, the adjustment capacity of the river channel, etc.

When computing the tractive force, it is desirable to use the flow rate of the runoff calculated in consideration of the characteristics of mountain streams.

The design tolerable sediment discharge is a sediment volume that presents no hazard at the design control point, the downstream area, or the sea coast. It should be transported as necessary sediment and is determined in consideration of the tractive force of the water flow, the grain size of the discharged sediment, the present status

of the river channel, river channel planning, etc. In river systems where problems related to sediment movement are evident, the design tolerable sediment discharge should be determined by considering overall sediment management.

It is desirable that the design sediment yield, the design sediment discharge, and the design tolerable sediment discharge are represented by the sediment quantity and quality (grain size), depending on the temporal variability of sediment movement. Efforts should be made to determine the design sediment yield, taking into consideration not only the sediment quantity and quality (grain size) but also the sediment yield pattern, place of yield, and occurrence timing.

### **2.2.5 Sediment management plan**

The sediment management plan aims to rationally and effectively manage the sediment volume, which is the difference between the design tolerable sediment and the design sediment discharge; this is subject to sediment management at the design control points. The sediment management plan consists of a sediment production control plan and a sediment transportation control plan, which are interrelated.

#### **Explanation**

In developing a sediment management plan, the design sediment yield control volume, which is necessary for the sediment yield control plan, together with the design sediment discharge control volume and the design sediment discharge adjustment volume, which are necessary for the sediment transportation control plan, needs to be determined for the relevant design control points (auxiliary reference points). They should satisfy the following formula:

$$E = (Q + A - B)(1 - \alpha) - C - D$$

E: Design tolerance sediment discharge volume

Q: Design sediment discharge volume at the auxiliary reference point immediately upstream of the relevant design control point (or auxiliary reference point)

A: Design sediment yield volume

B: Design sediment yield control volume

$\alpha$ : Ratio of the sediment volume, which is adjusted in the river channel and does not flow downstream below the design control point (or auxiliary reference point), against  $(Q + A - B)$

C: Design sediment discharge control volume

D: Design sediment discharge adjustment volume

$\alpha$  is determined in consideration of the conditions of the river basin. Refer to Section 7 of this chapter for considerations regarding the natural environment and landscapes.

### **2.2.6 Sediment generation control plan**

A sediment generation control plan aims to rehabilitate sediment producing areas, prevent the occurrence of new sediment sources, and suppress hazardous sediment generation by controlling hillside failure, landslides, riverbed, and riverbank erosion.

In designing the plan, the design generated sediment needs to be rationally partitioned between hillside works, erosion control dikes, etc., taking into consideration the conditions in the sediment production area, the sediment production pattern, the sediment discharge pattern, the features requiring protection, etc.

### **Explanation**

The targets of a sediment generation control plan are mountainous hillslopes, the primary source of sediment, and river channels, secondary sources of sediment.

The design amount of sediment to be managed by erosion and sediment management facilities is determined according to the capacity of existing erosion and sediment management facilities, and the topography, geology, vegetation, stability of the ground, and other relevant factors.

### **2.2.7 Sediment transportation control plan**

Sediment transportation control plans are aimed at controlling hazardous sediment discharge with facilities that have sediment capture and adjustment functions, etc., and to allow non-hazardous sediment needed in the lower reaches to travel safely downstream.

In designing the plan, the design sediment discharge volume and design sediment discharge adjustment volume need to be rationally distributed to erosion control dikes, etc., taking into consideration the sediment yielding pattern, sediment volume and grain size, subjects for protection, geography, bed slope, present status of the river channel, etc.

### **Explanation**

Sediment transportation control plans are concerned only with the river channel.

The design sediment discharge control volume should include that portion of the mobile sediment that could be stored in fixed amounts by facilities such as erosion control dikes. When debris-exclusion works are planned, the mobile sediment produced from such works can be included.

Generally, it is necessary to set the design amount of sediment discharge to be controlled to the volume between the stable slope of the sediment stored in fixed amounts in facilities such as erosion control dikes and the accumulating gradient of sediment that is anticipated to be produced by a flood. Since the sedimentation area of an erosion control dike is often designed to provide a river course adjustment function, the design sediment discharge adjustment volume from an erosion control dike needs to be determined by newly increased volume. If the sediment capture and adjustment functions are enhanced by the installation of a silt dam, etc., then the effective volume needs to be evaluated appropriately.

## **2.3 Basic items associated with debris flow control**

### **2.3.1 Overview**

The purpose of a debris flow control plan is to protect people's lives, property, and public facilities from debris flows.

### Explanation

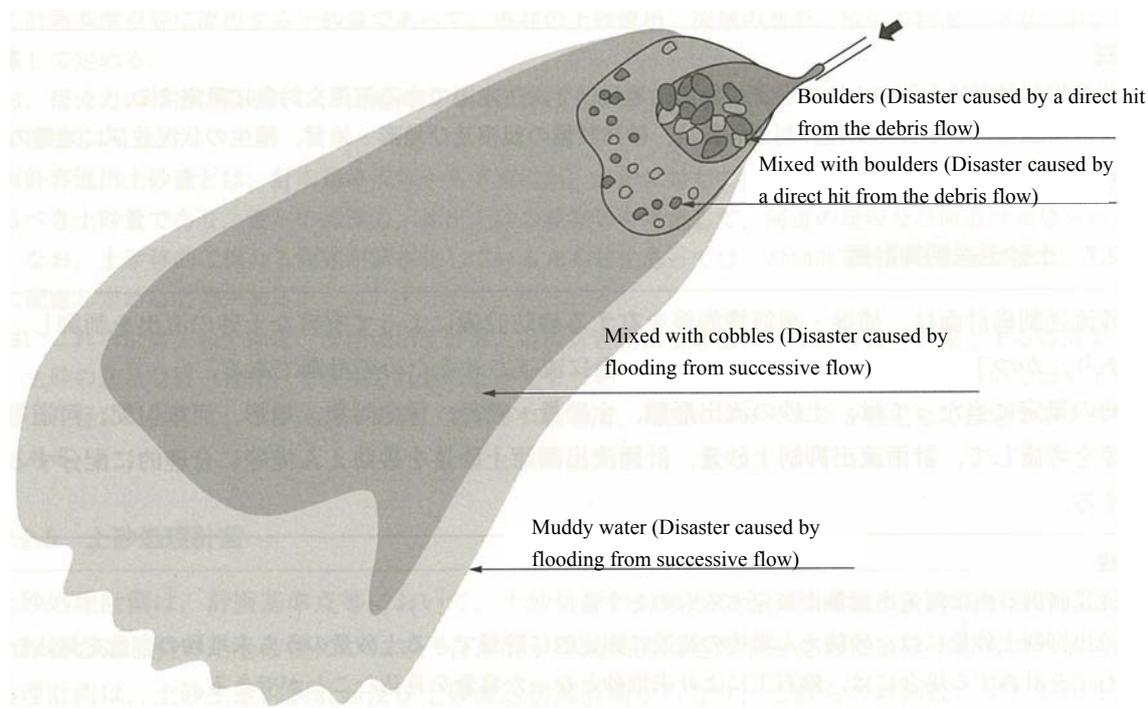
Debris flows are a natural phenomenon involving the fluidized flow of earth, rocks, vegetation, etc. produced from hillside failure, usually along the sides of mountain streams, with the flow tending to be concentrated within the stream course where additional material may be recruited to the flowing mass from the stream bed or sides.

Disasters caused by debris flows are divided into two categories: 1) disasters caused by a direct hit from a debris flow; and 2) disasters caused by flooding following the debris flow, etc.

Disasters associated with direct hits from debris flows are caused by boulders, which travel at the tip of the flow, directly colliding with man-made structures, including houses.

Disasters caused by flooding from the flows succeeding debris flows occur from the accumulation of floodwaters behind the tip of the debris flow.

An example of a typical discharge pattern of a large-scale debris flow is shown in Fig. 3–2.



**Fig. 3–2 Conceptual diagram of debris flow flooding**

### 2.3.2 Design scale

The design scale of a debris flow control plan should be determined by taking into account various factors, such as the characteristics of the basin, the benefits and potential adverse impacts of the project, etc. It is generally determined by evaluating the probable sediment discharge volume from debris flows and the return period of the subject precipitation.

### **Explanation**

The design scale should be determined in consideration of the characteristics of the basin, previous sediment movement phenomena during past disasters, etc. In evaluations that use the probability of the subject rainfall exceeding the annual precipitation, it is necessary to determine the scale of sediment movement that could be anticipated from a debris flow caused by that rainfall.

In mountain streams where debris flows occur frequently, the design scale may be determined from data on previous debris flows.

Since the behavior of debris flows caused by large-scale failure and landslides are not necessarily correlated with rainfall, it is necessary to set the design scale with reference to actual values associated with past debris flows in similar geography and geology in neighboring areas and other data.

### **2.3.3 Design control point, etc.**

The design control point is the point at which the sediment volume for the debris flow control plan is to be determined. Generally the design control points should be established upstream, above the subjects to be protected. When it is necessary to grasp the state of sediment movement at points where the sediment movement pattern changes, auxiliary reference points should be established.

### **Explanation**

Generally, when a facility is installed at the outlet of a valley upstream that is the subject for protection, or at the start point of debris flow accumulation, or downstream of the start point of debris flow accumulation, the design control point should be set downstream of the facility.

### **2.3.4 Basic items associated with countermeasures**

A debris flow control plan aims to prevent or mitigate disasters caused by debris flows. It should consist of comprehensive measures properly combining structural measures for suppressing debris flow occurrence and controlling discharge (such as construction and improvement of erosion and sediment management facilities, etc.) with non-structural measures (such as establishment of a warning and evacuation system, land use control, etc.).

### **Explanation**

Structural measures such as construction and improvement of erosion and sediment management facilities are aimed at preventing or mitigating disasters caused by debris flows. They should be designed such that they can rationally and effectively prevent debris flow occurrence and control discharge.

Debris flows can cause serious damage, including loss of life. Therefore, in parallel with structural measures, efforts should be made to predict debris flow occurrences as an example non-structural measures. It is necessary to plan comprehensive measures, including non-structural measures for minimizing the damage caused by debris

flows, by establishing warning and evacuation systems and information systems, controlling land use, etc. In the course of developing a debris flow control measure, the design sediment volume should be determined in accordance with Section 2.2.4 of this chapter, and a sediment management plan should be designed in accordance with Section 2.2.5 of this chapter. If marked changes take place in the basin owing to natural factors such as a new slope failure, debris flow occurrence, destabilization of slopes as a result of earthquake, etc., or owing to anthropogenic factors such as land development, then the design sediment volume, etc. need to be reviewed and the debris flow control plan needs to be revised, as required.

## **2.4 Basic items associated with prevention of large woody debris**

### **2.4.1 Overview**

Large woody debris prevention plans for river basins where floating logs and large tributaries are a feature aim to protect the lives and property of the general public, as well as public facilities, etc. from disaster associated with woody debris discharged with sediment.

#### **Explanation**

Generally, when a failure occurs in steep river basins in forested mountains, logs coupled with sediment runoff may clog narrow parts of the river, bridge openings, box culverts, etc. as they travel downstream, resulting in flooding of sediment, etc. and runoff from bridges, etc. and posing a serious hazard to lives, housing, and public facilities such as roads.

A large woody debris prevention plan should be developed to control damage from the flow of large woody material due to slope failure, debris flows, and riverbed and side erosion, as well as from re-mobilization of past deposits of fallen trees and hewn logs.

### **2.4.2 Design scale**

The design scale of a large woody debris prevention plan should be determined in consideration of various factors such as the characteristics of the basin, the volume of woody material discharged to the design control points, etc.

#### **Explanation**

The volumes of woody material in the plan should be represented as an actual volume of wood and calculated on the assumption that there are no facilities for preventing or reducing the occurrence and runoff of sediment and large woody debris in the basin.

Where it is predicted that the species, age, and timber volume of trees from the source area will not change, the volumes of timber runoff should be calculated from the areas of new hillside failure. This is determined from site investigations and in the erosion and sediment management master plan.

The volumes of large woody debris (trees, logs, tributaries) that are deposited on the riverbed should be determined from their lengths and diameters through site investigations. Artificially produced floating logs, such as runoff of hewn logs and converted timbers, should be excluded from the plan.

### **2.4.3 Design control point, etc.**

Generally, the design control points, etc. should be established upstream of the area where the subjects for protection are located. They should be identical to the design control points determined in the river system erosion and sediment plans, debris flow control plans, etc.

#### **Explanation**

Since large woody debris prevention plan are established in conjunction with river system erosion and sediment

management plans, debris flow prevention plans, etc., and not by alone, the design control points must be identical to those used for the other kind of control plans.

#### **2.4.4 Basic items associated with countermeasures**

From the design sediment volumes determined in the river system erosion and sediment plans, debris flow prevention plans, etc., a large woody debris prevention plan should be established in such a way that it is consistent with the sediment management plan, erosion and sediment management facilities are properly located, and sediment is rationally and effectively controlled.

#### **Explanation**

Large woody debris prevention generally involves measures for preventing the generation of floating logs and large tributaries and measures for capturing such material in the river channel to prevent them being transported downstream. A large woody debris prevention plan must be consistent with the facilities in the river system erosion and sediment management plans, debris flow prevention plans, etc., taking into consideration each sediment occurrence and the behavior of floating logs, depending on the sediment runoff pattern.

If the situation in the basin, such as the forest status, drastically changes, the volumes of floating logs in the plan should be reviewed and the large woody debris prevention plan revised.

### **2.5 Basic items associated with volcanic sediment and erosion control**

#### **2.5.1 Overview**

A volcanic sediment and erosion control plan aims to protect lives and property, public facilities, etc. from sediment disasters caused by rainfall events and volcanic activities in a volcanic sediment and erosion control area.

#### **Explanation**

A volcanic sediment and erosion control area means an area subject to damaging sediment disasters as a result of volcanic phenomena or movement of volcanic ejecta.

Disasters associated with volcanic eruptions can be highly destructive and extensive and their social impacts can be devastating and last for a long period of time after the eruption. Therefore, a volcanic erosion and sediment management plan needs to be consistent with regional plans, and, in parallel with the plan, construction and improvement of erosion and sediment management facilities and establishment of warning and evacuation systems need to be implemented in order to promote city-planning centering around safety and disaster prevention.

### 2.5.2 Subject phenomena, etc.

The phenomena subject to a volcanic erosion and sediment management plan include debris flows and volcanic mudflows caused by rainfall and volcanic events including lava flows, etc in area of the volcanic erosion and sediment management area.

The design scale of the target sediment movement phenomena should be determined in consideration of various factors, such as the natural and social characteristics of the area to be controlled, past volcanic activities and disasters, beneficial and adverse effects of the project, etc.

The design control points, etc. should be determined in accordance with Section 2.2.3 of this chapter.

#### Explanation

The target sediment movement phenomena in a volcanic erosion and sediment management plan should be basically debris flows and volcanic mudflows. Lava flows, etc. are included as necessary.

Other phenomena, such as pyroclastic flows, which are obvious when they occur and whose area of influence is predictable, need to be included in the plan if there is a likelihood of their occurrence. In such cases, ash falls, cinders, etc. should be evaluated as factors that affect the sediment-yielding region. Since sediment movement phenomena caused by rainfall events, etc. in volcanic erosion and sediment management areas are characterized by extremely different sediment-yield and runoff conditions (such as extraordinary erosion) compared to normal mountainous river basins, these should be taken into consideration in planning.

### 2.5.3 Basic items associated with countermeasures

A volcanic erosion and sediment management plan should be established as a comprehensive measure that appropriately combines structural measures (such as improvement of erosion and sediment management facilities) with non-structural measures (such as establishment of warning and evacuation systems, land use control, etc.). In this case, it should be established in accordance with Section 2.3.4 of this chapter.

A plan that targets lava flows, etc. at the time of eruptions should be established if this is deemed necessary from the consideration of past volcanic activities.

#### Explanation

In designing a volcanic erosion and sediment management plan, the design sediment volume, etc. should be determined for target phenomena in the volcanic erosion and sediment management area in accordance with Section 2.2.4 of this chapter. The design sediment volume, etc. should be properly determined from past disaster and eruption records, etc., taking into consideration the characteristics of sediment movement phenomena in the subject volcanic erosion and sediment management area.

Debris flows caused by rainfall events, etc. should be determined for each sediment yielding area and basin in compliance with the basic items associated with river system erosion and sediment management in Section 2.2, the basic items associated with debris flow control in Section 2.3, and the basic items associated with large woody debris prevention in Section 2.4 of this chapter.

A volcanic erosion and sediment management plan targeted at eruptions should be established in consideration of

various structural measures (such as improvement of erosion and sediment management facilities) and non-structural measures (such as establishment of warning and evacuation systems). It is desirable to design non-structural measures that assume phenomena exceeding the design target phenomena. For appropriate provision of information by municipal governments about warning and evacuation, non-structural measures should include preparation of volcanic hazard maps, establishment of warning and evacuation criteria, and monitoring of volcanic activities and sediment movement.

After the preparation of volcanic hazard maps, efforts should be made to publicize them so that local public bodies can guide land use based on them. It is necessary to keep in mind the fact that land use control can also be one of the non-structural measures used to facilitate prompt action at the time of disasters, such as those caused by eruptions.

Equipment for monitoring sediment movement, etc. should be selected in consideration of the characteristics of the subject volcanic erosion and sediment management area, and it is desirable to establish a system that allows appropriate information provision through the combined use of multiple equipment, etc.

In order to quickly and properly deal with disasters such as a sudden volcanic eruption, structural emergency plans such as construction of guide banks and flow channels, as well as non-structural measures such as monitoring of volcanic activity, should be planned..

In planning facility locations, it is necessary to thoroughly consider the local conditions, such as the natural environment (refer to Section 7 of this chapter).

When a remarkable change occurs, such as the status of volcanic activity or a new occurrence of sediment movement, the target phenomena in the plan should be reviewed and the volcanic erosion and sediment management plan revised if required.

## **2.6 Prevention of abnormal sediment disasters**

### **2.6.1 Overview**

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| Plans for preventing abnormal sediment disasters should be aimed at protecting lives and property and public facilities from sediment disasters such as the formation and collapse of landslide dams or from other types of rare events. |
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#### **Explanation**

Although disasters caused by the collapse of landslide dams, etc. do not occur frequently, their occurrence will generate large amounts of sediment resulting in tremendous damage. Therefore, a plan for preventing abnormal sediment disasters such as those associated with landslide dams should be designed when a landslide dam forms, depending on the phenomena that occur, etc. When a sediment disaster can be predicted in advance, it is necessary to take preventative measures.

### 2.6.2 Target phenomena, etc.

The target phenomena in a plan for preventing abnormal sediment disasters include: the potential submersion of items requiring protection upstream of a landslide dam formed in a river channel due to heavy rainfall or an earthquake; large-scale debris flows caused by the collapse of a landslide dam; debris flows caused by large-scale failure due to earthquakes.

The target scale of sediment movement phenomena in the plan should be determined in consideration of various factors, such as the phenomena of sediment runoff downstream caused by the collapse of landslide dams.

The design control points, etc. should be determined in accordance with Section 2.2.3 of this chapter.

#### Explanation

The design control points, etc. should be determined in accordance with Sections 2.2.3 and 2.3.3 of this chapter, depending on the target phenomena.

### 2.6.3 Basic items associated with countermeasures

A plan for preventing abnormal sediment disasters should aim to prevent or mitigate the damage likely to be caused. It should be a comprehensive plan combining structural measures (such as the construction and improvement of erosion and sediment management facilities, including drainage channels for draining the flooding caused by a landslide dam) with non-structural measures (such as determination of the hazard expansion area, monitoring of landslide dams, etc.).

#### Explanation

A plan for preventing abnormal sediment disasters such as those caused by landslide dams will combine structural measures (such as emergency measures for preventing or mitigating abnormal sediment disasters) with non-structural measures (such as: assessments of slope stability and the risk of landslide dam collapse; preparation of hazard maps of areas at risk due to flooding in the event of landslide dam formation and collapse; monitoring of landslide dams).

Emergency measures should include measures both for the landslide dam site itself and for the area downstream of the landslide dam, depending on the constraints of construction and the stability of the landslide dam.

Emergency measures at the landslide dam site include excavation of sediment forming the dam body (channel installation), dam body sediment removal, and installation of cutoff walls made of steel sheet piles. Downstream measures include the exclusion of debris from the area of sedimentation of existing erosion and sediment management facilities, installation of new erosion and sediment management facilities, etc. as measures downstream.

Proactive measures include the installation of erosion control dikes, etc.

An erosion and sediment management facility installation plan needs to take the occurrence of disasters exceeding the design scale into consideration.

Risk assessment to support warning and evacuation systems implemented by municipal governments and include the examination of stability with respect to slope failure and landslides. Stability can be determined from the

general risk assessment of long slope failure and landslides. In assessing the risk of landslide dam collapse, it is necessary to consider overflow from the dam as well as piping and slip failure of the downstream face of the dam due to infiltrated water.

The areas subject to hazard include upstream inundation areas due to the filling of the dam and downstream flooding areas caused by collapse of the dam. Upstream inundation area should be predicted from geological maps on the assumption that the highwater mark will be equal to the height of the landslide dam. In the case of a landslide dam collapse, the area of hazard should be predicted by comparing the maximum depth of flood occurring downstream of the landslide dam with the elevation at that location.

### **Section 3 Landslide Prevention Plan**

#### **3.1 Overview**

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| The aim of a landslide prevention plan aims is to protect lives, property and public facilities from landslides. |
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#### **Explanation**

Disasters associated with landslides occur under conditions particular to this nation, such as its characteristic topography, geology, climate, and land uses. These disasters are roughly divided into two types: 1) direct disasters, where the subject requiring protection is located on a landslide slope or within reach of a moving soil mass generated by a landslide; and 2) indirect disasters, which affect areas upstream and downstream through the blocking of rivers by sediments or the secondary collapse of river-clogging sediments.

The aim of a landslide-prevention plan is to prevent or mitigate these direct and indirect landslide-related disasters, and it should be developed from preliminary landslide surveys and the analyses thereof. The various conditions of the landslide-prevention area, such as topography, geology, climate, land use, the status of the subjects requiring protection, and the urgency of protection all need to be taken into account.

Since landslides generally occur on a large scale and consist of two or more movement blocks, it can take many years to complete construction works. On the other hand, because there are often many houses and public facilities on the landslide slope and within reach of the moving soil mass generated by the landslide, non-structural measures such as an appropriate warning and evacuation system are essential to cope with the threat of the landslide being activated. For this reason, a landslide-prevention plan needs to take into consideration not only structural measures such as construction and the improvement of landslide-prevention facilities but also non-structural measures to ensure the establishment of a warning and evacuation system.

The plan must be kept consistent with the surrounding environment, relevant laws and regulations and regional plans.

Once a landslide-prevention plan is established, it needs to be properly reflected in the landslide-prevention works master plan stipulated in Article 9 of the Landslide Prevention Act.

## 3.2 Basic items associated with landslide prevention

### 3.2.1 Target phenomena, etc.

The target phenomenon in a landslide-prevention plan is the sliding of specific portions of land as a result of groundwater flows, or the resulting movement of the land.

The target planning scale should be determined in consideration of various factors, such as the landslide phenomenon itself, the importance of the subjects requiring protection, the urgency of the project, and the beneficial and adverse effects of the project, etc.

#### Explanation

In a landslide-prevention plan, measures should be planned to deal with the movement phenomenon resulting from groundwater flows, etc., and the phenomena that follow this movement. Lands currently continuing to move, or those that show a landslide configuration and may move, should be targeted. Since landslide phenomena often show different movement patterns depending on natural conditions, such as topography and geology, in establishing the plan it is necessary first to fully understand the target landslide phenomenon and then to properly determine the target planning scale.

### 3.2.2 Basic countermeasure principles

A landslide-prevention plan needs to be a comprehensive measure combining structural measures with non-structural measures such as the establishment of a warning and evacuation system, as well as land-use control, etc.

#### Explanation

Structural measures for landslide prevention need to take into consideration the land uses in the landslide-prevention area and surrounding areas, etc. These measures should be designed so that the landslide-prevention facilities are arranged rationally and effectively to ensure the desired level of safety.

Non-structural measures mainly refer to the establishment of a warning and evacuation system and land-use control, among others. To contribute to appropriate warning and evacuation, monitoring equipment such as ground extensometers and ground tiltmeters need to be installed for speedy data collection, and efforts should be made to establish an appropriate communication system that connects with relevant organizations and bodies.

In the case of a landslide occurring around a dam reservoir, it is necessary to examine the effects of filling level fluctuation, etc.

In planning the construction or improvement of landslide-prevention facilities, it is necessary to take into full consideration the natural environment of the target area and surrounds, including the landscape and ecosystem, as well as the living environment, etc. For more details, refer to Section 7 of this chapter.

## **Section 4 Steep Slope Failure-prevention Plan**

### **4.1 Overview**

A steep slope failure-prevention plan should be established for the purpose of protecting lives and property from disasters caused by steep slope failures.

#### **Explanation**

The occurrence of steep slope failures is intricately connected with topographical, geological, vegetation, and rainfall characteristics, and it is extremely difficult to predict the location and timing of such occurrences. In addition, with the accompanying rapid large sediment movement, once a steep slope failure occurs it often leads to a life-threatening disaster.

Steep slope failure-prevention plans should include measures to construct and improve steep slope failure-prevention facilities and to regulate the occurrence of new risks by establishing a warning and evacuation system, constraining activity within a steep slope area in danger of failure, and limiting the construction of residential buildings. It should also ensure safety by encouraging landowners and those who may be affected by any disaster to take disaster-prevention measures and advising them on building relocation, etc.

A steep slope area in danger of failure means an area of land for which control of activity is required so that steep slope failure is not accelerated or triggered on the steep slopes or on neighboring land where a considerable number of residents or developments may be subjected to damage due to the failure.

### **4.2 Basic items associated with steep slope failure-prevention measures**

#### **4.2.1 Target phenomena, etc.**

The target phenomena in a steep slope failure-prevention plan are the failures of steep slopes as a result of natural phenomena such as rainfall events and earthquakes.

The design planning scale should be determined in consideration of various factors, including the presumed phenomena of steep slope failure, the importance of the subjects requiring protection, the urgency of the project, and the beneficial and adverse effects of the project, etc.

#### **4.2.2 Basic countermeasure principles**

A steep slope failure-prevention plan needs to be a comprehensive countermeasure that combines structural measures such as construction and improvement of steep slope failure-prevention facilities with non-structural measures such as the establishment of a warning and evacuation system, land-use controls, etc.

#### **Explanation**

The construction of a steep slope failure-prevention facility should be planned within a steep slope area in danger of failure in consideration of the importance of the subjects to be protected and the beneficial and adverse effects of the facility.

A warning and evacuation system should be established to predict disaster occurrence in advance and support early evacuation of community members from the area that is anticipated to receive damage.

To support smooth warning and evacuation processes by municipal governments, it is vitally important to quickly and accurately grasp the climatic conditions, the predicted rainfall, the standard rainfall level at which warning and evacuation are required, and the presence of precursory phenomena. To quickly and accurately gather such information and to share disaster-related information among relevant organizations and bodies and community members, efforts are needed to establish an appropriate information and cooperation system.

In establishing a steep slope failure-prevention plan, it is also necessary to adequately coordinate the plan with other projects that are planned from other laws and regulations and institutions, and to maintain consistency regarding the positioning of the subject slope in regional plans, etc.

For considerations associated with the natural environment, refer to Section 7 of this chapter.

**Section 5 Avalanche Prevention Plan**

**5.1 Overview**

An avalanche-prevention plan should be established for the purpose of protecting the lives of the general public from disasters associated with avalanches.

**Explanation**

An avalanche-prevention measure aims to protect people’s lives from disasters caused by avalanches, and it should be appropriately implemented through the construction and improvement of avalanche-prevention facilities, the spread of information on places where avalanche hazards are likely to occur, and the establishment of a warning and evacuation system.

An avalanche-prevention measure should target mainly hillside slopes and their surrounding areas.

In cases where there are items to be considered regarding relevant laws, regulations, and institutions, as well as aspects of the surrounding environment other than those stipulated in this chapter, the plan also needs to take these into consideration.

**5.2 Basic items associated with avalanche-prevention measures**

**5.2.1 Target phenomena, etc.**

The target phenomena in an avalanche-prevention plan are surface and total layer avalanches as well as snow cover movement (creep or glide) on slopes. The design planning scale should be determined in consideration of various factors such as predictable avalanche phenomena, the importance of the subjects requiring protection, the urgency of the project, and beneficial and adverse effects of the project, etc.

**Explanation**

An avalanche-prevention plan centers around areas in danger of avalanche. The design scale should be determined by selecting areas with a high avalanche hazard and determining the extent of the likely avalanche. This depends on the type and scale of predictable avalanches, as determined from the findings of topographic surveys, vegetation surveys, and other avalanche-associated surveys, while taking into consideration the characteristics of the area requiring protection.

The area in which a measure or measures are required in accordance with the design scale is the design target area.

An avalanche hazard area should cover an area with a slope of 18 degrees or more when the point at which the surface gradient becomes less than 15 degrees in the upper part of a hillslope with a surface slope of 15 degrees or more and a height of 10 meters or more is viewed.

### **5.2.2 Basic countermeasure principles**

An avalanche-prevention plan should be a comprehensive measure that properly combines structural measures to constructing or improving avalanche-prevention facilities with non-structural measures such as the establishment of a warning and evacuation system.

#### **Explanation**

Avalanche-prevention facilities should be planned in consideration of the importance of the subjects for protection and the beneficial and adverse effects of avalanche-prevention facilities, etc.

The establishment of a warning and evacuation system aims to predict the occurrence of avalanche disasters and support early evacuation from areas that are predicted to receive damage. This should be done at the prefectural and municipal levels and for relevant organizations, bodies, and community members. To this end, the plan needs to take into consideration the provision to community members of various details such as the predicted avalanche occurrence area, the avalanche path, the sedimentary province, and the movement pattern of the avalanche. It should also encompass the establishment of an appropriate communication and cooperation system for information sharing among the relevant organizations and bodies and community members.

For considerations related to the natural environment, etc., refer to Section 7 of this chapter.

## **Section 6 Comprehensive Sediment Disaster-prevention Plan**

### **6.1 Overview**

The aim of a comprehensive sediment disaster-prevention plan is to prevent or mitigate complex sediment disasters caused by multiple disasters, such those caused by sediment yield and runoff in the basin, landslides, steep slope failures, etc., and it should be established combining structural and non-structural measures.

### **6.2 The elements of comprehensive sediment disaster-prevention**

Comprehensive sediment disaster-prevention should be planned by determining the target phenomena, scale, and extent from the regional characteristics and land-use status. It should combine structural measures with non-structural measures such as the establishment of a warning and evacuation system and land-use controls, etc.

#### **Explanation**

To support the development of the plan, detailed surveys of the regional characteristics should be conducted, including the natural conditions (topography and geology), regional plans of the municipal government, and the

status of land-use controls based on relevant laws and regulations, etc.

A comprehensive plan must be established after identifying the area at risk and special damage potential zone in accordance with the Act for the Promotion of Measures for Sediment-related Disaster Prevention in Damage Potential Zones, etc.. It should incorporate the establishment of a warning and evacuation system; the implementation of land-use controls; the regulation of building structures; and the relocation of residences.

In designing warning and evacuation systems, the plan should be designed to take into consideration the comprehensiveness and efficiency of observations of the phenomena that may cause sediment movements associated with debris flow, landslides, and steep slope failure; the establishment of an information-gathering and communication system that facilitates fast and accurate forecasting; and the establishment of an information system that allows interactive information exchange with community members, etc.

### **6.3 Urbanized foothills greenbelt development plan**

#### **6.3.1 Overview**

An urbanized foothill greenbelt development plan should be planned as a comprehensive measure based on the urbanized foothill greenbelt master plan. It should aim to develop a region that is resistant to sediment disaster, maintaining consistency with regional plans, etc., and making use of the various functions and effects of trees. It should also properly combine structural measures such as the construction or improvement of erosion- and sediment-control facilities, landslide-prevention facilities, steep slope failure-prevention facilities, etc. with non-structural measures such as land-use controls.

#### **Explanation**

An urbanized foothill greenbelt is a slope greenbelt that consists of a series of forests on hillsides, in mountain stream areas, and on the foothill slopes neighboring urbanized areas for the purposes of sediment disaster prevention and mitigation. These greenbelts are also intended to help form good urban environments and pleasant scenery and landscape; they should conserve and foster ecosystem conservation in hilly urban fringes that may be susceptible to sediment disaster.

In light of their purpose, urbanized foothill greenbelt master plans are established by municipal governments as comprehensive plans that stipulate the basic functions and measures for future urbanized foothill greenbelt development in cooperation with relevant organizations and bodies and by coordinating land-use controls, etc. based on relevant laws and regulations. These master plans utilize greenbelts for provision of extensive disaster-prevention zones.

An urbanized foothill greenbelt development plan should be based on a master plan and include a comprehensive sediment disaster-prevention plan with specific measures for erosion and sediment management to be implemented by the responsible agencies.

In an urbanized foothill greenbelt development, it is important to implement the necessary measures such as the conversion of tree species to make full use of the various functions and effects of trees, in addition to the comprehensive development of erosion- and sediment-control facilities, landslide-prevention facilities, steep slope failure-prevention facilities.

The plan needs to be consistent with regional plans and with land-use control plans based on relevant laws and regulations.

**6.3.2 Basic countermeasure principles**

An urbanized foothill greenbelt development plan should be established in such a way that forest conservation and cultivation and forest structure improvement are implemented to maintain and improve the forest’s function of controlling sediment yield and discharge due to surface erosion, etc. The plan should also be designed to create and conserve areal disaster-prevention space by the implementation of measures such as construction or improvement of erosion- and sediment-control facilities, etc. It is particularly important for urbanized foothill greenbelts to be coordinated with other projects and land-use controls based on relevant laws and regulations so as to prevent uncontrolled urbanization and ensure the security of the subject region.

In developing a plan, sufficient consideration needs to be given to the building of a good urban environment, the creation of scenery and landscapes, ecosystem conservation, and the provision of places for healthy recreation, etc.

**Explanation**

A comprehensive measure should be planned to form a series of areal woody corridors neighboring an urban district and to construct or improve erosion-control dykes and erosion- and sediment-control facilities through hillside works, landslide-prevention facilities, and steep slope failure-prevention facilities, etc. The aim is to prevent and mitigate disasters due to sediment discharge, such as debris flows, landslides, steep slope failures, etc., on hillsides, in mountain stream areas, and in foothills on the outskirts of cities within urbanized foothills. It is particularly desirable that the plan is designed not only in cooperation with other projects, such as park projects, through the designation of damage-potential zones, etc., but also in appropriate cooperation with land-use controls etc. based on laws and regulations such as the City Planning Law for the purpose of preventing uncontrolled urbanization.

Since it is expected that appropriate conservation and growth of forests and improvement of forest structure will bring about beneficial effects such as the formation of a good urban environment, the preservation of scenery and landscapes, the conservation of habitat for animals, and the provision of places for healthy recreation, the design of the plan needs to take into consideration the actual status of the region for which these effects are planned.

**Section 7 Consideration of the Natural Environment**

In establishing an erosion- and sediment-control master plan, landslide-prevention plan, steep slope failure-prevention plan, avalanche-prevention plan, or a comprehensive sediment disaster-prevention plan, sufficient consideration needs to be given to the natural environment and landscape in and around the design area.

**Explanation**

Mountainous slopes, mountain streams, river channels and areas adjoining such natural spaces are associated with distinct ecosystems and landscapes.

In establishing an erosion- and sediment-control master plan, landslide-prevention plan, etc. for a particular river basin, or other geographic unit, it is necessary to fully consider existing conditions, including habitat for animals and plants, the landscape, water quality, etc., as well as the location, shape, and structure of proposed facilities to secure the continuity of the living and growing environment for plants and animals and a good quality landscape.

For details of the promotion of comprehensive sediment management, including the securing of continuity of sediment movement in sediment transport systems, refer to Section 4 of Chapter 1.

Since one of the purposes of an erosion- and sediment-control master plan is to restore degraded natural environments to their original state, efforts need to be made to use native species when introducing vegetation to a degraded area. It is desirable to select species that are in harmony with the natural environment of the local and downstream areas. In the process of re-vegetation, goals need to be set, and it is desirable to perform maintenance as required.

Existing vegetated slopes in an urban district are important components of the urban landscape and are valuable spaces for conservation of plant and animal habitat. Therefore, measures to construct or improve erosion- and sediment-control facilities, landslide-prevention facilities, steep slope failure-prevention facilities, and avalanche-prevention facilities need to consider the surrounding living environment, etc., the conservation of existing trees, and the introduction of new vegetation by using native species.

# Chapter 4 Seacoast Preservation Planning

## Section 1 General Information

A seacoast preservation plan aims to preserve, restore, and improve the functions of a seacoast and thereby improve and preserve coastal protection and the coastal environment, ensure appropriate use of the public seacoast, and create a harmonized coastal space.

This chapter describes basic items associated with coastal protection, improvement and conservation of the coastal environment, and seacoast utilization. These are basic items that need to be examined in the establishment of a seacoast preservation plan.

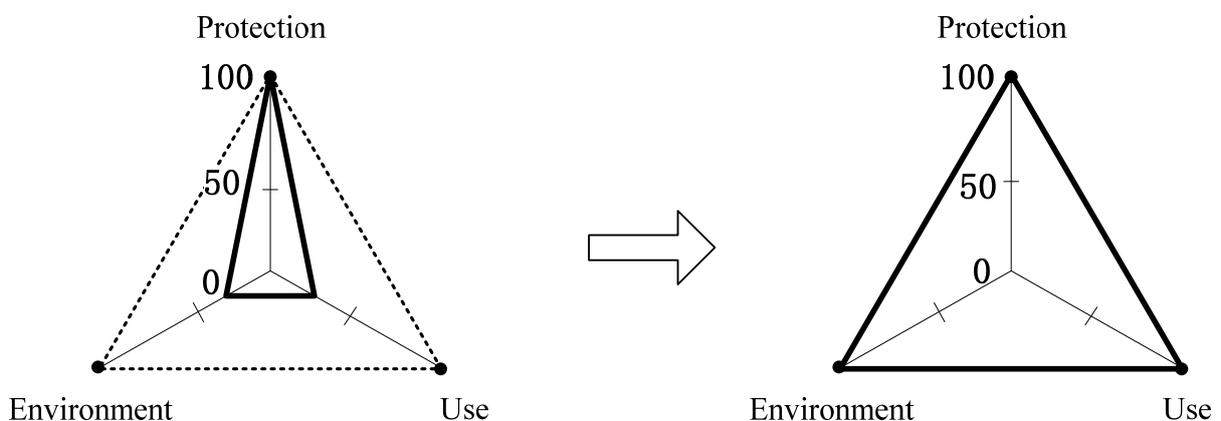
A seacoast preservation plan must be a comprehensive plan based on all these items.

### Explanation

Seacoasts are valuable assets for humankind, and they need to be properly evaluated as land, places for marine recreation, parks, places for agriculture and fisheries, scenic sites, ecological systems, historical and cultural spots, livelihood spaces, etc., and passed down to future generations. On the other hand, severe natural conditions and changes in social and economic environments have caused disasters due to storm surges, tsunamis, and coastal erosion.

Historically, there have been cases in which a coastal environments have been damaged and access to the seacoast prevented due to coastal protection works. There have also been cases in which gently sloping coastal dikes and revetments constructed to secure access to a beach have reduced the natural beach area, prevented use of the beach, eliminated the plant and animal habitat, and increased wave overtopping rates.

In public administration, the term “seacoast preservation” has been used mostly to mean coastal protection as in the term “coastal protection facility”, but a seacoast preservation plan involves “preservation” as a comprehensive term, the definition of which includes preservation of the coastal environment and beach use (Fig. 4-1).

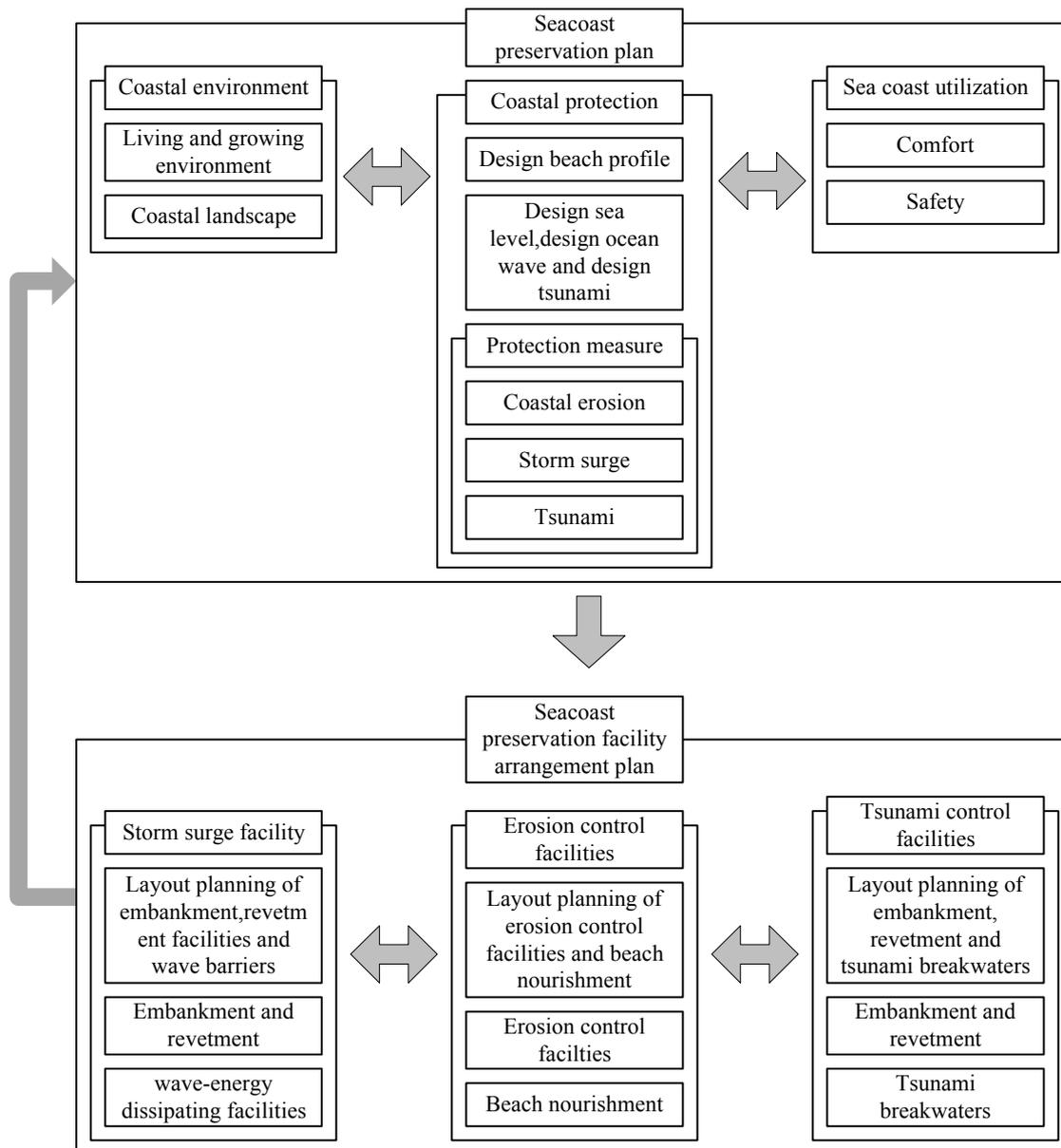


**Fig.4-1 Direction of seacoast preservation**

Figure 4-2 shows the organization and flow of plans. A plan consists of a seacoast preservation plan, which is a master plan, and a seacoast preservation facility arrangement plan, which defines the type, arrangement, and

scale of facility, etc. A seacoast preservation plan is composed of basic items associated with seacoast preservation, improvement and conservation of the coastal environment, and seacoast use, all of which are the purposes of seacoast preservation. A seacoast preservation plan should not aim to provide the best outcome for the individual areas of coastal preservation, environmental conservation, or use of the seacoast, but must aim to comprehensively optimize these three features. To this end, there are cases in which plans may need to be reexamined.

In a seacoast preservation facility arrangement plan, the organization of seacoast preservation facilities, etc. that satisfy the basic items defined in a seacoast preservation plan, as well as the arrangement and scale needed to acquire the necessary performance, should be determined. Since erosion, storm surge, and tsunami facilities are planned as integral parts of the plan, there are cases that call for reexamination. Also, in the process of examining a facility arrangement plan, it is necessary to confirm that the coastal preservation, environmental conservation, and use goals determined in the seacoast preservation plan are satisfied. If these goals are not satisfied, then it is necessary to reexamine the seacoast preservation plan. The seacoast preservation plan and the seacoast preservation facility arrangement plan need to be established in such a way that they are integrated with each other.



**Fig.4-2 Organization and flows of plans**

The aim of “Coastal protection” is to protect the lives and property of the general public from storm surges, tsunamis, and ocean waves, and to protect seacoasts, which are public assets, from erosion. The aim of “improvement and conservation of the seacoast environment” is to: conserve the diverse spaces themselves, including mud beaches, sandy beaches, shingle beaches, and cliffed coastlines, which form the substrate of ecosystems, history, and culture; to preserve coastal landscapes, which are historically and culturally important; and to improve degraded coastal environments wherever necessary. The aim of “Seacoast utilization” is to coordinate diverse overlapping or conflicting use purposes such as recreation, distribution, and fisheries, and to promote safe and comfortable seacoast utilization.

In a seacoast preservation plan, the terrestrial and marine areas that influence the seacoast are treated as one integral area. The plan should include measures to be undertaken in the seacoast preservation area by seacoast

administrators as well as measures to be implemented through coordination with the people concerned from surrounding areas.

In many cases the protection line is set on the basis of the current shoreline when examining coastal protection. From the viewpoint of overall national land management, however, although the protection line seems to be regarded as a matter-of-course condition, its appropriateness needs to be reexamined in view of proper land use, etc.

A seacoast is an area with diverse natural conditions, including its meteorology, oceanographic phenomena, topography, and geology, etc. Social and economic conditions such as population, assets, and land use are different from one seacoast to another. Consequently, care must be taken not to design a seacoast preservation plan in a standardized manner, but to take into consideration the particular characteristics of each subject region. Along a seacoast, natural processes such as ocean waves, tides, winds, and the activities of organisms are constantly taking place, and give rise to a particular coastal geomorphology and coastal environment. By valuing and making use of these natural processes, it is possible to preserve the natural habitat in good condition and to conserve and develop a safe and beautiful seacoast. For this purpose, in designing a seacoast preservation plan it is necessary to fully understand natural forces.

Seacoasts are generally divided into two types: 1) beaches composed of mud, sand, and shingle; and 2) cliff-lined coasts, with exposed base rocks and many reefs. Beaches are further classified into three types depending on their bed materials: 1) mud beach; 2) sandy beach; and 3) shingle beach. An extensive flat area composed of sand and mud with an intertidal zone is called a tidal flat. Sandy beaches, being the primary target of coastal protection, are where seacoast preservation facilities are often constructed or improved by seacoast administrators and where conflicts between coastal protection, environmental conservation, and use can easily occur. Figure 4-1 shows the functions of the sandy beach. In building or improving a seacoast preservation facility at a sandy beach, it is essential to understand that sandy beaches have important functions related to coastal protection, environmental conservation, and utilization, as they absorb the energy of waves, purify seawater, provide habitat for flora and fauna, provide spaces for recreation and sports, and work space for agriculture and fisheries.

**Table.4-1 Functions and capabilities of the sandy beach**

| Purpose     | Function   | Capability   |
|-------------|--|--|
| Protection  | <ul style="list-style-type: none"> <li>▪ Wave over topping prevention</li> </ul>   | Reduction efficiency of run-up height and wave overtopping rate, and stability of coast line   |
| Environment | <ul style="list-style-type: none"> <li>▪ Water purification</li> <li>▪ Living space for plants and animals</li> <li>▪ Landscape</li> </ul> | Efficiency of purification, living conditions for plants and animals (grain size of bed material and water quality, etc) and landscape obstruction (crest height, etc) |
| Utilization | <ul style="list-style-type: none"> <li>▪ Swimming beach</li> <li>▪ Fishing</li> <li>▪ Place for festive events</li> </ul>                  | Convenience (access time, etc), comfort (rain size and color of bed material, water quality, etc)  |
| Other       | Foundation of structures such as embankments   | Support strength of rock bed, scour rate and stability   |

The following items need special consideration in designing a seacoast preservation plan.

1. Consistency with related plans

Consistency with other related plans such as national land utilization or development plans and regional plans needs to be assured.

2. Cooperation and coordination with the administrative authorities concerned

Sufficient cooperation and close coordination with seacoast-related administrative authorities are necessary.

3. Community participation and information exchange

Seacoast-related information needs to be distributed widely during plan preparation to improve the transparency of the project and convey what the situation concerning coastal protection, environmental conservation, and utilization would be like as a result of plan implementation. Community members should be invited to participate in public hearings during both the plan development stage and the plan implementation stage as required so that the plan can be implemented effectively and efficiently.

4. Review of the plan as required

The achievements of the plan and construction or improvement of planned seacoast preservation facilities need to be reviewed as required. Any changes will depend on the results of the monitoring and will be in accordance with changes in the physical, social, and economic conditions of the subject region.

## Section 2 Basic Items Associated with Coastal Protection

### 2.1 Overview

The aim of coastal protection is to mitigate damage to human lives and assets and impacts on various activities

taking place on land owing to storm surges, tsunamis, ocean waves, and sediment regimes while maintaining harmony with the coastal environment and utilization.

A defined section of seacoast should be determined as the target area from the target external forces; the situation of the area prone to flooding due to wave overtopping or overflowing; and the continuity of beach drift.

This section describes the elements of a coastal protection plan as well as the basic policies of coastal protection against erosion, storm surges, and tsunamis. A coastal protection plan should provide comprehensive treatment of three aspects: erosion, storm surges, and tsunamis. In particular, it is necessary to examine the presence of erosion in order to confirm the adequacy of the design beach geometry elements on which storm surge and tsunami control measures are based.

### **Explanation**

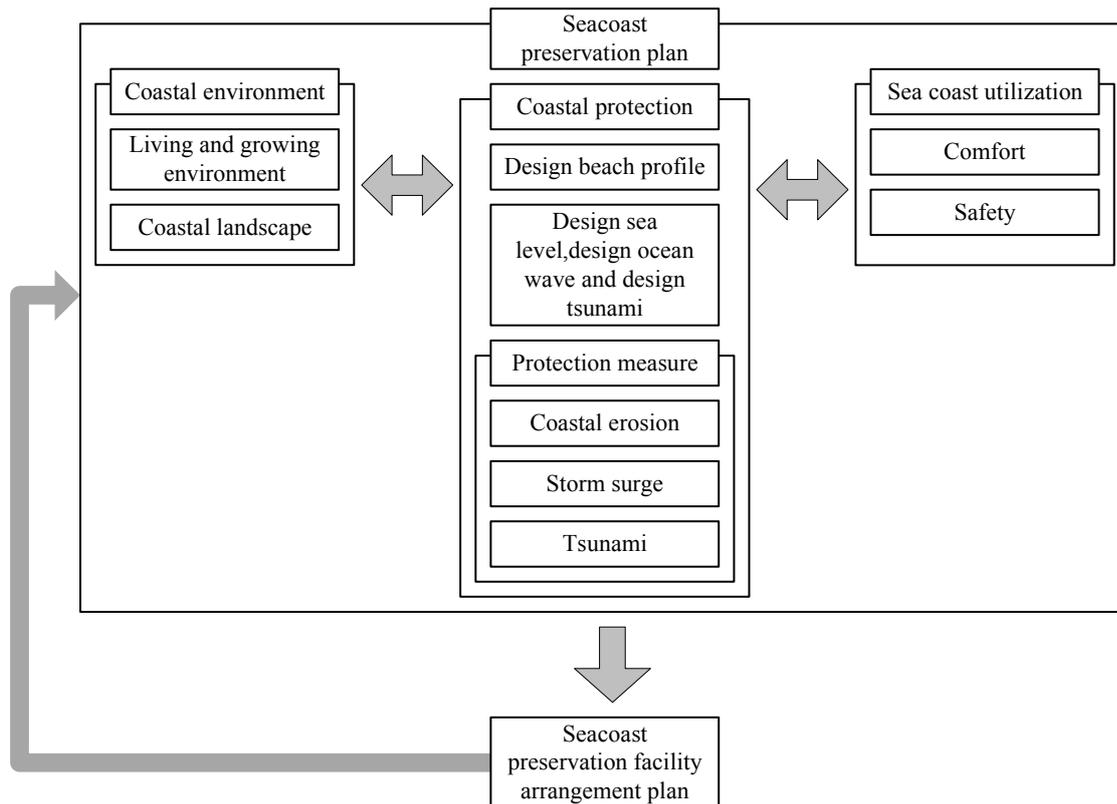
Under their severe natural conditions, including such phenomena as earthquakes, typhoons, low pressure systems, and winter ocean waves, the coastal areas of Japan are prone to disasters caused by tsunamis, storm surges, ocean waves, coastal erosion, etc., and many parts of Japan have actually received major damage in the past.

Figure 4-3 shows the place of coastal protection in a seacoast preservation plan. In a seacoast preservation plan, the goals associated with coastal protection, environmental conservation, and utilization should be set in accordance with the current situation of the subject seacoast. From these goals, the design ocean wave, design tsunami, and design sea level, which form the basic parameters of the seacoast preservation plan, should be determined, and the target coastal features should be determined as the elements of the design coastal form. Next, protection measures against coastal erosion, storm surges, and tsunami should be examined by using the plan's basic parameters. In this examination process, measures for dealing with coastal erosion, storm surges, and tsunamis need to be planned as a single integrated project, and there may be cases that call for reexamination as part of this process. If it turns out that no effective measure can be planned as a result of the examination, then a review of the goals of coastal protection, environmental conservation, and utilization may be necessary.

The development of countermeasures against coastal erosion, storm surges, and tsunamis requires a comprehensive examination, since control measures for storm surge and tsunami control are likely to differ depending on the target coastal features of the plan. For example, because the wave overtopping rate increases as the beach profile becomes smaller due to erosion, the elements of the design coastal form are a prerequisite for storm surge and tsunami control measures. Therefore, the long-term stability of the coastal features subject to protection (i.e. the necessity for a coastal erosion control measure) needs to be confirmed before developing a storm surge or tsunami control measure.

A coastal protection plan is part of a seacoast preservation plan, and the seacoast preservation plan must be a comprehensive, well-integrated plan in which measures for coastal protection, environmental conservation, and utilization are in harmony.

The design scale should be determined in consideration of past storm surges and ocean waves, the current status of the subject seacoast, the beneficial and adverse effects of the project, and the importance of the project area.



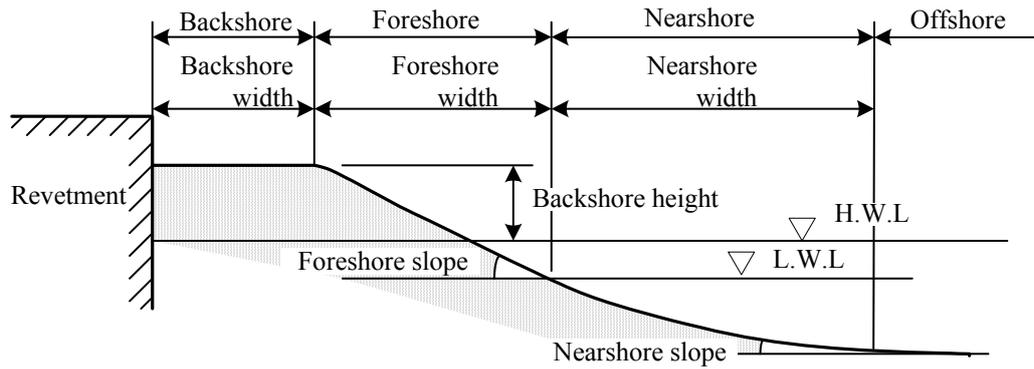
**Fig.4-3 Positioning of coastal protection in a seacoast preservation plan**

## 2.2 Factors of the design coastal form

The elements of the design coastal form should be determined as coastal features that are deemed necessary for coastal protection, environment, and utilization, based on an analysis of natural conditions such as meteorology, oceanographic phenomena, and topography, while taking into consideration the population, asset concentration, utilization, economy, etc. of the hinterland.

### Explanation

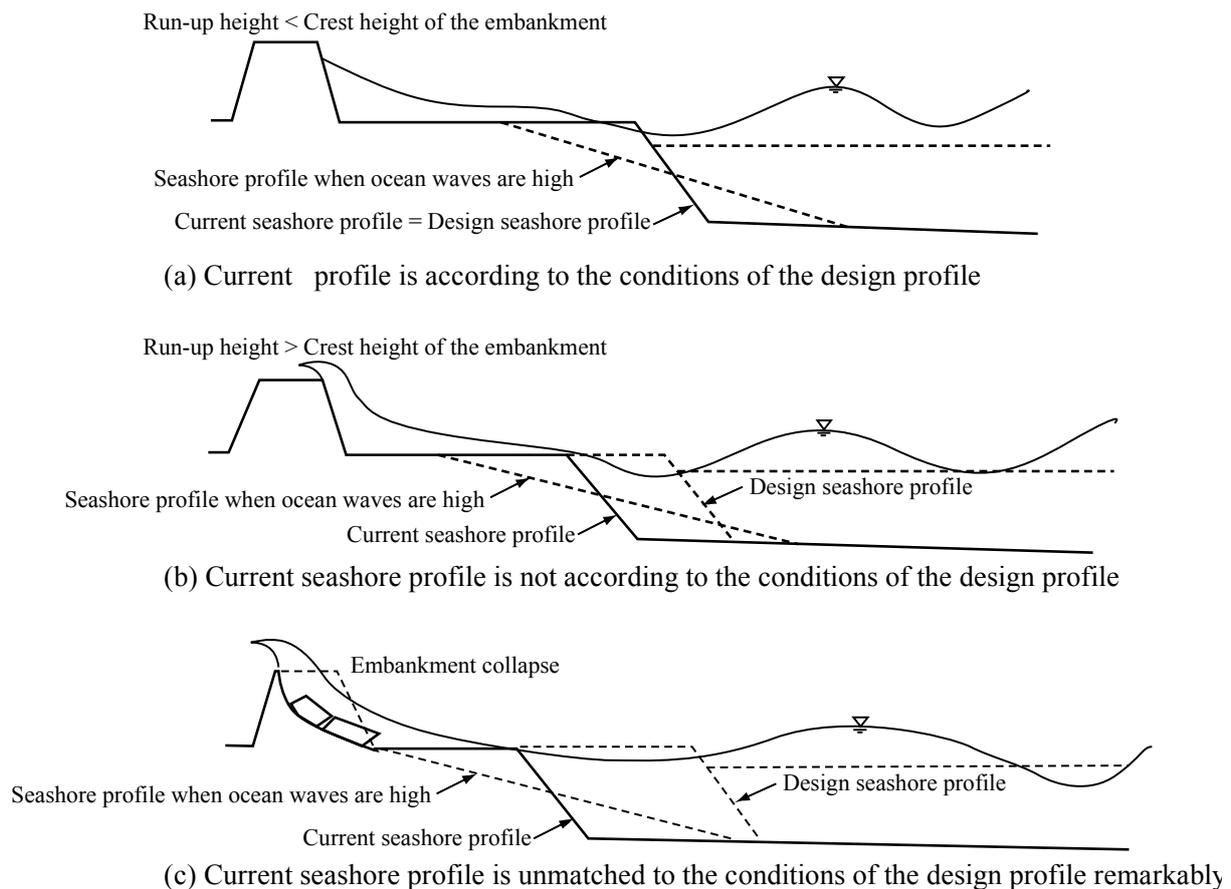
The key elements of coastal form include backshore width, backshore height, foreshore width, foreshore slope, nearshore width, nearshore slope, and shoreline shape (Fig. 4-4). When determining the elements of the design coastal form, coastal features that are necessary for maintaining and improving the functions (protection, environment, and utilization) of the target seacoast should be determined.



**Fig.4-4 Elements of coastal form**

In view of coastal protection, a shore sectional area, rather than individual elements of the coastal form, should be determined as the design shore elements in a storm surge or tsunami control measure in such a way that the run-up height does not exceed the design height (see Fig. 4-5). For coastal erosion control, the national land base line, the backshore width used as the foundation of the seacoast preservation facility construction, and the shoreline shape for control of the long-shore sediment transport rate should be determined. For coastal environment conservation, the necessary elements should be determined on grounds of the backshore width necessary for coastal vegetation and egg deposition, etc., Plant and animal habitat, and the coastal landscape. Elements necessary for a seacoast utilization measure should be determined based on the regional culture and livelihood as well as the number of seacoast users (see Table 4-1).

The sectional form of a shore constantly changes owing to short-term variabilities caused by ocean waves and tidal currents coupled with long-term variabilities such as erosion caused by imbalanced beach drift. In determining the design coastal features, a shore design that permits long-term stabilization should be assumed. The necessary elements of the design shore form must be ensured despite the predictable short-term variabilities. If the current shore elements are not effective in securing the elements of the design shore form, static beach nourishment may need to be considered to secure them.



**Fig. 4-5 Concept of the design seashore profile**

If the design shore form dimensions cannot be met by the application of a coastal erosion control measure, or if they are insufficient as storm surge or tsunami control measures, then the design shore form elements or the goals for coastal protection, environmental conservation, and utilization should be revised.

### 2.3 Design sea level

The design sea level should be determined based on tides, storm surges, seiches, secondary oscillations, etc. The frequency, period, duration, etc. of waves must also be taken into consideration.

As a general rule, the design sea level should be one of the following:

1. Maximum high water in the past
2. High water + Largest sea level departure from normal in the past
3. High water + Estimated largest sea level departure from normal

In cases 2 and 3, corrections can be made based on the frequency with which high water and largest sea level departure from normal occur simultaneously.

#### Explanation

Among the design sea level determination methods listed above, in cases 2 and 3, high water during the typhoon season instead of high water can be used, as in the bays of Tokyo, Ise, and Osaka. When the estimated largest sea level departure from normal obtained from a prediction formula or simulation using past meteorological data is

employed, the Ise Bay Typhoon is often used as the design meteorological condition. For areas that are presumably prone to receiving damage at extraordinary levels, such as Tokyo, Ise, or Osaka Bays, for example, the Ise Bay Typhoon and other past typhoons are used to create models, and the design meteorological condition is determined through storm surge simulations that assume several different courses. The provisory clause is stipulated to allow revision of the design sea level if, from past data, an examination of the frequency with which high water and largest sea level departure from normal occurred simultaneously necessitates it. This would be likely in such cases as when the design sea level drastically fails to meet the content of the actual plan.

## 2.4 Design ocean wave

The design ocean wave height and period should be determined from an estimate obtained from long-term measured values, wave prediction using long-term meteorological data, or measured values for a neighboring coast. In either case, significant waves should be used in principle.

The design wave direction should be the direction that is expected to most significantly affect the run-up height, wave overtopping rate, and the scale and stability of structures, etc.

### Explanation

The design ocean wave should be a deep water wave and should be determined, as a general rule, from measured values. Generally, one of the following should be used as the design ocean wave for use in a storm surge control measure or structural design:

1. Largest measured ocean wave
2. Ocean wave predicted from an abnormal weather model
3. Probable ocean wave obtained through probability prediction based on statistical data estimated from actual measurements or past meteorological data

As a general rule, significant waves should be used for ocean wave extremal statistics. Actual measurements used for ocean wave statistics should preferably be those recorded over a considerable period of time (more than 10 years). However, since there are cases in which abnormal ocean wave occurrences are concentrated over several years, careful analysis is essential when deciding to choose an observation period from the available data. In cases where there is a lack of actual measurements, the following data or material may be used as supplements:

1. Values obtained by using measured data to correct predictions computed from meteorological data recorded over 30 recent years
2. Long-term estimates obtained through wave prediction from meteorological materials such as weather charts

When using measured data to correct estimates obtained from meteorological data, the measured data should be from at least 3 years of records and should include a considerable number of abnormal ocean wave events. However, if a wave is observed during an abnormal weather event that may or may not occur once in several decades, and if that wave exceeds the estimated value, then the observed value may be used to determine the design ocean wave. Any records of abnormal waves from outside the period used for the estimations based on

meteorological data (e.g. before the period) need to be considered. Furthermore, if measured data and estimates are available for a neighboring coast that has similar submarine topography, meteorological conditions, oceanographic phenomena, and other natural conditions, then the wave for the subject coast may be estimated by using these materials as reference.

Depending on the submarine topography, tide level, or the way in which the coastal structures have been installed, the wave height reaching coastal structures may not be the peak for the design ocean wave. Consequently, it is necessary to consider waves that are lower than the design wave height.

When waves hit a coastal structure, those approaching perpendicular to the length of the structure are usually the most dangerous. However, if this is not the case—for example, when the properties of entering waves are largely dependent on the angle of entry, or if there is an interception, or if the wind area is limited in a bay—then the design wave direction must be one that most affects the stability of structures, etc.

The ocean wave data used as part of a coastal erosion control measure are the wave height and prevailing wave direction that yield the mean ocean wave energy based on actual measurements when the shoreline variability is within the normal range. If there are large seasonal variations, ocean waves may be determined for each season. If the variation is temporary, the maximum annual wave or waves hitting several times per year, as determined from actual measurements, may be used.

When examining coastal utilization, ocean waves should be determined depending on the use.

## **2.5 Design tsunami**

The design tsunami should be determined through examination of past tsunamis in consideration of the beneficial and adverse effects of the project, the importance of the project area, etc.

### **Explanation**

Because of the low frequency of occurrence of tsunamis, in many cases (except in some areas such as the Sanriku coastal zone) sufficient data and experience from past tsunami disasters are not available for use in designing a tsunami disaster prevention plan. In addition, the length of the tsunami recurrence interval to be considered in determining the design tsunami has not been clearly specified, and the frequency of occurrence and scales differ considerably from region to region. For this reason, the wave height of the design tsunami should generally be determined from examinations of past tsunamis and in consideration of the beneficial and adverse effects of the project. In some cases, the maximum run-up height of a past tsunami is used as the design run-up height.

Since tsunamis cause tremendous damage very quickly, when the project area is an area of high importance, an assumption should be made about the magnitude and hypocenter of the earthquake. From this assumption, and in consideration of the run-up height estimated through numerical simulation, the design tsunami should be determined. In cases where there are no reliable data available or where the coastal geometry or facility arrangement has been changed because of the installation of a new coastal structure, etc., then the same procedure should be followed. For a region that may be hit by a tsunami occurring in an inter-plate seismic gap, a tsunami assumed with a virtual fault model may be used, since the details of past tsunami events are not known in many cases.

Because tsunamis have extremely long wavelengths and their propagation even affects the submarine topography—including abyssal floors—non-ria coasts may also receive serious damage. Although tsunami disaster-prevention measures are usually designed from past tsunami marks, or heights, when a measure is developed from an assumed tsunami, tsunami deformation in shallow waters needs to be considered.

Because tsunamis are a low frequency phenomenon, there is no need to include the external forces targeted in measures against storm surges.

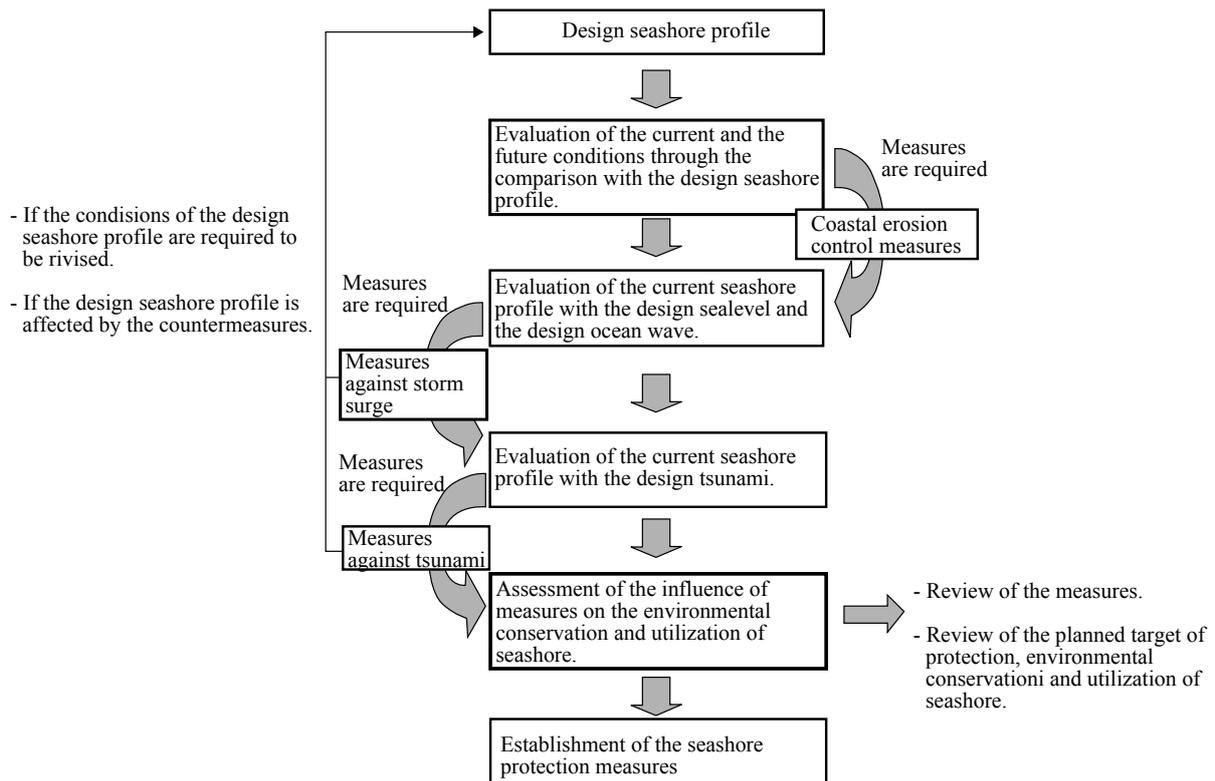
## **2.6 Basic policy for coastal protection**

### **2.6.1 Overview**

Coastal erosion, storm surges, and tsunamis should be considered in a comprehensive manner in designing a coastal protection measure. Coastal protection needs to be in harmony with the target coastal environment and utilization. The development of coastal erosion control measures must precede storm surge and tsunami control measure considerations, since the design shore form elements, which are preconditions of storm surges and tsunamis as well as of the coastal environment and its utilization, must be determined in the process of developing a coastal erosion control plan.

#### **Explanation**

A basic policy for coastal protection should define the goals and means of protection against coastal erosion, storm surges, and tsunamis. These should not be developed separately, but need to be designed as integral parts of a comprehensive measure (Fig. 4–6). As this flow diagram indicates, in developing a coastal protection measure, the design shore form and the current shore form should be compared, and the need for a coastal erosion control measure examined, to ensure that the design shore form elements, which are preconditions for storm surge and tsunami control plan development, are obtained. For a seacoast for which it has been judged that a measure is necessary, first, a coastal erosion control measure and then a measure for securing the design shore form should be examined. Next, it should be determined whether a storm surge or tsunami disaster prevention measure is necessary against the design tide level, the design wind wave, and design tsunami; if a measure is required, it should then be examined. At this point, if the measure is expected to adversely affect the maintenance of the design shore form, then the coastal erosion control measure needs to be reexamined. There may be cases in which the design shore form elements constrain the content of the measure against storm surges. In such cases there is a need to revise the design shore form elements and establish a more appropriate plan. The need may also arise to modify the design shore form elements in the process of developing a coastal protection-related plan in view of coastal environment conservation and utilization factors.



**Fig. 4-6 Flow chart of the seashore protection planning**

Through this process, a seacoast preservation plan should be optimized during its development with adjustments and coordination between measures against coastal erosion, storm surges, and tsunamis. The same optimization process through periodic examination is indispensable for coastal protection, environmental conservation, and utilization measures.

In particular, examination of coastal erosion to confirm the design shore form elements needed for coastal protection, environmental conservation, and utilization must be included as a precondition for developing any seacoast preservation plan.

### 2.6.2 Coastal erosion

A coastal erosion control plan aims to attain the design shore form elements, where appropriate, through (static) beach nourishment; improvement of the sediment regime; and preservation of the design shore form elements by ensuring well-balanced littoral sand drift through (dynamic) beach nourishment.

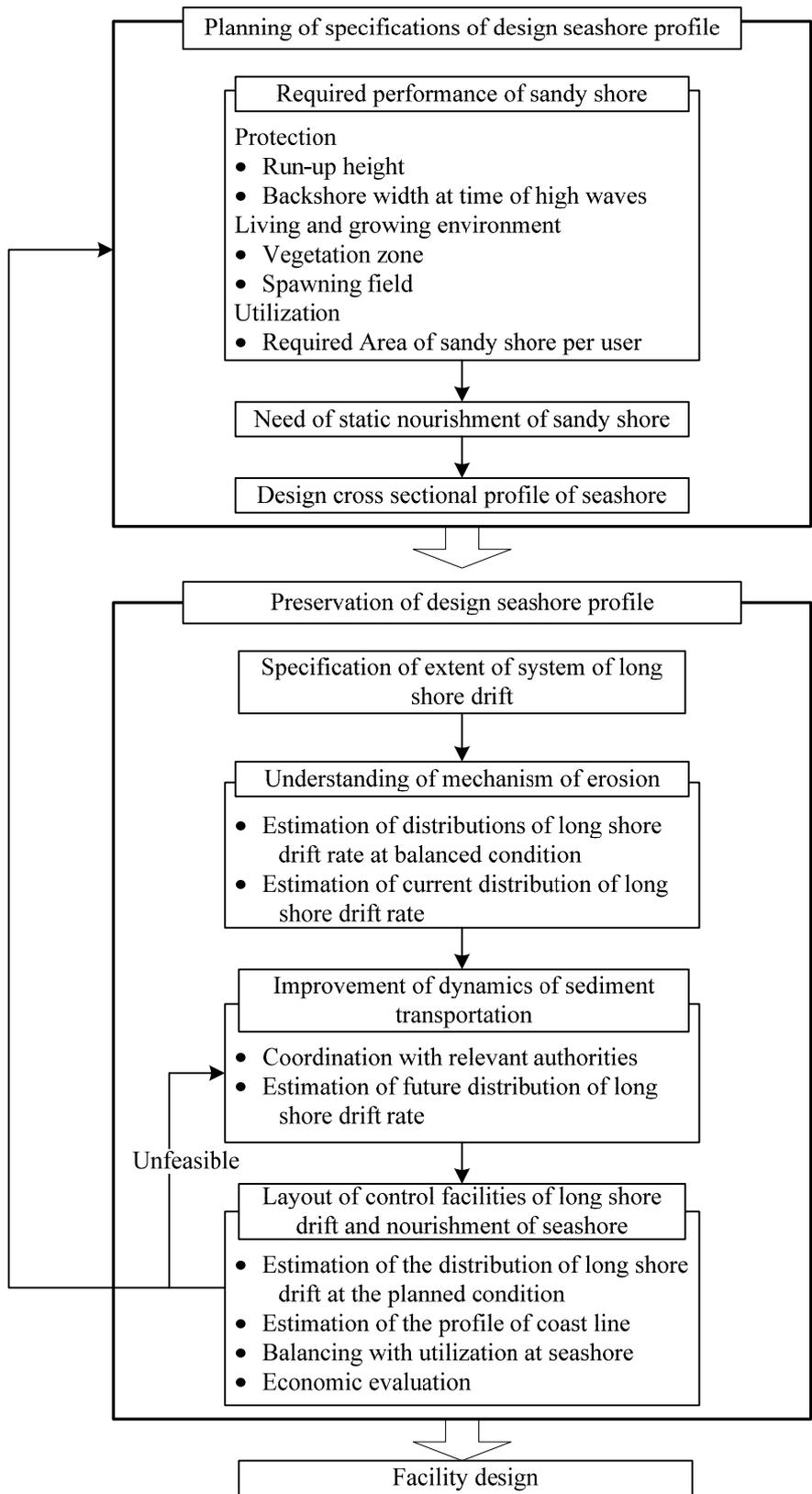
The project area, in principle, should be a littoral transport system, but it may include coastal land areas as appropriate.

#### Explanation

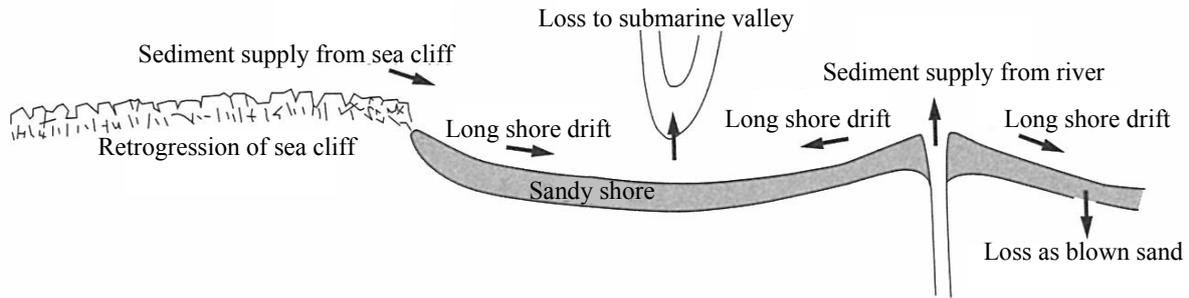
A coastal erosion control measure aims not only to prevent loss of national land and disasters caused by overflowing or wave overtopping, but also to pass down precious coastal environments to future generations and secure utilizable coastal spaces. To this end, the design shore form elements should be achieved through (static) beach nourishment. Equilibrium of sediment transport rate should be restored through sediment regime

improvement, construction of a littoral transport control facility, or (dynamic) beach nourishment so that the design shore form elements can be preserved.

Figure 4-7 shows the typical flow of coastal erosion control planning. In designing a coastal erosion control plan, it is necessary to first specify a littoral transport system with a closed sediment budget, except in cases where the sediment is discharged to a submarine canyon or deep sea. Figure 4-8 shows the pattern of the sediment budget in a littoral transport system. The primary contents of the sediment budget in a littoral transport system are: 1) sediment supplied from the river and cliffs; 2) sediment discharged out of the zone as blown sand; 3) sediment flowing in or out the zone as littoral drift sand; 4) sediment flowing in or out the zone as cross-shore drift sand; 5) sediment discharged to a submarine canyon or the deep sea; and 6) sediment artificially moved out of the zone.



**Fig.4-7 Flow chart of erosion control planning**



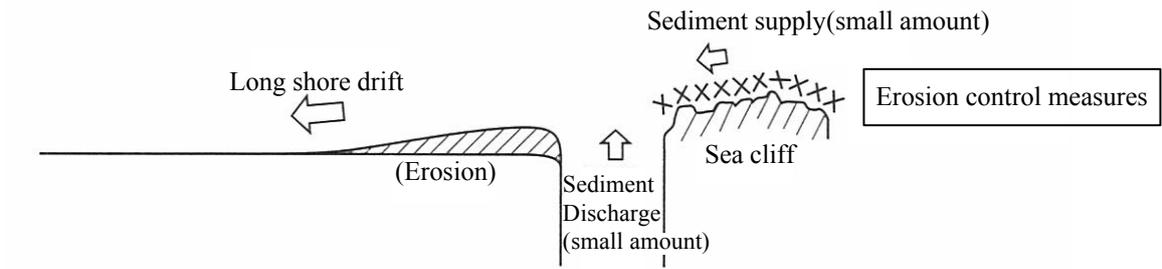
**Fig.4-8 Schematic diagram of sediment balance of seashore**

Next, from the status of past and present sediment budgets, the erosion mechanism should be elucidated, efforts to improve the sediment regime should be made through coordination with relevant organizations and bodies, and a future target sediment regime should be set. To this end, it is important to grasp the sediment regime evolution as a longshore sediment transport rate distribution or a sediment budget. The sediment regime should be investigated at points above and below the point where sediment change its features as a results of flows into the target littoral sediment transport system, the point where sediment is discharged out of the system, and the point where littoral sand drift is changed by a coastal structure, etc.

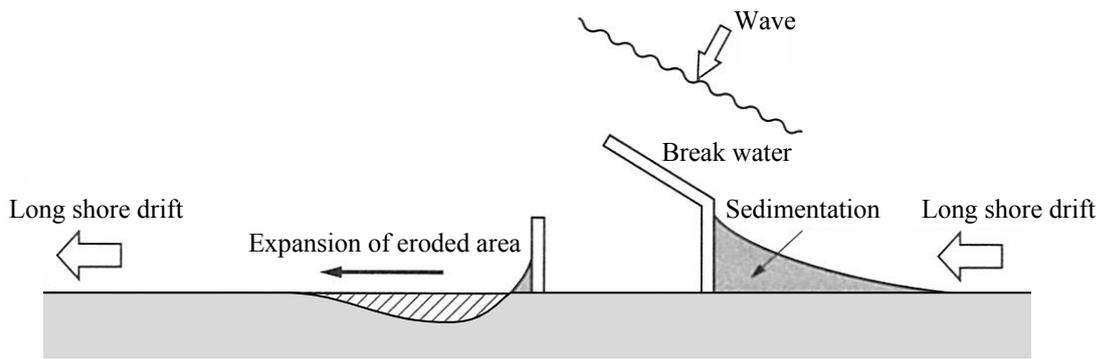
Because changes in coastal topography due to impacts such as environmental changes (e.g. changes in wind waves and sediment supplies) may be delayed, occurring over an extended period of time, an erosion mechanism is often associated with multiple factors from different generations. When investigating an erosion mechanism, the history of the sediment environment of the river basin and coastal zone must be examined and analyzed in a comprehensive manner, and the sediment volume supplied to the coast and the littoral sediment movement must be elucidated.

In cases where improvement of the sediment regime only is not effective for maintaining the design shore form elements from social and economic points of view, these elements must be maintained through the construction of a littoral sediment management facility or (dynamic) beach nourishment. The feasibility of installing a planned sediment management facility and using (dynamic) beach nourishment measures should be examined from economic and environmental viewpoints. If they are not feasible, then these measures should be reexamined and the design shore form elements and the goals for coastal protection, environmental conservation, and utilization should be modified as appropriate.

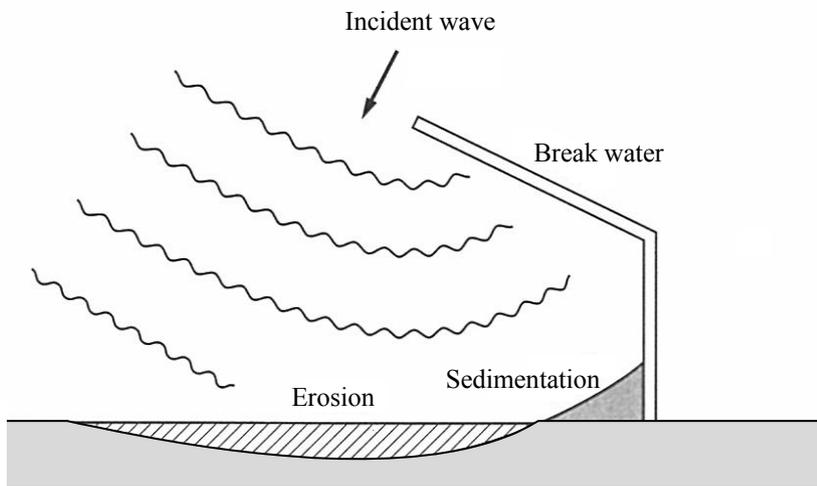
In examining a series of coastal erosion prevention measures, it is necessary to harmonize the measures with factors related to the coastal environment and utilization and to take full advantage of the various properties of the target seacoast.



(a) Decreasing of sediment supply



(b) Disruption of sediment transport



(c) Formation of a shielded area

**Fig.4-9 Patterns of coastal erosion**

Coastal erosion is caused mainly by land subsidence or imbalances in longshore sediment transport. Erosion caused by the latter may arise from the following mechanisms:

1. Reduction of sediment supply from rivers and coastal cliffs (Fig. 4-9 (a))

Sediment discharged from river mouths decreases because of various factors, such as the presence of erosion

control dikes located in the upper reaches of rivers that discharge into the subject seacoast, sedimentation in dams, reduced sediment discharge associated with reduced flood frequency, increased sedimentation in the river owing to the presence of groundsills, etc., and decreased river sediment loads due to gravel quarrying. In a seacoast that has been maintained by sediment supplies from coastal cliffs, coastal cliff erosion control measures will cause a sediment budget imbalance, resulting in erosion.

#### 2. Disruption of littoral sand drift continuity (Fig. 4-9 (b))

If structures such as a breakwater, training dike, landfill revetment, jetty, or offshore dike are installed in a seacoast where littoral sand drift is prominent, then part or all of the coastal sand drift will be blocked and erosion will occur down current of the structure.

#### 3. Dredging and gravel quarrying

If excavation is undertaken offshore by dredging of navigation channels or estuaries, or by gravel quarrying in an estuarine or sea area, drift sand may be trapped in the excavation marks, or beach profile changes that fill the excavation marks may occur, resulting in erosion.

#### 4. Shielded area formation (Fig. 4-9 (c))

Even on seacoasts with little littoral sand drift, where the wave approach angle is almost perpendicular to the shoreline, a shielded area is formed behind a large-scale breakwater or pitched island installed in the sea area. Sediment moves from the outside to the inside of the shielded area near the shore, resulting in erosion. On the other hand, on seacoasts with little apparent sediment transport due to seasonal variation in the wave approach angle, the sand drift direction is not reversed even when the wave direction is reversed within the shielded area. Therefore sediment deposited as a result of littoral drift sand being blocked by the anthropogenic structure cannot move out of the shielded area. This causes an imbalance in the sediment transport rate, facilitating the rapid development of erosion.

Since the short-term changes on a beach dominated by cross-shore sediment transport are, in most cases, reversible processes, and can be treated as probabilistic, the variability range should be reflected in the elements of the design shore form. In addition, since the occurrence of non-reversible cross-sectional changes has been confirmed, care must be taken when interpreting the results of actual section measurements.

### 2.6.3 Storm surge

A storm surge control plan aims to prevent wave overtopping and inland seawater flow by using embankments and wave dissipation structures for the design tide level, design wind wave, and design shore form elements, thereby protecting human lives, assets, and activities in the area subject to protection.

The mitigation of disasters caused by external forces exceeding the design scale should also be counted.

#### Explanation

Storm surge countermeasures are aimed at preventing disasters caused by storm surges and high wind waves.

The “storm surge” phenomenon is an abnormal rise of the tide level in marine coastal areas because of barometric depression and winds caused by typhoons, low pressure systems, etc. However, “measures against storm surges” target both abnormal tide levels and high wind waves caused by strong winds. Patterns of damage caused by storm surges and high wind waves are classified into the following two categories: 1) damage from flooding in the sheltered area due to wave overtopping and inland seawater flow, and 2) damage caused by the energy from the overtopping sea water and by inflowing objects. The objects to be protected can be divided into three categories: 1) human lives, 2) assets, and 3) activities such as business activities and transportation.

In most cases, the milder a coastal slope is, the greater the wind setup becomes. Seacoasts with mild slopes, such as those of the bays of Tokyo, Ise, and Osaka and the Sea of Ariake, have historically received serious, large-scale storm surge disasters. On the other hand, on seacoasts such as that of Suruga Bay, which is characterized by a steep submarine topography, although the wind setup is comparatively small, because storm surges can approach without being attenuated by the submarine topography, the embankments needed are higher than for the aforementioned enclosed bays.

The preliminary basic research that must be performed before a storm surge countermeasure is developed should be conducted as described in the Research volume on Technical Criteria for River Works (Proposal). Not only natural conditions, such as climate and oceanographic phenomena, but also social conditions such as the population and industries in the project area should be studied. In particular, disaster research needs to be aimed at grasping not just the hydraulic data but also detailed information on the actual situation so that it can be used effectively as the basis of determining the design scale.

It has been confirmed that wind waves attacking a seacoast at the time of a typhoon, etc. include long-period waves whose period is as long as several minutes, and these are largely assumed to be the cause for high run-up heights and wave overtopping. However, because there have not been sufficient data available to justify the quantitative treatment of long period waves, here, a storm surge countermeasure should be developed from the design tide level plus the effect of the design wind wave, as has been conventionally done. Efforts are needed to gather data to be used to grasp the characteristics of long period waves in accordance with the relevant descriptions in the Research volume.

Before a storm surge countermeasure is developed, coastal erosion should be examined to ensure that the design shore form elements will be secured.

In establishing a storm surge countermeasure, in view of the damage mitigation against external forces exceeding the design scale, not only structural measures of facility construction or improvement but also non-structural measures such as rapid information transfer for effective evacuation should be examined.

The content of a storm surge countermeasure needs to be harmonized with coastal environment conservation and utilization factors so that various characteristics of the subject seacoast can be fully utilized.

#### **2.6.4 Tsunamis**

|  |
|--|
| A tsunami control measure should aim to mitigate damage to human lives and assets as well as influences on activities in sheltered areas by the construction of a coastal dike designed in accordance with the design tsunami. |
|--|

Mitigation of the damage from external forces exceeding the design scale should also be taken into consideration in the design of a tsunami control measure.

### **Explanation**

A tsunami control measure should aim to prevent tsunami disasters. Patterns of damage from tsunamis can be classified into the following two categories: 1) damage from flooding in the sheltered area due to wave overtopping and seawater inflow; and 2) damage caused by the energy of overtopping seawater and from inflowing objects.

Surrounded by quake-prone marine areas, Japan has often received damage from tsunamis since ancient times. Tsunamis are caused by a sudden deformation of the sea floor and seawater surface (e.g. fault movement, topography failure, meteorite fall, etc.) and are often caused by submarine earthquakes. Tsunamis can be divided into two categories: 1) far field tsunamis that reach the coast in several hours after the occurrence, and 2) near field tsunamis that reach the coast within several tens of minutes after the occurrence. The frequency of large-scale tsunamis hitting a particular region is extremely low, although once they do hit, they cause destructive damage to the region, resulting in serious disasters. Although studies are being conducted on the characteristics of tsunami-causing earthquakes, so far there has not been enough progress to make it possible to predict the occurrence of tsunamis. On the other hand, seismic gaps at plate boundaries have been recognized as origins of the earthquakes likely to cause tsunamis.

To develop a tsunami control plan, research is needed into natural conditions such as the topography and social conditions such as the population and industries of the project area. When considering the establishment of an evacuation system, etc., it is necessary to investigate the land uses in the subject coastal area, including the suitability of coastal buildings to the coastal environment. Research on past disasters, in particular, needs to be aimed not just at gathering hydraulic data, but also at investigating the actual circumstances under which the data were collected. Since human memories and experiences of past disasters can easily fade with time, special attention should be paid to the collection and storage of data and materials as sustainable records.

In view of the need to mitigate the damage caused by excess external forces and establish risk management, there is a need to construct or improve coastal protection facilities such as embankments and breakwaters. There is also a need for comprehensive measures that combine these structural measures with non-structural measures such as the establishment of a disaster prevention system in cooperation with communities in the subject region; the securing of areas for evacuation and escape routes; evacuation guidance for users; and adjustment of land uses. Furthermore, the occurrence of earthquakes with relatively small tremors that cause major tsunamis (tsunamigenic earthquakes) needs to be taken into consideration in the design of prevention measures.

Since the occurrence of tsunamis is not associated with other oceanographic phenomena, measures for fast recovery after coastal protection facilities have been destroyed by tsunamis need to be established for seacoasts where storm surges or high wind waves often occur.

The content of a tsunami disaster prevention measure needs to be harmonized with coastal environment conservation and utilization factors so that the various characteristics of the subject seacoast can be fully utilized.

## **Section 3 Basic Items Associated with Coastal Environment Improvement and Preservation**

### **3.1 Overview**

The aim of coastal environment improvement and preservation is to protect or restore the ecosystem and landscape of the subject coast in harmony with coastal protection and utilization.

Goals for coastal environment improvement and preservation should be based on the current and past status of the coast as well as the situation on neighboring coasts. The goals should aim to preserve and restore the diverse elements of the coastal environment, including beaches and cliff-lined coasts, while making efforts at consensus building among the parties concerned.

### **Explanation**

Seacoasts are an interface between the land and the sea. They provide diverse habitat for animals and plants, such as beaches (including tidal flats) and coastal cliffs, and there are many indigenous animals and plants that are dependent on these peculiar natural conditions. There are also seacoasts where beautiful natural landscapes, such as those with white sand and green pines, are seen, or where natural parks are located. The primary environmental functions of seacoasts that need to be improved and preserved include: 1) a space for animals to live, breed and raise young; 2) biological and physical water purification; 3) physical and mental health improvement; 4) a space for environmental education; and 5) carbon dioxide sequestration function.

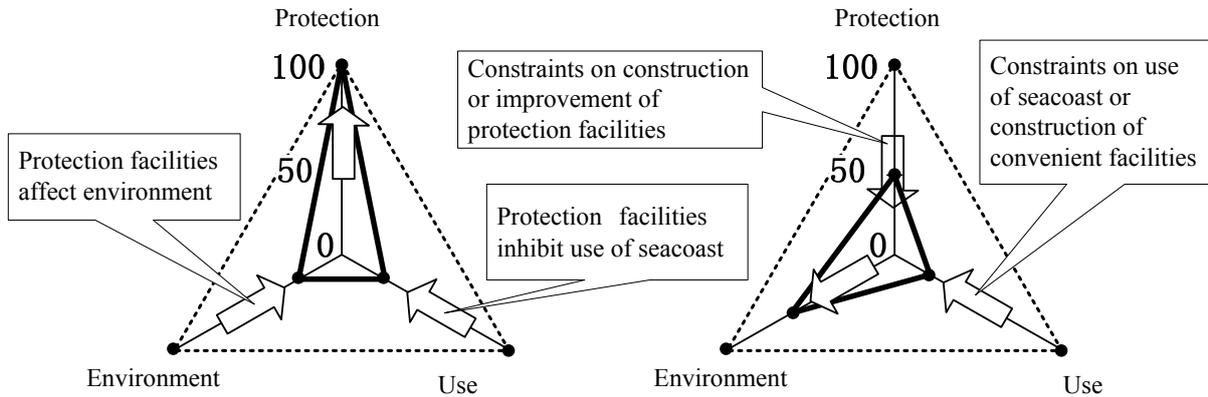
A coastal environment improvement and preservation plan should cover not only the subject seacoast preservation area but also the neighboring coast, hinterland, and offshore sea areas, whenever this is necessary.

In coastal environment improvement and preservation, behaviors that have adverse effects on the coastal environment should be avoided if at all possible. Efforts should be made to protect the coastal environment, and lost natural conditions and landscapes should be restored as required. To this end, construction or improvement of seacoast preservation facilities should be implemented in accordance with the natural characteristics of the individual seacoast. Also, in view of the need to procure living and growing spaces for animals and plants, it is necessary to examine the existing facilities with a view to rebuilding them to be friendly to the coastal environment.

As shown in Fig. 4–10, trade-offs may occur between coastal protection, environmental conservation, and utilization factors. In addition, because a coastal environment is composed of diverse elements, the value judgments of those elements are diverse. For this reason, if the target of the plan is limited to particular elements, the plan may adversely influence other elements and invite conflicts of value. To minimize these trade-offs and conflicts and set coastal environment goals sensitive to the natural and social characteristics of the subject area, it is important to build consensus between the concerned parties (seacoast administrator, local government, experts, community members) and implement preservation and restoration measures through their collaboration (Fig. 4–11).

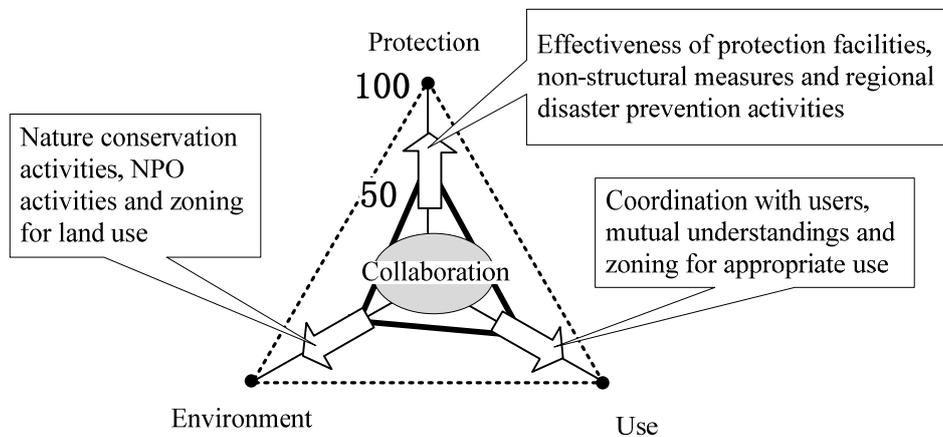
[The case of protection conscious]

[The case of environment conscious]



**Fig.4-10 Trade-offs between coastal protection, environmental conservation and utilization**

Data and information on the subject seacoast environment should be collected, documented, and analyzed. By providing and publicizing the results, such data and information should be shared between the concerned parties to bring about a common understanding of the coastal environment to be preserved. Cooperation with other projects, establishment of a support network with experts and NGOs, and application of adaptive management, etc. are also important. In the process of developing the plan, the "Guidelines for Promoting Coastal Development in Symbiosis with Nature" would be a useful reference.



**Fig.4-11 Seacoast development well-balanced in protection, conservation and utilization**

### 3.2 Preservation and restoration of hospitable habitat for animals and plants

Plans or measures for the preservation and restoration of sustainable habitat for animals and plants should be designed from research conducted in the past or in the course of plan development. The plans or measures should aim to preserve a highly stable living and growing environment to sustain diverse fauna and flora. Efforts should also be made to restore the environment if this is necessary.

#### Explanation

Preservation and restoration of sustainable habitat for animals and plants should be evaluated from the following three points of view: 1) stabilization of sustainable habitat for animals and plants; 2) smooth matter cycle; and 3) biodiversity.

#### 1. Stabilization of sustainable habitat for animals and plants

Such habitat should be regarded as having been stabilized if utilized by indigenous species with no significant decrease or increase in the number of individuals for several years or longer, or if the numbers of individuals of those species match the constituent ratios appropriate for the habitat. Because changes in the situation of a habitat greatly influence the species living in that habitat, the degree of balance between the factors forming the habitat, the bed material and water quality, and other significant factors should be determined.

#### 2. Smoothness of material cycle

The material cycle mechanism should be comprehensively elucidated from an examination of various components, including inflow from rivers, seawater exchange volume, biological production volume, internal production rate, settling volume, solution volume from the sea floor, solution rate, etc.

#### 3. Biodiversity

The degree of biodiversity should be determined from the numbers of indigenous species higher and lower in the food chain in the habitat. In this process, not only the variety of the entire biocenosis but also the varieties of indigenous species and their abundance as a proportion of the entire community should be considered, and it is also necessary to take heed of rare species, the coastal environment status, and the conditions enabling preservation of region-specific species.

Depending on the substrate material, seacoasts are categorized as mud beach, sandy beach, shingle beach, coral reef, or cliffed, and each habitat has a peculiar ecosystem with different characteristics. For example, sandy beaches, provide egg-laying and nesting habitats for animals such as sea turtles and little terns. Mud beaches provide habitat for a diverse range of organisms and feeding sites for wild birds. Seaweed beds and forests support the basic production of the marine food chain and also provide spawning sites and shelter for fry. Furthermore, seacoasts are composed of zones parallel to the shore: offshore, nearshore, foreshore, and backshore. The functions of these zones, whether for breeding, growing, or living, depend on the space, and different spaces are closely interrelated. An ecosystem is formed thanks to the presence of these diverse spaces, and therefore it is important to understand their ecological functions and how they are related to each other as a network.

Ecosystems contain an extremely wide variety of species, and it is not possible to grasp them all. An effective approach is to select a target species or community that has an important function or can act as an indicator for preservation of the entire ecosystem and then examine the preservation of the community or species.

It is impossible to grasp any ecosystem thoroughly, and because of the uncertainty it is also impossible to predict all influences. For this reason, it would be effective to adopt adaptive management for correction as needed, while using monitoring to identify effects and influences.

In the case of the use of cars on a beach or spoilage of the beach by the trampling of habitat, which can destroy

habitat for animals and plants, it is necessary to consider restrictions on when and how the beach should be available to users.

It is not possible to quantify the effects of coastal protection facilities on an ecosystem. However, the extinction of coastal vegetation and destruction of nesting sites for sea turtles have been reported from the construction of sea embankments and revetments. Detached breakwaters have been reported to obstruct turtle access to the beach for nesting. On the other hand, the presence of reef organisms on or around detached breakwaters, seaweed propagation on the crowns of man-made reefs, and the presence of fish around new types of detached breakwaters have also been reported. In designing a coastal protection facility construction or improvement plan, it is necessary to examine records of past cases to determine how the plan or facility would influence the subject coastal environment.

### **3.3 Preservation and restoration of coastal landscape, etc.**

Preservation and restoration of the coastal landscape should be viewed in combination with consideration for protecting forests, streetscapes, etc. in the hinterland. Efforts to preserve the landscape and its characteristic components, such as the sea surface, horizon, shoreline, and land area, should be made. Landscape restoration should be implemented if necessary.

Elements of coastal flavor, such as the sound of the waves and the tang of sea air, also need to be taken into consideration.

#### **Explanation**

Coastal landscapes, as typified by white sand and green pines, are deeply and extensively related to the formation of the culture and identity of Japan as a maritime country. At the same time, Japan is prone to natural disasters caused by such phenomena as storm surges, erosion, and tsunamis, and with extensive utilization of coastal land, disaster-prevention measures are indispensable for the stabilization of people's livelihoods. For this reason, consideration of the coastal landscape was likely to have been lacking in the scope of disaster-prevention measures that were hastily established and implemented within limited budgets. A seacoast preservation plan must identify diverse values of the subject seacoast and comprehensively evaluate the coast's values. It should also aim to preserve or restore the coast from these values.

The landscape of a natural seacoast is composed of the sea surface, islands in the sea, shoreline, and the hinterland as integral elements. Some coastal protection facilities may affect the water surface, horizon, coastal shape, and other elements of the natural seacoast landscape, reducing the coast's value. For this reason, in selecting the type of coastal protection facility, it is necessary to fully understand the natural agents that form the coast and its value, and efforts should be made to preserve and restore the coastal landscape wherever necessary. Adjustable measures should be also necessary for preservation or restoration of the hinterland landscape.

There are also cases that necessitate the artificial creation of a landscape through proactive utilization of seacoast preservation facilities, etc. Since simultaneous landscaping of the hinterland is often required in many cases, special consideration is needed for integration with the hinterland. Furthermore, artificial formation of a landscape should also be based on an understanding of the natural environment and implemented within an adaptive and controllable scale.

A seacoast influences people in various ways through their five senses, thus creating coastal values. The primary elements are the landscape, which is perceived visually; the soundscape, which is perceived aurally; and the tang of the sea air, which is perceived by the sense of smell. All of these contribute to the creation of an atmosphere that is unique to each individual seacoast. In principle, these elements should preferably be preserved as one unit.

#### **Section 4 Basic Items Associated with Coastal Utilization**

Coastal utilization should aim to secure safety, comfort, and convenience, depending on the characteristics and use pattern of the subject seacoast. The utilization function should be preserved and improved in harmony with coastal protection and environmental conservation.

Coastal utilization zones should be based on the coastal utilization pattern, and regulations and guidance for appropriate use by the general public should also be taken into consideration. For facilities used by the general public, user safety needs to be considered.

#### **Explanation**

Since ancient times seacoasts have been used for festive and other cultural events by regional communities. Seacoasts have played important roles in the formation and succession of regional cultures. With the recent advancement and diversification of people's needs, seacoasts have been used for various types of sport and recreation, for experiential and learning activities, and for seaside healing and relaxation. They are also used conventionally as bathing spots.

Since seacoasts are prone to natural influences, their use has been limited. For this reason, installation or improvement of facilities that increase the convenience of use may be necessary to enhance the utilization function of seacoasts. Coastal convenience facilities include access to the seacoast, parking lots, public lavatories, rest areas, and information facilities, etc. In the installation of convenience facilities, the question of who will be the constructing or improving body and managing body needs to be considered.

In cases where access to the seacoast is hampered by the presence of a coastal embankment, access to the coast should be ensured by installing a stepped structure or a gently sloped embankment, as appropriate. In developing a plan for securing coastal access, barrier-free measures such as installation of a ramp should be considered so that the elderly and disabled people will have access to the seacoast. This will enable them to easily and comfortably visit the coast and come into contact with coastal nature in the course of their daily lives. Rubbish that has drifted ashore ranges widely from natural objects such as driftwood to anthropogenic objects such as plastics and resin pellets; enormous quantities of rubbish drift ashore. There is also illegal waste dumping and littering by coast users (e.g. empty cans, cigarette butts, fishing tackle, etc.). Since these interfere with comfortable use of the coast, the division of collecting responsibilities needs to be clarified among the parties concerned, and measures to enhance a sense of public responsibility among coast users and residents in river basins need to be implemented to control the generation of rubbish.

There are many cases in which the construction or improvement of coastal protection facilities and utilization conflict with each other, as exemplified by the construction of coastal protection embankments preventing access to the coast, or of detached breakwaters or artificial reefs preventing fishing or surfing. There are also problems associated with harmonization between coastal protection, environmental conservation, and utilization, such as

the destruction of coastal vegetation or nesting sites by vehicles driven on beaches. Moreover, friction arises from the simultaneous different use of beaches and coasts, for example, for sea bathing, surfing, jet skiing, fishing, etc.

In planning a coastal use adjustment measure, the functions necessary for the relevant community should be determined from the current uses of the subject coast and the needs of users. In consideration of the need for coastal protection and preservation of the coastal environment, conflicting uses of the coast should be coordinated with each other, safe use of the coast should be guided, and the coastal utilization patterns that meet the characteristics of the subject coast should be determined. It may also be necessary to restrict utilization for the purpose of coastal environment preservation.

When introducing a new coastal usage pattern, it is necessary to examine whether safe and comfortable use is possible in view of the natural properties of the coast; whether it will conflict with the existing usage pattern; and whether it will adversely influence the coastal environment. When a coast is used in multiple ways, the space and time for each usage should be specified to prevent accidents and friction among users.

In determining the coastal usage pattern and areas for use, opinions should be gathered from seacoast users, regional community members, fishery operators, and ecosystem experts, etc. whenever this is necessary.

Although a seacoast can be used by anyone freely at their own risk and responsibility, the natural conditions can lead to people being involved in accidents due to the occurrence of nearshore currents, sudden tidal waves, etc. When coastal protection facilities are made available for public use despite the risks, high safety levels should be ensured during the use of these facilities. This necessitates the incorporation of safety measures into the design of the coastal protection facility whenever such measures are deemed necessary in consideration of the external forces that are at work, the performance conditions, costs, and durability.

Some seacoasts may not be suitable for use because of their ocean currents, topography, and other natural conditions, or because coastal structures may have been built on them. Also, there are cases in which a seacoast may become dangerous because of the occurrence of tsunamis. For these reasons, the provision of sufficient safety information, education of seacoast users, etc., and cooperation with coast-related organizations and bodies are important for safe coastal utilization.

## Reference

1) Guidelines for Promoting Coastal Development in Symbiosis with Nature. Written and edited by the Research Institute for Promoting Coastal Development in Symbiosis with Nature. Supervised by the Seacoast Office, Land Conservation Division, River Bureau, Ministry of Land, Infrastructure and Transport (MLIT) of Japan. Issued by the National Seacoast Association, p. 73, 2003

## **Chapter 5 Information Sharing and Cooperation with Communities in River Basins**

### **Section 1 General Information**

Water and sediment management needs to be implemented in close cooperation with organizations and bodies and concerned parties in the subject river basin. Such management exceeds the sole capacities of administrators and corporations associated with the river. With changes in the riparian environment, the increasing interest of the general public in administrative information, and the advancement and promotion of information technologies, we are facing a new challenge for the realization of a society where shared water-related information is readily available. In view of this arising social demand, it is vitally important to promote the establishment of a safe country with diverse cultures through the sharing and utilization among members of the general public of all types of water- and sediment-related information that are collected and organized.

#### **Explanation**

The goals of the basic policy described in Chapter 1 cannot be attained through the sole efforts of the administrators of rivers, sediment and erosion control measures, and seacoasts, or of related corporations. It is therefore important to establish cooperative and collaborative relationships between the organizations and bodies in the river basin as well as with concerned parties. It is also important to monitor changes in the natural and social conditions in the basin and to implement various projects in concert with all related parties.

Water is indispensable for all life and is a vital resource for securing comfortable and cultural lifestyles for the general public, for promoting agricultural and industrial development, and for maintaining cleanliness in our daily lives.

For this reason, the numbers of people seeking a sound water environment and the level of public concern for water-related information have been increasing in recent years.

In the 21st Century, further changes in the global environment relating to water and resulting problems from the viewpoint of securing a healthy water cycle are anticipated. These changes include the occurrence of heavy, intensive rainfall events that exceed the design scale because of the wider variability of the meteorological environment (attributable to global warming, etc.); unexpected disasters; exacerbation of desertification; and the occurrence of acid rain. They also include a reduction in the amount of water usable as a resource, and water shortages. In addition, water safety is at risk because of the diffusion of toxic substances such as dioxin via water.

With its steep mountain ranges and frequent typhoons, Japan is in a geographic and meteorological situation whereby it must be consistently prepared for flood disasters, droughts, and sediment disasters, etc.

With the adoption of the recommendations of comprehensive studies on the revision of official school curriculum guidelines, contributions from the disclosure of river-related data on hydrology, water quality, and ecological systems are expected.

Also, from the viewpoint of preserving a healthy water cycle, river basin administrators are seeking the integrated management of water-related information, such as on water intake and discharge and groundwater.

Thanks to the recent improvement of information infrastructure (e.g. by the construction and expansion of

fiber-optic networks) and the advancement of new technologies such as GIS and GPS, the provision and disclosure of information to the public have been accelerated, and an environment for providing enormous amounts of visual and other data to the general public in an easy-to-understand manner is now taking shape.

The general public is now demanding a higher standard of river administration services, including streamlined administrative structures, more efficient river management through proper implementation of information gathering and management, and the realization of accelerated and transparent tendering and contracting procedures.

In view of this situation surrounding river administration, we need to develop more advanced and efficient river administration services that will enable the sharing of water-related information by the general public.

## **Section 2 Cooperation for Disaster Prevention and Mitigation**

### **2.1 Overview**

The current status of flood control facilities in Japan has not reached a satisfactory level. A huge amount of expenditure over a prolonged period is required before sufficient flood control at the design scale can be achieved. Since water and sediment disasters are caused by natural phenomena, there is always a risk of disasters occurring that exceed the design scale.

Cooperation with communities in the river basin can bring more economical and effective results than when flood control facilities only are used. For these reasons, to mitigate damages to human lives and assets by water and sediment disasters as much as possible, and to promote river improvement and sediment disaster prevention and coastal protection works, flood control measures that aim to reduce flood runoff volumes, minimize flood damage and the damage caused by storm surges, tsunamis, wind waves, and sediment disasters, and establish evacuation systems must be developed and implemented in cooperation with communities in the subject river basin.

Development and implementation of flood control measures in cooperation with communities in the river basin cannot be realized through the efforts of river administrators alone. It is indispensable for these administrators to collaborate closely with other administrative organizations and bodies involved in land use, city planning, sewerage, housing, agriculture, and forestry as well as communities in the basin and the mass media, etc.

### **Explanation**

In planning measures for disaster prevention and mitigation, it is always necessary to take into account the possibility that external forces leading to events exceeding the design scale of the flood control facility may occur. This is because the collection period of hydraulic and hydrological data on which planning is based is a little over 100 years at most, and therefore not long enough to enable prediction of the most extreme events with long return intervals. Another reason is that using flood control facilities alone against external forces of extraordinary scale with low probability of occurrence requires more land and facilities than using flood control facilities in combination with measures implemented by communities in the river basin. The former approach is not necessarily economic or efficient.

For this reason, it is necessary to actively exchange sufficient information on flood disaster countermeasures

with relevant organizations and bodies, community members, mass media, etc. during normal times, and, at the same time, it is important to promote due consideration of disaster prevention in land use and city planning. It is also necessary to establish information sharing and cooperative systems to smoothly carry out flood prevention and evacuation activities in times of disaster.

For example, in future development and land use planning it is important to consider preserving the water retention and retarding functions of the river basin. In addition, it is necessary to establish appropriate role sharing among communities in the river basin and to actively plan and implement measures over the entire river basin, including the securing of storage and infiltration functions coupled with city planning.

For a region with a concentration of population and assets, it is desirable to also consider the promotion of city planning in combination with the installation of flood- and earthquake-resistant embankments of a high standard that allow easy access to the river and maintain high scenic value.

**2.2 Measures in flood runoff areas**

To control increases in runoff due to development in the river basin, measures to optimize storage, infiltration, and retention functions inherent to the flood runoff area should be actively promoted in cooperation with communities in the basin.

**Explanation**

Measures in flood runoff areas include, among others:

- the preservation of natural land uses (such as forestry and agriculture),
- the maintenance of retarding areas (such as paddy fields),
- the introduction of facilities that promote rainfall infiltration (such as permeable pavements and permeation-type sewerage systems),
- the storage and utilization of rainwater, and
- the installation of reservoirs for flood disaster prevention.

To reduce flood runoff volumes, these measures in the flood runoff areas must be actively promoted in cooperation with communities in the river basin.

**2.3 Measures in flood-prone areas**

To mitigate disasters caused by flooding, measures for controlling flood flows and maintaining flood-retarding functions should be actively promoted in cooperation with communities in the river basin.

Especially in regions and facilities that make use of underground areas such as underground shopping areas, underground railroads, and basements (since these areas are at high risk of serious damage and fatalities), subsurface construction and underground utilization and improvement that take inundation into consideration should be promoted, together with the construction and improvement of inundation prevention and emergency drainage facilities and the establishment of evacuation systems.

**Explanation**

Measures in flood-prone areas include measures enabling residents to protect themselves, non-structural measures, and measures for subduing flood flows. Flood-flow control measures include the construction of ring levees, secondary levees, open levees, overflow-resistant levees, roads with raised heights, tree belts, and flood prevention forests. Direct self-protective measures include residential land raising, construction of houses with elevated floors, installation of watertight doors, and possession of emergency boats. Non-structural measures include the introduction of inundation-tolerant land uses, establishment of warning and evacuation systems, and obtaining water damage insurance. To mitigate damage due to flooding, these measures should be actively promoted in the flood-prone area in cooperation with the communities in the river basin.

**2.4 Flood fighting**

A flood fighting measure allows the maximum utilization of flood control facility functions and is extremely important for flood disaster mitigation. The primary responsibility for flood fighting rests with municipal governments (or district flood fighting authorities or flood-fighting associations). However, since effective and appropriate flood-fighting activities are impossible without the cooperation of river and seacoast administrators, close collaboration between municipal governments, district flood fighting authorities, flood-fighting associations, and river and seacoast administrators is necessary in all phases of flood fighting. At the same time, river and seacoast administrators need to proactively participate and be cooperative in the establishment of flood fighting plans from a broad-based point of view so that flood disasters extending beyond the territories of municipalities and prefectures can be properly dealt with.

River and seacoast administrators should promote, in an appropriate manner, the securing of resources needed for flood-fighting activities conducted in times of flood disasters, as well as the improvement of emergency response centers. In addition, they need to develop and install flood and storm surge forecasting systems, and (due to the Flood Fighting Act) issue timely and effective flood warnings.

**Explanation**

River and seacoast administrators must proactively participate and cooperate in designing the contents of flood fighting plans. To properly deal with flood disasters that extend beyond the territories of municipalities and prefectures, these administrators must determine important flood fighting locations and foster support and cooperation so that the flood fighting plans based on the Flood Fighting Act developed by related municipalities meet the requirements for prevention and mitigation of flood disasters. If the need arises to review the flood fighting plan because of river improvement, changes in the river channel status, etc., then administrators need to induce municipalities to revise their flood fighting plans as appropriate.

Flood and storm surge forecasting systems must be developed and installed, and efforts should be made to constantly enhance the precision and functions of the forecasting systems.

**2.5 Evacuation**

To protect people from being killed or injured in flood and sediment disasters, rapid and adequate evacuation must be conducted if the public is endangered. To this end, municipal governments, river and seacoast

administrators, and corporations that operate sediment managements—i.e. the bodies primarily responsible for regional disaster prevention measures—should work in close cooperation with each other.

For rapid and adequate evacuations, municipal governments, river and seacoast administrators, and corporations that operate sediment managements need to collaborate closely with each other to inform community members of flood-prone areas, areas in danger of sediment disaster, refuge routes, refuge shelters, etc., and establish evacuation systems in advance. Also, they should publicize the use of river terraces or other river spaces as evacuation routes and shelters in times of earthquake and should improve such spaces for these purposes.

In times of disaster, river administrators and corporations that operate sediment managements should prepare and announce, with appropriate timing, disaster forecasts such as the predicted arrival times of flood flows, inundation depths, inundation times, and predictions of damage from volcanic eruptions, etc.

### **Explanation**

Information about changes in conditions in the river channel and basin such as facility construction or improvement and advances in technology always needs to be kept up to date.

River and seacoast administrators and corporations that operate sediment managements are expected to provide information on flood and sediment disasters and the like. Municipal heads are responsible for issuing evacuation orders and directives to their community members. Because they need to base their judgments on this information, it must be reflected in the disaster prevention plans developed by the municipalities. Operators of sediment managements also include corporations and public administrators of areas designated for erosion control works, landslide-threatened areas, and steep slope areas in danger of failure.

For the provision of disaster information, systems for directly providing the necessary information to community members should be established with the understanding and cooperation of the mass media and telecommunication companies, and by use of the Internet.

Since rapid and adequate evacuation is not possible if all community members lack an awareness of the risks, river and seacoast administrators and corporations operating sediment managements must provide community members with disaster prevention education during disasters and non-disaster periods alike.

## **Section 3 Cooperation for Appropriate River Utilization, Maintenance of Normal Functions of River Water, and Improvement and Preservation of the River Environment**

### **3.1 Cooperation for appropriate river utilization and maintenance of the normal functions of river water**

Cooperation between local public bodies, relevant private organizations and bodies, and community members, etc. should be established to enable the entire river basin to promote measures for appropriate river utilization and maintenance of the normal functions of river water.

Water utilization measures in the river basin include measures against rainwater infiltration, storage measures, facility-based measures such as rainwater utilization, reuse of treated waste water, elimination and consolidation of withdrawal and drainage systems, and measures for guidance on appropriate water utilization.

In order for these measure to be efficiently and effectively implemented in the entire river basin, it is necessary to accurately grasp the local needs, ensure close coordination with relevant organizations and bodies, and consider appropriate role sharing.

### **Explanation**

Appropriate river utilization and maintenance of the normal functions of river water require the participation of all groups within the river basin. It is also important that plans for future development and land use maintain the retention and retarding functions of the basin. At the same time, it is necessary to determine appropriate sharing of roles among the communities in the river basin and to actively promote measures involving the entire basin, such as measures for securing the retention and retarding functions, which are coupled with city or town planning.

To secure appropriate utilization of the river and maintenance of the normal function of the river water in cooperation with local public bodies, relevant private organizations, and local community members, etc., river and seacoast administrators should release and routinely exchange information related to the river, etc., with such groups as well as with citizens interested in the river, people with experience, academics, and other concerned bodies.

It is also important to establish a mechanism that allows smooth coordination through close cooperation and collaboration between participants to promote efforts by communities and entities in the river basin as a whole. Examples of major structural- and non-structural measures are as shown below.

#### Structural measures

- Infiltration measure: Stormwater infiltration inlet, infiltration trench, etc.
- Storage measure: Facilities for rainwater storage, etc.
- Reuse: Utilization of rainwater, reuse of treated waste water (for miscellaneous, agricultural, or industrial use), etc.
- Elimination and consolidation of withdrawal and drainage systems
- Sewerage system improvement, pollution source control, preservation of water conservation forests

#### Non-structural measures

- Appropriate water utilization: Water conservation (by water consumers and water suppliers), rationalization of water utilization
- Guidance: Regulation, guidance, awareness raising, education, etc.

### **3.2 Cooperation for improvement and preservation of the river environment, etc.**

To promote basin management for the preservation of natural environments such as river environments, and for the improvement and preservation of river environments as accessible environmental spaces, local needs should be accurately grasped and cooperation between local municipalities, relevant private organizations and bodies, and local community members, etc., should be established.

### **Explanation**

In promoting the preservation of a natural environment and the improvement and preservation of river environments as accessible environmental spaces, cross-cutting measures for improvement and preservation of the environment of peripheral areas should be coupled with their utilization and preservation. This should occur through measures to improve and preserve the longitudinal river environment, as characterized by the continuation of water and vegetation in an upstream–downstream direction, as well as through cooperation with surrounding areas. To this end, it is important to implement river improvement and management by gaining the understanding and cooperation of the citizens, academics, and the bodies concerned. In an effort to determine what the ideal river environment should be like and to establish plans for river improvement and management, it is desirable to continuously release information on the subject river and to routinely exchange information and opinions with citizens interested in rivers, persons of experience or academic standing, and the bodies concerned.

#### **Section 4 River Improvement in Cooperation with City Planning**

In consideration of the land use, history, landscape, nature, climate, and culture of the basin, it is necessary to establish cooperation between local municipalities, relevant organizations and bodies, and regional community members to promote city planning that takes advantage of the characteristics of the river and provides places for regional interactions that make use of the river.

Especially in the case of urban rivers, consideration needs to be given to their multiple roles in addition to their flood fighting function, such as in urban disaster mitigation, providing an accessible environment, and supporting various urban activities.

#### **Explanation**

City and town planning for riparian areas requires autonomous local governments that play the major role in city planning to cooperate with river administrators.

In city planning, continuity as an integrated space consisting of the river and riparian area (e.g. topography, function, landscape, etc.) should be secured by taking advantage of the natural topography.

In river improvement, on the other hand, it is important to improve the functions of the environment and appropriate river utilization in addition to securing flood fighting functions.

Riverfront improvement should take into consideration the regional history, landscape, nature, climate, and culture so as to enliven the townscape in harmony with the riparian area and create a new attractiveness.

It is desirable to implement construction, improvement, and utilization measures by relating broad-based river-related plans to policies established by prefectures for the improvement, development, and preservation of city planning areas (City Planning Area Master Plans). Similarly, relationships with individual cities and towns should be associated with the basic policies established by municipal governments for city planning (Municipal Master Plans).

The following points need to be taken into account for plans and measures concerning urban rivers:

##### **1. Securing of disaster prevention function**

Efforts should be made to enhance the urban disaster prevention functions of rivers, including their roles as sources of water for firefighting in times of disaster and domestic water for post-disaster use and as fire breaks,

refuge shelters, evacuation routes, and emergency mass transport routes.

## 2. Space supporting urban activities

In rivers and riverside areas, appropriate utilization of river spaces to enable diverse urban activities to be conducted while at the same time maintaining an appropriate balance with preservation of the natural environment should be promoted.

In areas with concentrated population and assets, the promotion of city planning in combination with the construction of flood- and earthquake-resistant embankments of a high standard that facilitate access to the river and the securing of high scenic value should be considered.

Utilization of river transport should be promoted to mitigate urban land traffic and reduce environmental burdens such as greenhouse gas emissions. Also, construction and improvement of nodal points connecting to land traffic should be implemented.

# Chapter 6 Monitoring

## Section 1 General Information

For appropriate management of water and sediment, etc., investigation, planning, construction, and maintenance processes need to be perceived as a series of processes in an integrated system, and it is important to monitor and assess each process as it is implemented so that the results can be reflected in each process for reexamination and improvement.

Since natural and social conditions keep changing in the basin, including in the river itself, it is important that monitoring, assessment, and feedback are always properly implemented. This chapter describes the basic concept of monitoring.

### Explanation

Bed configurations, flow volume, and the environment of a river are closely related to and change with the natural and social conditions in the basin. For this reason, a mechanism that allows the communities in the basin, including in the communities in the river itself, to be kept in optimum condition should be established through the monitoring of water, sediment, land and space, and facilities for the purpose of appropriate assessment of the current situation. From these results, the mechanism should enable feedback to planning, construction, and maintenance whenever necessary.

Moreover, since this goal cannot be attained through the sole efforts of river, sediment management, and seacoast administrators and corporations, it is important to enhance cooperation with the organizations, bodies, parties, and persons concerned with the basin to grasp changes in the natural and social conditions in the basin and to collaborate with these parties to implement various projects.

This chapter is based on the above-mentioned concepts and is divided into sections on monitoring of water and sediment, land and space, the river environment, and facilities, each describing the basic concept of monitoring necessary for the target element. Monitoring of these elements needs to take into account the relationship between the entire water cycle system and the entire sedimentary system, and it is necessary to allow

coordination between these systems.

## **Section 2 Monitoring of Water, Sediment, etc.**

### **2.1 Monitoring associated with flood control**

#### **2.2.1 Overview**

Monitoring associated with flood control means comprehensive monitoring of precipitation, water flow, and sediment volumes of the river and is conducted to enable appropriate planning, construction, and maintenance processes as a series of processes in an integrated system. The prevailing situation of flood control should be assessed from monitoring results and feedback should be given to planning, construction, and maintenance processes as appropriate.

#### **Explanation**

To grasp the current status of the measures developed and implemented from “River Planning” in Chapter 2, “Erosion and Sediment management Planning (Countermeasures for Sediment Disasters, etc.)” in Chapter 3, and “Seacoast Preservation Planning” in Chapter 4, it is important to appropriately monitor hydrologic and hydraulic information such as precipitation, flow volumes, wind, waves, and sediment-related information such changes in sediment load, the river bed, and the shoreline, and to assess their current status on the based of information obtained through monitoring.

The results of the monitoring should be used to judge whether the above measures based on flood control plans are taking effect as expected or not; if they are not, then the monitoring results are used to determine whether the conditions in the basin or river have changed or whether appropriate maintenance has been implemented. From these judgments, necessary feedback should be given to the planning, construction, or maintenance processes.

In monitoring related to flood-control, water and sediment phenomena are interrelated with plans for flood prevention, sediment disaster prevention, and coastal protection. Therefore, appropriate coordination between monitoring and these plans is necessary.

#### **2.2.2 Water flow volume monitoring**

Water flow volume monitoring means the monitoring of precipitation at predetermined locations and of water levels, tide levels, and flow velocities (flow rate), etc. at the time of flooding; this information contributes to the prediction of flooding, the provision of flood information, and the operation of facilities.

From the results of monitoring, the runoff rate, channel roughness, etc. should be assessed, and feedback should be given to the basic items associated with flood prevention and those associated with coastal protection, as required.

#### **Explanation**

The target phenomena here are mainly floods and storm surges. The monitoring methods to be employed should be those described in the Manual for Hydrological Observation and in the Research Volume of the Technical

### Criteria for River Works (Draft).

The items to be monitored first when a flood has occurred or is likely to occur include the rainfall in the river basin, the water surface elevation and flow velocity (flow rate), and the tide level at predetermined locations of the river. The results of monitoring should be used for the operation of river management facilities such as dams and weirs; the preparation of flood forecasts and flood fighting warnings; and the provision of flood forecasts to the communities in the basin.

Locations for monitoring should be determined in advance based on the natural and social conditions in the basin; usually, the locations determined in the flood prevention plan or flood fighting plan should be used. Depending on the predicted scale of the flood and the status of the flood control facilities, flood prediction may be necessary; therefore, it is necessary to note that, in some cases, it will be appropriate to set additional target locations.

The results of monitoring are used to evaluate the relationships between rainfall amount and runoff volume and water level and discharge rate. If a flood water level is found to be significantly high or low compared with past floods of comparable size, then other factors, such as the relationship between water level and channel roughness, should be investigated. If necessary, the essential elements of flood control (design scale, facility arrangement, etc.) should be reexamined and the results reflected in the maintenance of trees in the river channel and river, improvement and preservation of the river environment, erosion and sediment management planning (countermeasures for sediment disasters, etc.), and basic items associated with coastal protection.

It is also important to assess the attributes being monitored and locations from the results of monitoring.

Monitoring methods such as the use of rainfall radar should be upgraded at appropriate times in response to advances in technological development.

### 2.2.3 Sediment monitoring

Sediment monitoring means measurement of the longitudinal profile and cross sections of the river, shape of the shoreline of sea coasts, and, if necessary, river bed materials and the sediment transport rate, etc. Measurements are made at pre-determined locations periodically and after the occurrence of abnormal phenomena such as floods and storm surges. This monitoring helps to provide flood information and in flood prediction.

The results of monitoring should be used to assess sediment movement and riverbed deformation and then fed back to review the major elements of flood prevention, and coastal protection, or erosion and sediment management planning (countermeasures for sediment disasters, etc.) as required.

### Explanation

The items that need to be monitored during a flood or when a flood is likely include sediment movement and water volume. Monitoring should cover the entire sediment system, including the sediment yield area, sediment movement in torrents, riverbed deformation, suspended sediments, and bed load sediments.

Sediment monitoring is important not only for erosion and sediment management planning (countermeasures for sediment disasters, etc.) but also for providing feedback to river planning and seacoast preservation planning. Since the water surface elevation at the time of an actual flood cannot be predicted without knowledge of sediment movement during the flood, it is necessary to track riverbed deformation to determine the adequacy of

the river planning and, especially, the water level at the design flood discharge.

#### **2.2.4 Inner water monitoring**

Inner water monitoring means monitoring away from the main river channel at pre-determined locations. Items monitored include rainfall, the water levels of minor rivers and their receiving waters, and the working of drainage pumps, etc. Such monitoring contributes to the prediction of landside flooding, the provision of inner water information, and the appropriate operation of drainage facilities. From the results of monitoring, inner water treatment should be assessed, and feedback should be given to the inner water treatment plan and facility operation.

#### **Explanation**

Many inner water disasters are caused by the overtopping of runoff from medium-sized and small rivers, waterways or sewerage systems because their drainage capacity is exceeded or from rainfall exceeding the capacity or the design scale of the subject facilities. In a region with landside areas that are becoming progressively urbanized, in particular, reduced permeability and other factors are likely to increase the run-off coefficient, potentially leading to design drainage capacity being exceeded.

Sometimes the high water level of the receiving river makes it difficult for the feeder river to discharge excess water, even if the flow volume is within the normal drainage capacity of the feeder river. Therefore, it is important to assess drainage by monitoring the rainfall in the landside basin, the water levels of the landside river and the receiving river, and the working of drainage pumps.

The monitoring methods to be employed should be those described in the Manual for Hydrological Observation and in the Research volume of the Technical Criteria for River Works (Draft).

#### **2.2 Monitoring of appropriate river utilization and maintenance of normal functions of river water**

Monitoring of appropriate river utilization and maintenance of normal functions of river water means the monitoring of water volume, water quality, etc., to determine the degree to which normal flow volume is secured and the effectiveness judged. In accordance with the results of monitoring, the water quality, etc. should be assessed and feedback should be given to measures for appropriate river utilization and maintenance of the normal functions of river water, as appropriate.

In selecting locations for monitoring, the locations that were examined in the basic items associated with the maintenance of normal functions of river water should be included in the examination, and locations appropriate for monitoring should be selected.

#### **Explanation**

Monitoring of the water volume and quality should be conducted for the following purposes:

1. To grasp the degree to which normal flow volume is secured

Monitoring should be conducted to check whether the predicted normal flow volume occurs as planned.

2. To judge the effects of securing normal flow volume

Monitoring should be conducted to check whether the achievement of normal flow volume causes any problems.

3. To judge the effects of measures taken to secure normal flow volume

Monitoring should be conducted to determine whether the implementation of individual measures has been progressing as planned, the expected effects have been achieved, and the entire plan has produced the expected effects at representative locations.

From the results of the above monitoring, the basic items associated with the maintenance of normal functions of river water should be reviewed wherever necessary.

Important data for determining the normal flow volume can be obtained especially at the time of extraordinary drought; therefore, substantial monitoring of water volume and quality has to be conducted to determine:

1. How well the environmental standards in the subject public water body are met
2. The extent to which the water quality permits comfortable recreation in the subject river
3. The appropriateness of the water quality for water utilization
4. The appropriateness of the water depth, current velocity, and water quality for preserving healthy habitat for plants and animals
5. The extent to which the surface width and water quality (including freedom from litter) necessary for creating a good landscape are obtained.

In monitoring the water volume, locations representing the flow regime of the target water area should be selected from longitudinal characteristics such as the water balance (merging, branching, etc.) and water utilization, and the flow volumes of the main stream and tributaries should be determined.

The water balance of the river can be affected by changes in drainage discharge due to the construction or improvement of sewerage systems, changes in rivers as receivers of discharge due to the construction of bypasses, and changes in groundwater utilization, etc. Therefore, data and general information on the amount of drainage discharge, the amount of water intake, the groundwater level, the amount of groundwater extraction, etc. should be gathered as required.

In principle, the observation of water volume is based on continuous observations, such as conversion (discharge curves) of the values obtained from automatic observations of water level.

## **2.3 Monitoring of sediment**

### **2.3.1 Overview**

Monitoring of sediment needs to be conducted, because to implement comprehensive sediment managements and prevent disasters caused by the movement of sediment, etc., it is important to determine the volume and quality (grain size) of sediment from the viewpoint of spatial and temporal continuity and to predict their future

changes.

### **Explanation**

To prevent disasters associated with sediment movement, to preserve river and coastal habitats and landscapes, and to properly utilize the river or sea coast it is necessary to comprehensively and properly manage sediment movement and the resulting topographical changes over the entire sediment system. To this end, it is necessary to conduct sediment monitoring, since it is important to survey not only the amount of sediment movement in the entire sedimentary system but also the channel morphology and quality (grain size) as well as the current status of their temporal change. From these results, it is necessary to predict short-term and medium- to long-term future changes.

In this section, monitoring of sediment is divided into two categories: 1) monitoring of sediment yield and discharge in sediment yielding areas, and 2) monitoring of riverbed deformation and sediment transport in the sediment transport area. However, these divisions are inseparably interrelated and have to be in harmony with each other in space and time.

### **2.3.2 Monitoring in sediment yielding areas**

The aim of monitoring in the sediment yielding areas is to determine the sediment yield and sediment runoff, etc. by measuring the erosion rates and amounts of deposition in torrents on hillside slopes, mountain-ringed areas, and alluvial cones, etc., and the sediment discharge in torrents, etc. In conducting monitoring, efforts should be made to identify the grain size distribution of the sediment.

### **Explanation**

Sediment yield on a hillside slope occurs as a result of slope failures, landslides, erosion, and expansion of already collapsed areas. In torrents in mountain-ringed areas and alluvial cones, etc., sediment is produced as a result of riverbed and side erosion. Sediment yield should be determined from the erosion and deposition volumes obtained by measuring the amounts of topographical change of hillside slopes, torrents, etc.

The sediment runoff volume from a sediment yielding area can be determined by measuring the sediment deposits in erosion control dikes or directly measuring sediment concentration in runoff at the same time as river discharge is measured.

Topographic or sediment deposition surveys and observations should be conducted periodically or before and after a flood. Measurements of sediment runoff discharge should be conducted at the time of flood, with secured safety to determine the variation. The temporal variation in sediment yield and discharge in the sediment yielding area should be determined by conducting surveys and observations continuously from upstream to downstream.

### **2.3.3 Monitoring in a sediment transport area**

The aim of monitoring in a sediment transport area is to determine the sediment movement rate by measuring topographical changes in the middle and lower reaches of the river, the estuary, and the shoreline, as well as the

sediment load of the river and the longshore sediment transport rate along coasts.

In the process of monitoring, efforts should be made to identify the grain size distribution (quality) of the material comprising riverbeds, beaches, etc.

### **Explanation**

River channel erosion, sediment deposition, and changes in the shape of the shoreline occur as sediment moves in the sediment transport area. Topographic changes in the channel and the shape of the shoreline should be measured, and the sediment movement itself should also be measured by investigating the sediment transport rate both in the river and in the longshore sediment transport area.

Sediment transport monitoring should be conducted not only before and after a flood or storm surge but also periodically, so that the sediment transport during normal periods can be grasped. Temporal changes in sediment transport should be observed by continuous measurement.

Since riverbed variation itself is important when considering the safety of the river channel against floods within the design high water level or the effects of sediment movement on the river environment, not just the sediment movement, but also the riverbed variation itself, needs to be monitored.

## **Section 3 Monitoring of Land and Associated Space**

### **3.1 Overview**

Monitoring of land and space is aimed at comprehensively monitoring the landform, usage pattern, vegetation, etc. in river basins, seacoast preservation areas, erosion and sediment management project areas, etc. to prevent and mitigate disasters, achieve appropriate use of space, and improve and preserve the environment. Assessments should be made from the results of monitoring, and each plan and maintenance program should be reviewed as required.

### **Explanation**

For the measures implemented in accordance with “River Planning”, “Erosion and Sediment management Planning (Countermeasures for Sediment Disasters, etc.)”, and “Seacoast Preservation Planning” (described in Chapters 2 through 4) to remain effective, it is essential to properly manage the land and space of rivers, erosion and sediment management areas, and seacoasts.

“Land and space” here includes ground surface, underground areas, water surfaces, and airspace in an area designated by law. The longitudinal and transverse forms of the river, including its low flow channels, water surface, use of high water channels, vegetation in high water channels, soil cover condition, etc. should be monitored through measurements such as surveys. In addition, the approval of permits for area occupancy needs to be included in these considerations.

The results of monitoring, together with the results of water and sediment monitoring, should be evaluated from various viewpoints, such as disaster prevention and mitigation, appropriate use of space, and improvement and preservation of the environment. If it is determined that the expected effects have not been obtained, then

feedback should be given to each plan, construction, and maintenance program.

### **3.2 Monitoring for flood control**

Land and space monitoring for flood control means the comprehensive monitoring of the river channel and beach profile, etc. through longitudinal and transverse surveys and vegetation distribution investigations in order to manage land and space for the prevention and mitigation of disasters caused by floods and storm surges. The results of monitoring should be used to assess flood containment capacity etc. and, if necessary, to review the basic elements of flood prevention, coastal protection, and erosion and sediment management plans (countermeasures for sediment disasters, etc.), and the maintenance of these.

#### **Explanation**

Land and space monitoring for the prevention and mitigation of flood disasters should be conducted for longitudinal and transverse river channel management to secure an appropriate level of flood containment capacity. It especially aims to manage the bed slope, plain figure, flow section, and roughness. In monitoring, attention should be paid to changes in temporal and spatial conditions.

Flood and sediment runoff characteristics vary depending on the land use and vegetation in the river basin, the installation of structures, and the vegetation distribution in the river channel, etc. For this reason, to allow a flood within the design high water to flow safely, it is important to assess the flood-carrying capacity by means of longitudinal and transverse surveys of the river channel, vegetation surveys, discharge observations during an actual flood, etc. The trend in temporal variation and riverbed deformation during a flood also needs to be taken into account. If it is determined that the expected discharge capacity is not satisfied, then section excavation, dredging, cutting of vegetation, and installation of river structures such as spur dikes should be executed. If necessary, the essential elements of flood prevention and erosion and sediment management plans (countermeasures for sediment disasters, etc.) should be reviewed.

On the other hand, the targets of land and space monitoring for erosion and sediment management planning (countermeasures for sediment disasters, etc.) include hillsides and torrents, which are sediment yielding areas, and sediment transport areas, etc. The longitudinal and transverse forms of the river, slope conditions, etc. should be monitored and assessed. The concept of monitoring here is the same as for land and space management, aiming to prevent and mitigate flood disasters as described above.

Land and space monitoring for the prevention and mitigation of storm surge disasters targets seacoasts and estuaries, and it should be conducted in the same way as for the aforementioned land and space management to prevent and mitigate flood disasters. For coastal monitoring, the main targets of monitoring are the sandy beach and beach profile; permit approval should be obtained for occupied areas.

### **3.3 Monitoring associated with utilization**

Land and space monitoring for utilization aims to monitor the statuses of land occupancy and utilization in order to optimize river and seacoast utilization and secure healthy habitat for animals and plants. Assessment should be carried out from the results of such monitoring, and if necessary, plans related to the fundamentals of

maintenance, improvement and preservation of river environments should be reviewed.

### **Explanation**

River areas and spaces consisting of water surfaces, high water channels, etc. and coastal land and spaces consisting of seawater surfaces, sandy beaches, etc. are valuable spaces for fostering diverse ecosystems and creating therapeutic landscapes. Combined with the surrounding nature and streets, they also form valuable landscapes. In addition, they are public spaces for utilization by community members living in the basin and coastal areas and are spaces that can be used as emergency evacuation sites and recovery bases in times of earthquake disaster.

In the utilization of land and spaces along rivers and seacoasts, in principle, utilization for public purposes should be given priority while considering the fact that they serve as habitat for animals and plants. And, since fairness is important in the utilization of the limited land and spaces to avoid conflict in use, comprehensive monitoring should be conducted for permit granting for land and space occupancy. Investigations are also necessary for the use of water surfaces, high water channels, and sandy beaches, etc.

The results of such monitoring should be evaluated from the viewpoints of transparency, fairness, and orderly utilization as well for the effects on environmental improvement and preservation. If it is determined that the land and spaces are, in general, not properly being used, then use zoning should be reexamined and permissions for land and space occupancy and their maintenance should be reviewed.

Moreover, it is desirable to simultaneously implement projects for improvement of access to the river or seacoast by installing gently sloping dikes, etc. and by tree planting and installation of public lavatories, as well as by implementing other improvement measures that will promote utilization, such as the installation of signs.

### **Section 4 Monitoring of the Environment**

Monitoring of the environment means monitoring of river and seacoast shapes, ecosystems, landscapes, water quality, etc. The results of monitoring should be evaluated and used to review the items associated with river environment improvement and preservation, coastal environment improvement and preservation, and erosion and sediment management planning (countermeasures for sediment disasters, etc.).

### **Explanation**

Monitoring of the environment should be conducted to monitor the river morphology (including riffles and pools), habitat for animals and plants, landscapes, locations used for river-based human activity, (such as walkable embankments), and water quality. Such monitoring should aim to:

1. Determine the level of goal achievement

Monitoring should be conducted to check whether the goals for improvement and preservation of the environment that were set at the time of plan establishment have been achieved as expected.

2. Determine the beneficial and adverse effects and impacts of goal achievement

Even if the goals set for improvement and preservation of the environment have been achieved, the uncertainties that surround river and coastal environments necessitate that monitoring should be conducted to check whether

the expected effects have been obtained, whether unpredicted impacts are noticeable, and whether issues identified at goal setting have been resolved. If the results of monitoring show any deviation from expectations, it is important to analyze the cause.

### 3. Determine the effectiveness of measures

Monitoring should be carried out to judge whether the measures for improvement and preservation of the environment were most appropriate for achieving the goals that were set.

### 4. Feed back the results to goal setting and measure establishment

The results of environmental monitoring should be fed back into the goals for improvement and preservation of the environment and the establishment of measures.

Preliminary surveys are necessary to determine and evaluate the effects and impacts. During this process, it is important to grasp the status of not only the river, but also its basin.

Substantial monitoring should be conducted during abnormal drought or periods of abnormal water quality or after floods. Such monitoring provides important data and information for use in considerations for improvement and preservation of the river environment, etc.

It is important that the results obtained from such monitoring be used effectively for maintenance.

## **Section 5 Facility Monitoring**

### **5.1 Monitoring associated with the assessment of facility planning**

Monitoring associated with the assessment of facility planning means comprehensive monitoring to check whether the expected functions of the facilities and measures implemented as a result of river planning, erosion and sediment management planning (countermeasures for sediment disasters, etc.), and seacoast preservation planning are being achieved despite changes in the natural and social conditions that have taken place after their installation. The results of monitoring should be assessed and used to review facility plans and revise them as required.

#### **Explanation**

Facilities for river management, erosion control, and seacoast preservation and related structures are planned and constructed in accordance with River Planning (Chapter 2), Erosion and Sediment management Planning (Countermeasures for Sediment Disasters, etc.) (Chapter 3), and Seacoast Preservation Planning (Chapter 4). The question of whether the expected functions of these facilities and structures have actually been fulfilled is more important than their existence. There will be cases in which the arranged facilities are not fulfilling their expected functions due to changes that have taken place in the natural and social conditions of the river basin or in the river and coastal characteristics after construction of the facilities.

For this reason, monitoring and assessment should be carried out through the implementation of monitoring as described in Sections 1 through 4 of this chapter to check whether the arranged facilities, etc. are fulfilling their expected functions, and facility planning should be reviewed and revised as required. Relevant examples include re-examination of the intake rate due to reduction in the amount of agricultural land, reconstruction of intake

facilities due to degradation of the riverbed, and beach nourishment in response to coastal erosion. Functional degradation of facilities due to deterioration, etc. will be referred to in Section 5.2 below.

## **5.2 Monitoring associated with functional maintenance**

Monitoring associated with functional maintenance means comprehensive monitoring to check whether the facilities, etc. arranged in accordance with river planning, erosion and sediment management planning (countermeasures for sediment disasters, etc.), and seacoast preservation planning are fulfilling their expected functions. The results of monitoring should be assessed and fed back into facility plans as required.

### **Explanation**

River management facilities such as river dikes and groundsills and seacoast preservation facilities such as coastal dikes and beach nourishment facilities must possess the functions that are stipulated in the Cabinet Order Concerning Structural Standards for River Management Facilities, etc. and the Criteria for Seacoast Preservation Facility Construction, etc., and it is important for the management of rivers, etc. that these functions are continuously maintained without deterioration.

The planning and design of plans for the management of water, sediment, etc. and arrangement of facilities should be carried out in such a way that the functions expected of the facilities can be fulfilled in consideration of the level of maintenance. It is important to conduct thorough monitoring through surveys and measuring instruments and, from the monitoring results, to assess the functionality of the facilities to check whether the facilities are fulfilling their expected functions.

The items, timing, and frequency of monitoring should be dependent on the purpose and expected functions of each facility. In general, though, monitoring should be conducted through periodic inspections, inspections at the times of floods and storm surges, and inspections after such events. Measurements that require specialized measuring equipment should be conducted more efficiently if such considerations are included in the design and construction of the facilities.

It is important that the results of monitoring be organized using predetermined formats for the assessment of facility functionality. If it is determined that the functions of the facility have deteriorated and are not satisfying the expected standard, the results should be fed back into the facility plan (which may lead to reconstruction) or maintenance so that proper functions of the facility should be achieved.

### **Reference**

1) Hydrological Observation, 2002 Edition, Authored and edited by the Public Works Research Institute, Supervised by the River Bureau of the Japanese Ministry of Land, Infrastructure and Transport. Published by the Japan Construction Engineers' Association

## Planning for Facility Installation

# General Information

## **General Information**

A facility installation plan shall be designed in such a way that prevention or mitigation of disasters caused by floods and storm surges, etc., improvement and preservation of the river environment, etc., and comprehensive sediment management are properly implemented.

The project facilities must be constructed, improved, maintained, and managed in consideration of the relationship between project costs (including appropriate life-cycle costs) and the beneficial and adverse effects and impacts of the project.

When planning each individual facility installation plan, consistency with other facility installation plans and cooperation with non-structural measures need to be sufficiently taken into consideration.

When designing a facility installation plan, thorough consideration must be given to the natural as well as social characteristics of the river basin and community.

## **Explanation**

Since prevention or mitigation of disasters due to floods and storm surges, etc., improvement and preservation of a healthy river environment, and comprehensive sediment management are closely interrelated with each other, consistency among these must be secured in the development of a facility installation plan. Also, it is necessary to take into consideration consistency with related facility plans and other plans, as well as with excessive external forces. In this part, Chapter 1 describes the improvement and preservation of river environments, etc. and comprehensive sediment management; these are themes common to all sections in Chapter 2 and thereafter, where individual arrangement plans will be discussed.

# Chapter 1 Improvement and Preservation of the River Environment and Comprehensive Sediment Management

## Section 1 Improvement and Preservation of the River Environment

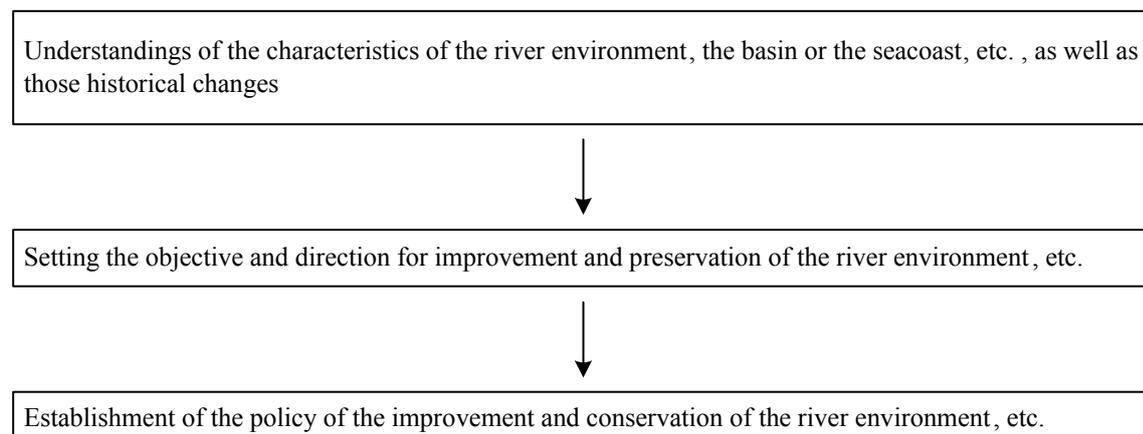
### 1.1 Overview

The goals for developing a plan to improve and preserve a river environment, etc. shall be established on the basis of an understanding of the characteristics of the subject river environment, the natural and social environments of the basin and seacoast, and their historical changes. They should also take into consideration consistency with the flood control and water utilization functions, and the measures taken to achieve these goals shall be designed accordingly. In this process, preservation or restoration of a healthy living and growing environment for plants and animals, formation and maintenance of good landscapes, creation and maintenance of places for activities that bring humans into contact with the river, etc., and preservation of good water quality shall be comprehensively considered.

### Explanation

When developing a plan for a river environment, bibliographic surveys, field surveys, and discussions with the persons concerned shall be conducted, and the following step-by-step process needs to be followed: 1) based on an analysis of the aforementioned surveys, develop an understanding of the characteristics of the subject river and its basin, as well as their historical transition; 2) determine the direction for improvement and preservation of the river environment.; 3) establish goals for improvement and preservation of the river environment, through a comprehensive examination of this direction and of flood control and water utilization factors; and 4) establish measures for improvement and preservation of the river environment on the basis of these goals.

Establishment of a plan shall follow the process shown below:



The following points need special attention in the development of measures for improvement and preservation of the river environment.:

1. A thorough examination of the nature of the individual features of the river shall be conducted, giving due

consideration to the characteristics of the river and the basin, and their historical transition.

2. Surveys of existing documents, field surveys, and discussions with experts, the local entities concerned, civic organizations, shall be adequately conducted.

3. The information obtained from such surveys shall be compiled into a river environment information map (a diagram showing in an easy-to-understand manner the riverbed configurations, vegetation status, living and growing environments for plants and animals, characteristics of the river environment, that is aimed at providing appropriate information about the river environment to define its characteristics.

4. Environmental considerations, together with considerations about flood control and water utilization, shall be examined from the early stages of plan development onward, not after the flood control and water utilization factors have been examined.

### **1.2 Understanding the characteristics of the river environment**

The characteristics of the subject river environment shall be understood through surveys of the characteristics of the river, seacoast, or mountain stream; the habitats of plants and animals; the utilization of the river, seacoast, or mountain stream; the natural and social environments of the basin and the seacoast; and their historical transition.

The results of these surveys shall be systematically organized for the entire river, and for each area.

#### **Explanation**

1. Method of understanding the river environment, etc.

The characteristics of a river environment shall be discovered through investigation of not only the current situation but also the historical transition of the river. In this process, changes in the statuses of gutters, riffles and pools, river forms, river vegetation, rivers and surrounding land uses, as well as changes in the basin status, shall be determined by arranging aerial photos, topographical maps, plane figures, longitudinal profiles, and cross-sections, in chronological order.

On the basis of an analysis of the gathered information, the subject river shall be zoned according to characteristic similarities (e.g. river course, natural environment, social environment characteristics, etc.). A river environment information map shall be prepared for each zone to give an understanding of the characteristics of the river, the typical features of the river, and the problems to be solved.

An effective method to this end is to organize materials about the river course transition, river landscape transition, river zoning, and the relationship between environmental zoning and organisms, and to prepare river environment information maps (overall area, wide area, and section). When the specific contents are being examined, some existing documents<sup>1)</sup> should be useful as references.

2. Method of determining water quality

As part of the information on the river environment, information on water quality in particular shall be organized in terms of its current situation as well as its historical transition, depending on the characteristics of the river. In this process, it is necessary to take into account the local characteristics.

On the basis of the organized information on the current status of water quality, the relationship between the basin and river flows and water quality, and the characteristics of river water quality change, shall be determined. The mechanism of water quality change in the river, as well as the causes for this mechanism, shall be determined through analysis of the current water quality on the basis of the aforementioned information. Using information such as that on the current water quality, an analysis shall be conducted to forecast how the water quality will change in the future. This analysis shall be conducted by using an appropriate method that should be selected in accordance with the characteristics of the target water body, the required level of accuracy of the prediction, and the data available, etc. Since there are periods when the water quality is important for the lives of organisms and for water utilization, forecasts for not only drought and low-flow periods but also for all year around are necessary.

### **1.3 Setting goals for improvement and preservation of the river environment**

#### **1.3.1 Determining the direction**

The direction of improvement and preservation of the river environment shall be determined based on the characteristics of the river environment, basin, or seacoast from the viewpoints of preservation and restoration of healthy habitats for plants and animals; formation and maintenance of good landscapes; creation and maintenance of places for activities that bring humans into contact with the river; and preservation of good water quality.

#### **Explanation**

The “direction” means the direction for improvement and preservation of the river environment, etc., determined on the basis of the discovered characteristics of the river environment, etc. and the attributes of the basin. It is important to determine a direction for each zone.

In determining the direction, the following points need to be observed:

- Local opinions obtained through hearings, etc. should be taken into due consideration.
- Historical transition of the river environment, etc. should be taken into due consideration.
- From the viewpoint of the natural environment, the discovery of the “original state” (i.e. original landscape) of the river should be helpful, although it is difficult to determine the original state of the river. The majority of rivers in Japan have been artificially tampered with since ancient times, and, as a result, they have been gradually changed and the riverside land has been utilized accordingly. Therefore, it is impossible to discover what the river and its course used to look like before any artificial tampering. For this reason, discovery of the “original state of the river” before large-scale river improvement projects in recent years and when there was relatively little artificial intervention (e.g. the river shape and environment before large-scale training during the high-growth period of the economy) would be a secondary option. In the case of an urban river, a direction that aims to create a riverine environment that meets the conditions of the current and future social environment should be determined.
- In order to discover the “original state of the river”, old topographical maps, longitudinal profiles, and cross-sections, as well as aerial photos, municipal histories, local histories, and interviews with local elders should be helpful as references. Also, the state of a river that is close to the target area and maintains a good

river environment without much artificial intervention, or of a river with similar natural conditions (such as topography, geology, and river shape) that maintains a good river environment without much artificial intervention can be informative.

#### 1. Determining the direction for improvement and preservation of a healthy habitat for plants and animals

The direction for improvement and preservation of a healthy habitat for plants and animals shall be determined based on the following points:

##### 1) Preservation and restoration of the river environment typical of the subject river

Efforts shall be made to preserve and restore the habitat for the animals and plants that the subject river originally had. To this end, the river's original shape shall be preserved and restored by taking full advantage of the river's natural restoring force. It is also important to respect the dynamism of the river.

##### 2) Securing a continuous environment

Some of the creatures living in a river travel between upstream and downstream, between the main stream and branches, canals, or ponds, between the river and its peripheral areas, or even between saltwater and freshwater. Some creatures need both water and land areas, and there are still some other creatures that move around in plant-growing areas. In order not to prevent such creatures from traveling from one place to another, a continuous environment in longitudinal (upstream-downstream) and lateral directions as well as continuity with the surrounding environment shall be secured. Because, in a tidal zone, wave run-up from the sea upstream into the river occurs as the tidal sea level changes, the characteristic ecosystems formed in such an environment need consideration.

##### 3) Preservation and restoration of habitats for plants and animals typical of the subject river

Efforts shall be made to preserve and restore species that are important academically or in view of scarcity, important communities, and notable habitats, and, at the same time, it is important to consider the preservation and restoration of habitats for plants and animals typical of the river by focusing on the plants and animals living and growing in the characteristic environments of the river, including riffles and pools, river beaches, riverside forests, inlets, swamps, etc.

Countermeasures against alien species shall be considered if necessary.

##### 4) Securing the water cycle

Stream flow is closely related to peripheral ground water, and the level of ground water affects the growth of river vegetation. Where there is spring water (which is limpid and often stable in temperature) in the river, an environment different from those in the main stream is formed, substantially adding to the diversity of the river environment. Consequently, it is necessary to secure the water cycle by securing riparian permeability in such a way that the natural water flow is not intercepted.

#### 2. Determining the direction for formation and maintenance of a good landscape

The direction for formation and maintenance of a good landscape shall be determined based on the following

points:

- 1) Respecting the typical dynamic features of the river, such as the river shape, composed of riffles, pools, and river beaches, which are typical characteristics of the subject river; variability in the water volume; continuity, etc.
- 2) Adequacy of a structure that combines functionality and appropriate structural beauty
- 3) Preservation of water quality
- 4) Measures to suppress factors that disturb the river landscape
- 5) Building and maintenance of a good landscape that produces the distinctive features of the subject locality, coupled with city or town planning based on the area and river as well as the streetscape, etc.

Here “landscapes” include not only places of scenic beauty and major appearances formed by exceptional natural elements, but also neighboring landscapes such as other views and sceneries.

Measures for the formation and maintenance of major appearances shall take into account the typical features of the locality’s landscape, major viewpoints, and landscape resources (natural elements).

### 3. Determining the direction for creation and maintenance of places for activities that bring people into contact with rivers

The direction for creation and maintenance of places for activities that bring people into contact with rivers shall be determined based on the following points:

- 1) The river shape, water volume, and water quality, etc. in addition to the living and growing environment for plants and animals.
- 2) The status of utilization and needs.
- 3) Comfort and safety for users.
- 4) Places for experiential activities and environmental learning that take advantage of the natural environment of the river.
- 5) Local history and culture.

### 4. Determining the direction of preservation of good water quality

The direction for preservation of good water quality shall be determined based on water utilization, preservation of habitats for plants and animals, securement of places for activities that bring humans into contact with the river, landscape, preservation of living environment, tourism, maintenance of groundwater quality, etc., and indices that represent them shall be set.

Although BOD (biochemical oxygen demand) or COD (chemical oxygen demand) has been widely used as the index for rivers, reservoirs, lakes, or swamps, the following indices shall be selected as appropriate, depending on the river characteristics.

- 1) In cases where water utilization (use for a water supply system) is the primary element, ammonia, THMFP

(trihalomethane formation potential), 2-MIB (2-methylisoborneol), odor, or chlorine ions, etc. can be used.

2) In cases where preservation of habitats for animals and plants is the primary element, SS (suspended solids), turbidity, DO (dissolved oxygen), chlorine ion content, water temperature, etc. can be used.

3) In cases where the securing of places for activities that bring humans into contact with the river is the primary element, SS, turbidity, color, transparency, coliform count, odor, etc. can be used.

4) In cases where landscape is the primary element, SS, turbidity, color, transparency, etc. can be used.

5) In cases where suppression of internal production is the primary element, chlorophyll a, nutrients, DO, etc. can be used.

6) It is also effective to first set qualitative goals such as the suppression of algal blooms or maintenance of a level of water transparency that enables visualization of the riverbed, and to then set quantitative goals by using indices such as chlorophyll a or transparency to realize the qualitative goals.

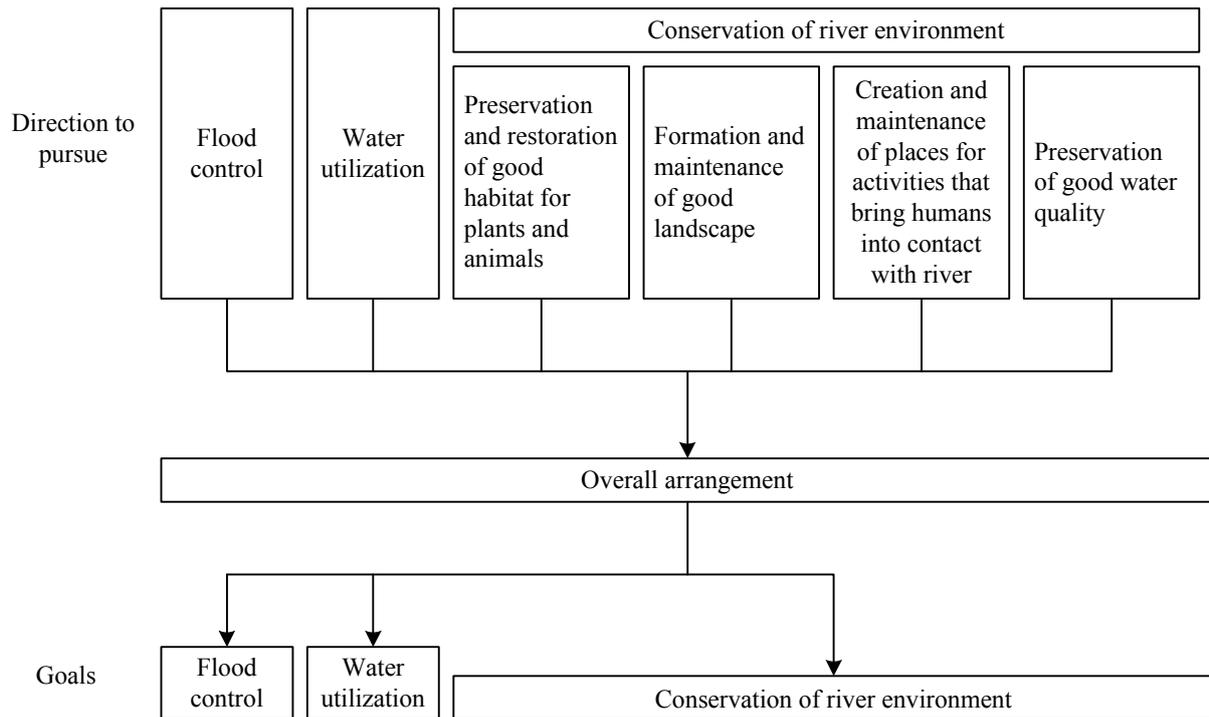
### **1.3.2 Setting goals**

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| Goals for improvement and preservation of a river environment, etc. shall be established for each area zoned on the basis of the characteristics of the river environment, etc. They shall be based on the pursued direction in consideration of a good balance between flood control, water utilization, and environmental factors. |
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#### **Explanation**

A direction to be pursued shall be determined for each of flood control, water utilization, and environment-related endeavors. Then, specific goals shall be established by optimizing trade-offs and other correlations among them.

Goals for improvement and preservation of the river environment, etc. shall be set for each area zoned on the basis of the different characteristics of the river environment, such as those for the upper and lower reaches, etc. In this process, the goals need to be examined through an adequate exchange of information and opinions on the subject region.



**Fig.1-1 Goal-setting Flowchart for improvement and preservation of the river environment**

For water quality, target values shall be determined for each index representing the determined direction. Since the water quality of the river shows longitudinally different properties because of natural as well as anthropogenic factors, in establishing the goals for water quality preservation the river shall be zoned into multiple areas based on the longitudinal properties, and then goals for each area shall be examined.

For reservoirs, lakes, and swamps, too, water area zoning may be required before examination is performed to set the goals.

Target values shall be set in consideration of drought and water utilization periods as well as important periods for the life and growth of animals and plants, as required. In cases where the river flows into a closed water area such as an enclosed bay, goals that take wide-area water quality preservation into consideration shall be investigated, if this is necessary.

In establishing goals for water quality preservation, coordination with relevant organizations and bodies in the basin and consideration of environmental standards are necessary.

#### **1.4 Measures for improvement and preservation of the river environment**

Measures that are necessary for achieving the goals for improvement and preservation of the river environment, etc. shall be established.

In examining the measures, cooperation and role-sharing with community members and relevant organizations and bodies in the basin shall be considered.

#### **Explanation**

Multiple examination cases shall be set in consideration of flood control, water utilization, and environmental

factors as well as the planar, longitudinal, and transverse shapes, and the vegetation, etc., of the river, etc. The channel shape and coefficient of roughness shall be determined for each case examined, in consideration of the future maintenance level and tree vegetation status.

Future channel status (riverbed evolution, etc.) and river environment, etc. shall be predicted for each case, and it is important to conduct a comprehensive assessment of the effects on flood control and water utilization as well as the impacts on the environment. For this reason, it is important to predict how river improvement will affect the river environment by studying the content of the proposed river improvement against river environment information maps.

If significant effects and impacts are predicted, the plan shall be revised, as appropriate, so that the entire plan will be a well balanced one.

In the process of developing a plan, it is desirable that frequent exchange of opinions and information takes place with the local public, as well as with other persons concerned and with civic organizations that are associated with the river.

#### 1. Measures for preservation and restoration of areas that are good habitats for plants and animals

Measures for the preservation and restoration of areas that are good habitats for plants and animals shall be established based on the following:

- Changes in areas that are good habitats for animals and plants should be avoided as much as possible.
- The diversity of the river shape shall be preserved and restored.
- Continuity of habitats in the river shall be secured.
- Expansion of nature in the basin shall be considered.
- Threatened organism species, etc. shall be protected.
- A healthy water cycle shall be secured.
- The dynamism of the river shall be secured.
- Understanding and cooperation shall be obtained from citizens, academics, and experts, and from interested organizations, etc.

The following are specific examples of viewpoints for examination:

- Low-flow channels should have a naturally shaped bed, not an artificially flattened bed.
- The width of a low-flow channel or a gutter shall be determined on the basis of the width of the original river.
- The transverse shape of a low-flow channel shall be determined on the basis of the transverse shape of the low-flow channel of the original river.
- The normal line shape of a low-flow channel shall be a gentle meander, determined on the basis of the normal line shape of the low-flow channel of the original river.
- The longitudinal shape of a riverbed shall be determined on the basis of the longitudinal shape of the original riverbed.
- If the original river had riffles and pools, these shall be formed.
- Riffles in portions of the river where a cliff, a forest, etc. adjoins the river should be preserved if at all

possible.

- Intricately indented portions and curves of the riverbank shall be preserved so that slack water areas are maintained.
- Continuity between branches and canals shall be secured.
- If at all possible, waterside areas should not be covered with concrete.
- The height of a high (higher) water channel shall be determined on the basis of the future vegetation and sediment deposition statuses.
- Riverbed slope gradients shall preferably be as gentle as possible, but there are cases in which a steep gradient will allow the formation of more diverse environments if there are highly restricting conditions related to land use, etc.
- Riverside forests should be preserved and restored to as great an extent as possible.

## 2. Measures for formation and maintenance of good landscapes

Measures for the formation and maintenance of good landscapes shall be established based on the following:

- Harmony with the characteristics of the subject river landscape shall be maintained.
- Harmony with the subject locality's natural environment shall be maintained.
- Harmony with the history, tradition, and culture of the subject locality shall be maintained.
- The appearance of river structures and permitted structures shall be considered.
- Preservation of a good river landscape shall be induced.
- Understanding and cooperation shall be obtained from citizens, academics, experts, interested organizations, etc.

The following are specific examples of viewpoints for examination:

- There is growth of plants on the riverbed and revetment and has the appearance of a natural environment.
- The river course meanders gently.
- The top of the levee has a rounded surface and a gentle line.
- The high-water channel has trees that arrest the view.
- The river edges are delicately indented where plant growth is luxuriant.
- The flow has planar variation caused by the presence of riffles, pools, and shoals, etc.
- The water is limpid.
- The width of the water surface is large.
- The dry riverbed provides a long walkway and easy access to the waterfront.
- Distant views are secured.
- A continuous mountain ridgeline is visible.
- Anthropogenic structures and objects are colored to blend in with their natural surroundings.
- Objects blocking the landscape view, such as signs, utility poles, and steel towers, are not conspicuous.
- There is a row of trees, or trees or a forest that serve to break the view around the river.
- There is a riverside park.
- There are no elevated roads running along the river.

3. Measures for creation and maintenance of places for activities that bring humans into contact with the river  
Measures for the creation and maintenance of places for activities that bring humans into contact with the river, etc. shall be established based on the following:

- Utilization and the natural environment shall be harmonized.
- Good places for activities shall be maintained.
- Access to the waterfront shall be improved.
- Places for activities shall be provided according to the actual utilization and the need for utilization.
- Cooperation and collaboration with local community members and civic organizations, etc. shall be promoted.

The following are specific examples of viewpoints for examination:

- Healthy habitats for plants and animals shall be taken into consideration.
- Consideration of the structure and its materials and finish is necessary so that users (especially the elderly, children, and disabled people) can comfortably use the place without extra physical effort.
- Safety shall be considered.
- Utilization as a place for environmental learning shall be considered.
- Use of natural materials, and their sizes and shapes, shall be considered; they should suit the historical and cultural backgrounds of the locality and the river.
- Economic efficiency and ease of management shall be considered.
- Acts that hamper the activities of the general public should be constrained.

#### 4. Measures for the preservation of good water quality

Since water quality is affected to a large extent by the natural and social environments of the basin, its preservation needs to be dealt with by the entire basin. To this end, close cooperation and collaboration with measures by relevant organizations and bodies in the basin and appropriate role-sharing among them shall be promoted.

Relevant organizations and bodies in the basin include local public entities, local interested organizations, etc. It would be effective to set up a council for each basin through collaboration between these and river administrators.

Since the measures implemented by river administrators and relevant organizations and bodies in the basin have limitations in terms of scope of implementation, coordination among these bodies may be necessary. It is also necessary to give feedback on these measures (role-sharing).

Even for water areas where water quality-related problems are not apparent, plans for the preservation of good water quality need to be established in view of future changes in the basin.

Measures for the preservation of river water quality shall be developed to achieve water quality goals in consideration of the pollution loading distribution in the basin, the characteristics of water quality change, and the effectiveness of basin management, etc.

In the process of developing measures for the preservation of river water quality, measures that are most

effective for achieving the water quality preservation goals shall be selected, their efficacy for water purification shall be estimated, and the estimated results will need to be incorporated into considerations concerning role-sharing.

Selection of measures needs to take into account the characteristics of the water to be purified; the impacts on other water quality items and the ecosystem; the maintenance requirements; and the necessary length of the operation in years, etc.

Water quality preservation measures for rivers, reservoirs, and lakes and swamps can be divided into the following functional categories, and measures for preservation of water quality shall be developed by appropriately combining these:

- Load reduction
- Load separation
- Securement of flow volume
- Reinforcement of the purification function of the river
- Water temperature and flow control
- Other

If early achievement of water quality preservation goals is impossible owing to a wide discrepancy between the current water quality and the target quality, or to difficulties with the technical and economic feasibility of implementing the measures, etc., a staged program or alternative plan shall be considered if this is necessary. Measures to counter the occurrence of water quality hazards caused by abnormal water quality, etc. shall be examined through cooperation and collaboration with relevant organizations and bodies, information gathering, and the preparation of resources and equipment, etc.

Measures for water quality preservation in the basin shall be planned and implemented primarily by relevant organizations and bodies in the basin. It is important to make these measures effective by combining functions and in consideration of the effects on the environment in the lower reaches.

Refer to Chapters 2 through 4 for water quality preservation measures that can be implemented in the basin by river administrators.

## **Section 2 Comprehensive Sediment Management**

### **2.1 Overview**

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| <p>In the process of developing plans for comprehensive sediment management, the actual state of sediment movement shall be adequately determined on the basis of the characteristics of the river or seacoast, and the necessary surveys shall be conducted in consideration of the relationships between the spatial as well as temporal continuity associated with sediment movement, the quantities and quality (grain size) of the sediment, and the river flow, etc.</p> |
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### **Explanation**

In a sediment transport system where problems related with sediment movement are apparent, efforts shall be

made to understand the characteristics of sediment movement, etc. through determining the characteristics of the river or seacoast and performing monitoring surveys of sediment movement.

Comprehensive sediment management needs to take into consideration spatial continuity such as longitudinal continuity from upstream to downstream of the river or continuity in the longshore direction of the seacoast. Since riverbed configurations are changed by sediment movement not only caused by flooding but also occurring in normal times, sediment movement at normal times should be included in considerations of temporal continuity.

In addition, since a mixture of diverse grain sizes exists in areas from mountain lands and hillside lands to the estuary, and since ecosystems and natural environments that are suitable for grain size distribution and river flow as well as different patterns of utilization of river spaces exist, not just the sediment quantity but the river channel morphology and the quality of sediment (grain size, etc.) need to be considered.

Although sediment travels in a river discontinuously, its primary moving force is flowing water. For this reason, it is important to examine the quantitative characteristics of the river flow regime in the sediment transport system for adequate implementation of sediment management.

## **2.2 Basics of facility installation planning**

If the basin, etc. has apparent problems related to sediment movement, a facility installation plan shall be designed by appropriately combining the multiple measures needed for comprehensive sediment management in the subject sediment transport system.

### **Explanation**

A facility installation plan shall be designed by combining the necessary measures selected from the following:

#### 1. Erosion control by flowing sediment

Measures for the prevention of disasters caused by sediment movement, such as hillside failures, landslides, and debris flows, as well as for the development and preservation of fluvial forest zones as part of the disaster prevention measures, shall be implemented. In addition, the status of the basin, such as its topography and geology, the functions expected of erosion control dikes, and the effects on subjects requiring preservation in the lower reaches shall be comprehensively examined. Thereby, an erosion control dike shall be installed that is capable of capturing hazardous sediment and safely allowing the necessary sediment (in terms of quantity and quality, i.e. grain size) to flow downstream.

#### 2. Reuse of sediment within the sediment transport system

Sediment deposited at river administration facilities such as dams and groundsills, as well as in erosion and sediment control facilities such as erosion control dikes and sediment-retarding basins, shall be removed and carried out within the extent that its purpose and function are not damaged. It shall be reused for a seacoast that needs beach nourishment or for a remarkably degraded river, etc.

#### 3. Sand removal plan for a dam

Measures for discharging sediment from a dam and appropriately flowing sediment downstream without undermining the expected purpose of the dam shall be developed.

#### 4. Appropriate maintenance of river structures

For cross-river structures, etc. whose safety is deteriorating due to riverbed degradation, reinforcement measures such as revetment attachment or bed protection work shall be implemented as appropriate.

Direct supply of the sediment downstream shall also be examined.

#### 5. Appropriate gravel quarrying

For a river that has a sufficient cross-sectional area, if future sediment supply in great amounts is not expected, gravel quarrying must not be carried out because it causes excessive riverbed degradation and has adverse effects on the environment. For a river where sediment deposition is apparent, if no problems are expected to arise in terms of flood control, water utilization, and environment preservation, well-planned gravel quarrying shall be appropriately carried out in consideration of the balance between river channel or seacoast maintenance and sediment supply.

#### Reference

National Census on River Environment (River Edition) Guide for Surveys for Creation of General Material Concerning River Environment (Draft), 2001 Edition: Supervised by River Environment Division, River Bureau, Ministry of Land, Infrastructure and Transport (MLIT). Issued by Foundation for Riverfront Improvement and Restoration, 2001

## Chapter 2 River Facility Installation Planning

### Chapter 2–1 River Channel and River Structures

#### Section 1 River Channel Planning

##### 1.1 Basics of river channel planning

###### 1.1.1 Basics of river channel planning

A river channel plan shall be established for the purpose of safe downstream flow of flow volumes below the design high water discharge and in consideration of the improvement and preservation of the river environment. In the process of plan development, not only the status of land use in riverside areas, but also comprehensive sediment management, if necessary, shall be considered.

##### **Explanation**

A “river channel” means the land space in which the water travels, and it usually means the portion surrounded by the embankment or the riverbank and riverbed. Since many changes take place in a river channel, and sediment and other things flow in the water, it is important to thoroughly examine whether the functions related to the planned target can be maintained after the project and what the necessary maintenance will be. For rivers that show obvious sediment movement, this should especially be taken into due consideration. Also, in the case of rivers that are in basins below mountains that actively yield sediment, or that flow to beaches whose sediments are supplied from the river, it is desirable to consider the relationship between these areas and comprehensive sediment management.

River channel planning shall be based on nature-oriented river reconstruction that takes into consideration the diverse channel topography formed by the characteristics of the subject river course, as well as the natural environment and landscapes formed there, and it is necessary to take into consideration river utilization and the diverse aspects of the river, such as its history and culture and the day-to-day relationship between the river and its people.

In the process of developing a river channel plan, it is important to determine the optimal longitudinal and transverse shapes and the planar shape, etc., through a comprehensive examination of quantitative stability (securing of discharge capacity), securing of qualitative stability (safety of river management facilities, safety of infiltration surfaces of embankments, etc. against erosion caused by sediment movement), minimization of total cost, and improvement and preservation of the river environment (preservation and restoration of the natural environment, harmonization with river utilization).

In conventional expressions such as "design bed slope" and "design bed height", use of the word "design", may lead to a false understanding that uniform longitudinal and transverse heights must be secured; these expressions have therefore been corrected.

### 1.1.2 Procedure for establishing a river channel plan

In establishing a river channel plan, the following procedure shall be followed to carry out a detailed examination while considering the current issues associated with the channel, the status of the peripheral area, the natural environment of the locality, and the social environment, as well as their historical transition.

1. Determine the design high water level.
2. Determine project sections, depending on the reasons for improvement.
3. Establish multiple examination case studies in regard to the planned line and the longitudinal and transverse shapes of the river channel.
4. Establish plans for river structure construction, etc.
5. Comprehensively assess the possible effects and impacts on flood control, water utilization, and the environment.

On the basis of the comprehensive assessment, the necessary corrections shall be made to the plan until it becomes well-balanced.

### Explanation

A river channel plan shall be established in such a way that it meets well the purposes stipulated in "Chapter 1: Basic Policy" of the Basic Planning part. First, the characteristics of the river, including the natural conditions such as topography, soil nature, etc., and the natural and social environments of the locality and their historical transition (based on Chapter 2 in the Basic Planning section) shall be determined. On the basis of these and in consideration of the goals for flood control, water utilization, and environmental preservation, etc. (based on Chapter 2 in the Basic Planning section), a detailed examination of a possible river channel plan shall be carried out. After determining the design high water level on the basis of the concept described in the next section, the question of whether the design high water discharge can flow shall be examined. On the basis of the results of this examination, too, any lack of discharge capacity, the existence of crossings that hinder flood discharge, inadequate traits of the river channel, and causes of past major disasters, etc. shall be investigated, thereby identifying the reasons for improvement and determining the sections of interest. On the basis of these examinations, multiple examination case studies of the planar and longitudinal and transverse shapes of the river channel shall be established.

In each examination case, a plan for the installation of structures to prevent and control erosion, corrosion, and sediment deposition in the channel and thus enable prolonged channel stability through the use of the planar and longitudinal and transverse shapes, etc. that have been determined shall be examined. If necessary, new construction, reconstruction, or elimination and consolidation of weirs, sluices, water gates, and other facilities (including structures whose main purpose is other than flood control) shall be examined. In establishing a measure for river facility installation, the river water behavior at normal times and in times of flood, as well as changes in the riverbed and riverbank shape characteristics, the soil and geological properties, and the sediment transport characteristics, should be taken into due consideration. Its positioning and role in river channel planning, and the criteria used to order the priority and timing for installation shall be clarified. A measure that

draws the expected effects from the minimum necessary construction of new facilities or reconstruction of existing facilities without overly depending on structures, while taking into due consideration the improvement and preservation of a healthy river environment, shall be developed.

The effects and impacts on flood control, water utilization, and the environment shall be comprehensively estimated for each examination case.

## **1.2 Design high water level**

### **1.2.1 Basics of determining the design high water level**

When reviewing a river channel plan for a river for which a design high water level has already been determined, as a general rule, the revised level shall not exceed the existing design high water level. In cases where partial raising of the design high water level is unavoidable, the area for its application shall be kept to a minimum; it is desirable for the design high water level to be kept under the highest high water level of past floods, if at all possible.

When determining a new design high water level for a river for which no such level has been determined (for example, in the case of construction of a new stream such as a cutoff channel or discharge channel, or for a river for which overall river improvement is to be implemented), the design high water level shall be determined in such a way that the height exceeding the riverside ground level is minimized, in consideration of the design high water level of connecting rivers and the regional characteristics, etc. Especially, for a river whose design scale is small and for which a sufficient water surface gradient can be secured in consideration of the conditions of the downstream river channel, the design high water level shall be set approximately to the ground level.

### **Explanation**

The design high water level is the water level below which the design high water discharge can flow, and it is a basic determinant used for various purposes such as determining an embankment height or bridge beam height, or for planning a branch channel and drainage of the inner water. It is also the most important planning factor associated with river management and may even determine the scale of disaster when a flood levee failure occurs. Therefore, in the process of examining a river channel plan, the question of how the design high water level should be determined is the key issue to be examined. The majority of rivers have already had design high water levels set, and river improvement and management have been implemented on the basis of these. Consequently, in this section, the basics of determining the design high water level will be described in the following two cases: 1) when the design high water level has already been determined; and 2) when the design high water level has not been determined, or when it has been determined but full-scale improvement, such as that for a new river (often a medium-sized or small river) is to be implemented.

In the case where a river channel plan is reviewed for a river section for which a design high water level was determined in the past, raising the design high water level would be tantamount to full-scale river improvement. This would be unrealistic, except in cases where the design high water level is raised for limited portions only. It is also contrary to the broad principle of flood control, which is to discharge flood water at a water level as low as possible; therefore, in most cases the existing design high water level is adhered to.

When determining a new design high water level for a river for which a level has not been determined in the past

(for example, in the case of new river improvement, or a river for which the existing design high water level does not have to be adhered to because a full-scale river improvement project is being conducted), the design high water level shall be determined in such a way that the height exceeding the riverside ground level will be minimized, in consideration of the regional characteristics such as topography and land-use status, etc. For a river with a small design scale, especially, since the possibility of flood occurrence exceeding the design high water level is high, positive consideration should be given to planning excavated river channel. In this case, due consideration needs to be given to securing the groundwater level at times of low water discharge, securing the intake level of various service waters, and measures for maintaining the normal functions of river water and preserving the river environment. If the design high water level for an upstream river channel is made excessively low compared with the landside ground level on the basis of considerations of the safety of the entire river system, then the discharge capacity of that section will be substantially enhanced and a flow volume exceeding the design level can be discharged. Therefore, any flow volume exceeding the design level will be discharged to a downstream river channel with embankments, causing a serious problem in terms of downstream river safety. Consequently, it is very desirable to determine a design high water level that is approximately equal to the ground level.

#### **1.2.2 Design high water levels of branches within a backwater section of the main stream**

The design high water levels of branches within a backwater section of the main stream shall be determined on the basis of the water level described in the following 1 or 2, whichever is higher:

1. The water level obtained by backwater calculation when a volume of the design high water level is flowed in the main stream and a combined volume corresponding to the peak discharge of the main stream is flowed in the branches.
2. The water level obtained by backwater calculation using, as the starting water level, the water level of the main stream corresponding to the flow volume of the main stream when the design high water discharges from the branches join the main stream.

In cases where the ratio of the design high water levels of the branches to that of the main stream are relatively small, the water level obtained by uniform flow calculation for the design high water discharges of the branches may be used instead of the water level obtained by 2.

#### **Explanation**

Ideally, the design high water level of branches within the backwater section of the main stream shall be determined by including the high water levels of the branches based on the flood discharges of the branches corresponding to each water level of the main stream, although, in general, such an extensive examination is not necessary. It is safe to regard the following two cases as criteria: 1) when the main stream flow reaches the design high water level; and 2) when the branch flows reach the design high water discharge.

If the situations in the main stream basin and the branch basin are radically different and seem to have little to do with the peak occurrence, then the backwater of the main stream is presumably almost horizontal. If this is the case, the water level in 1 above shall be that which is horizontal to the water level of the main stream at the confluence.

### 1.2.3 Design high water level in estuaries

The design high water level in estuaries shall be determined from the hydraulic and meteorological characteristics of the river and sea areas around the estuary and in consideration of the river channel characteristics at, and around, the estuary, as well as of future measures for estuary improvement.

#### Explanation

With waves, flows, and tides, and the coexistence of freshwater and seawater, the hydraulic phenomena occurring in and around a river estuary are physically very complicated. Therefore, the design high water level for an estuary area needs to be determined in consideration of phenomena such as riverbed deformation in times of flood, the effects of the chloride content of seawater, and the hydraulic phenomena around the estuary, such as sea level departure from normal—whichever are regarded as factors necessary for the plan. If the estuary may be reclaimed or dredged in the future, the resulting effects should be taken into due consideration.

#### 1. Riverbed deformation at times of flood

There are rivers for which the effects on the water level of the presence of a sand spit at the river mouth, topographical deformation of the sand spit at the river mouth, riverbed raising, etc. in times of flood should not be ignored. For such rivers, topographic deformation of the estuary in times of flood needs to be taken into consideration.

#### 2. Effects of chloride content of seawater

In some cases, the density difference between river water and seawater in the estuary causes a saltwater wedge, making it impossible to regard the area between the water surface and the riverbed as an effective cross-sectional area. In such cases, the amount of rise in water level due to the effects of the chloride content of seawater needs to be taken into consideration.

#### 3. Co-occurrence of a flood and storm surge

For rivers where the possibility of simultaneous occurrence of a flood and a storm surge due to a typhoon is high, the relationship between flood peaks and sea level departures from normal in past flood instances shall be analyzed. The design high water level for the estuary shall be determined as appropriate on the basis of the highest high tide level or watermarks in times of flood and the water level that takes into consideration the same probability deviation as the scale of the flood prevention plan. If the hinterland around the river mouth is especially important, it is necessary to also examine water levels that take into consideration the probability deviation corresponding to the scale of the flood prevention plan.

## 1.3 Planar shape and longitudinal and transverse shapes of a river channel

### 1.3.1 Basics of the planar shape of a river channel

The planar shape of the project section requiring improvement shall be determined primarily on the basis of the

planar shape of the current channel and in accordance with the directions in terms of flood control, water utilization, and environmental preservation. Discharge channels and cutoff channels shall be designed to have appropriate planar shapes in consideration of their effects on the surrounding environment.

#### **Explanation**

In general, river improvement works are carried out along the current river channel, taking into consideration the topography, land-use pattern, and difficulty of land acquisition along the current channel. Accordingly, the design planar shape shall be determined primarily from the planar shape of the current channel and in accordance with the directions in terms of flood control, water utilization, and environmental preservation.

In many cases it is more advantageous to determine a planar shape similar to that of the current channel in view of the need to preserve the natural environment. In cases where the area where the river is broadened has a valuable natural environment, however, a different planar shape needs to be examined, depending on the necessity. If the current river course is extremely tortuous, or has a crowded, large-scale residential area along it, it may be necessary to examine a planar shape that includes the construction of a new channel, such as a discharge channel or cutoff channel, etc. In such a case, several planar shapes shall be examined for combinations of the current channel and new channel. From among these, the optimal planar shape shall be selected through examination of topography, geology, current and future land use (note that area separation needs to be considered), administrative divisions or districts, irrigation and drainage canal systems, effects on groundwater, landside water drainage, effects on areas upstream and downstream of the project section, natural environment, landscape, economic efficiency, and maintenance after improvement, etc.

#### **1.3.2 Normal line of the levee**

The normal line of the levee (including sections of excavated river channels) shall be determined in a way that the necessary river width is secured in view of various factors, including the design high water discharge, riverside land utilization, natural environment, flow regime in times of flood, current river channel, future maintenance of the river channel, and economic efficiency, etc.

#### **Explanation**

The normal line of the levee defines the river width on the basis of which the planar shape of a river necessary for flowing the design high water discharge is determined. It is the most important condition that constrains the use of the landside area. Appropriate river widths vary depending on the water depth, gradient, and riverbed roughness, even if rivers have the same design high water discharge. They also differ depending on the status of the existing levees, the crowdedness of riverside residential houses, the natural environment and river utilization, and the land acquisition status. Therefore, the river width needs to be defined as part of a comprehensive examination of river channel planning. In the process of determining the normal line of the levee, the above-mentioned and the following points must be taken into due consideration:

1. The relationship with the natural environment typical of the subject river and the status of river utilization

shall be taken into due consideration, so that improvement and preservation of the river environment will be facilitated.

2. Even if the current river width is large enough in terms of discharge capacity, it is desirable, in general, to secure a width deemed sufficient to take advantage of the river's storage effect. Although it is the general rule that the flow volume reduction effect due to channel storage is not included in the consideration of the expected effects (because it differs depending on individual flood), this does not mean underestimation of channel storage effect.

3. Various factors such as the securing of levee safety, maintenance of the river channel flow against erosion and sediment deposition, etc. shall be examined in a comprehensive manner on the basis of the flow regime in times of flood. For flash streams, the normal line of the levee is usually defined as a nearly straight line. For slow streams, it does not have to be a straight line, but an extremely tortuous line should be avoided. In some cases, an appropriately meandering line may be used to limit the scope of the need for levee and river bank erosion control.

4. When choosing to use a meandering shape, the current river channel, hinterland topography and geology, and land utilization, etc. shall be taken into consideration, and attention should be given to avoiding locating areas with densely built houses and closure points of the former river at water-collision fronts.

### **1.3.3 Shape of a tributary confluence**

The shape of a tributary confluence, as a general rule, shall be one that allows the tributary to smoothly join the main stream, and it shall be determined in consideration of the flow regime as well as the corrosion and deposition status of the confluence. This is not the case, however, if the design high water discharge of the tributary is much smaller than that of the main stream, thus having only a negligible effect on the confluence. In determining the longitudinal shape of the confluence, consideration should be given to the free migration of aquatic biota.

#### **Explanation**

At a tributary confluence, because (more than) two streams that are different in flow direction and velocity join, the flow regime is more complicated than that in a normal channel section. Consequently, this may cause a high flow velocity or the formation of dead water zones along levees, as well as large-scale corrosion and sediment deposition and upstream water level rises due to flow resistance. Therefore, as a general rule, a tributary must be made to join the main stream smoothly. This should stabilize the flood flow before and after the confluence and prevent riverbed corrosion and sediment deposition. This is not the case, however, if the design high water discharge of the tributary is much smaller than that of the main stream, thus having only a negligible effect on the confluence. In addition, since any difference in the level of the beds of the main stream and tributary at the confluence will interfere with free migration of aquatic biota, the longitudinal shape of the confluence shall be determined by taking the freedom of migration of aquatic life into consideration.

### 1.3.4 Longitudinal shape of a river channel

The longitudinal shape of a river channel shall be determined in relation to the normal line of the levee and the transverse shape of the channel and in consideration of the landside ground level, river environment, riverbed stability, and economic efficiency, etc. In general, the longitudinal shape of the current river channel shall be used as the primary determinant. For ordinary rivers, the riverbed slope is made steep to gentle from upstream to downstream, and consideration shall also be given to the groundwater level, the intake level of service water, and the foundation heights of existing important structures, etc.

#### **Explanation**

While the transverse shapes of a riverbed are not of even height owing to the action of flowing water, the longitudinal shape is, in general, determined from the average riverbed height of low water channels (riverbeds not including riverbanks when using single cross-sections), and its gradient is determined as the bed slope. The longitudinal shape of an ordinary river channel shall be determined from the longitudinal shape of the average riverbed height of the current low water channels in accordance with "Research on River Channel Characteristics" (Chapter 19 of the Research volume of the Technical Criteria for River Works [Proposed]). This is because using the current bed slope as a base, in general, is advantageous for future river channel maintenance unless local changes are in progress in the current river channel. It is important that due consideration is given to the foundation heights of important structures, the intake level of service water, the riverbed height of the main stream at the confluence (if the subject river is a tributary), the riverbed heights at points where bedrock is exposed, and the peripheral groundwater levels, etc. Since the longitudinal shape of a river channel is closely related to the freedom of migration for aquatic biota, as well as to the quality of habitat for plants and animals, such as riffles and pools, and to river utilization, etc., river environment factors such as the securing of longitudinal continuity need to be given sufficient consideration.

If it is necessary to partly change the bed slope, as in the case of a cutoff channel, the longitudinal shape shall be determined in consideration of the upstream and downstream bed slopes. When drastically changing the river regime, the longitudinal shape needs to be determined by taking into account the transverse shapes and in consideration of future river channel stability. For an ordinary river, it is the general practice to make the bed slope steep to gentle from upstream to downstream.

If there is a need for riverbed stability, groundfills etc. can be installed, depending on the riverbed status. In this case, the position and direction of installation shall be determined in consideration of the planar shape of the channel as well as the freedom of migration of aquatic life.

Because of riverbed deformation and partial deep corrosion etc. caused by flooding, the actual riverbed height may be lower than the average bed height of the low water channel assumed at the time of planning. For this reason, in deciding the longitudinal shape of the river channel it is important to pay adequate attention to long-term, local riverbed deformation and to determine the bed height needed for structure planning and designing. Especially, for the upstream and downstream areas of groundfills, deepening of embedment and foundation works for peripheral structures shall be examined on the assumption of possible bed deformation occurrence. A groundfill installation plan shall be established in accordance with Section 7 of this chapter. For

the examination of bed deformation, the description in "Sediment Load Research" (Chapter 14 of the Research volume of Technical Criteria for River Works [Draft]) should be useful.

In channels in mountainous land and upstream channels in alluvial fans where sediment yield is active, the riverbed may suddenly rise owing to sediment discharge caused by flooding. Although the occurrence of such a phenomenon is technically hard to predict, comprehensive countermeasures coupled with sediment discharge control by sediment and erosion control facilities needs to be examined on the basis of past experiences. The longitudinal shape of an estuary shall be determined in accordance with Section 8 of this chapter.

### **1.3.5 Transverse shape of a river channel**

The transverse shape of a river channel shall be determined by taking into due consideration various factors such as the longitudinal shape of the channel and the topography, geology, river environment (including habitat for plants and animals), and riverside land utilization, as well as long-term local bed deformation. If there is a low water channel, the design position of the low-water channel bank shall be determined on the basis of the low water channel bank location needed for levee protection (levee protection lines), and, if necessary, the low water channel bank location needed for high-water channel utilization (low-water channel bank management lines).

#### **Explanation**

Since the difference between the minimum and maximum flows of rivers in Japan is generally large, compound cross-sections are usually employed for channel stability. In the long run, the transverse shape of a river channel naturally changes because of floods, etc., and local deep corrosion associated with the normal line and longitudinal shape may also occur. The transverse shape shall be determined and the river channel control facilities shall be planned and designed in due consideration of these points.

When a wide, flashy stream has several watercourses that fluctuate, in many cases it is impossible to clearly differentiate between the low water channel and high water channel from the viewpoint of channel maintenance. For a river with a small design high water discharge, the transverse shape is usually a single cross-section. The "design transverse shape" does not mean that all elements of a transverse shape should be determined as planned items, but it identifies minimum necessary essentials such as the levee height and width, high-water channel height and width, and low water channel bank position, all of which are necessary for river management. It should be noted that the design transverse shape needs to take into consideration various factors such as riverbed deformation caused by natural force.

The transverse shape of a river channel is equally important for preservation of the natural environment, river utilization, etc., and the flow regime at normal times and at times of flood should be determined so that the transverse shape of the river channel that is appropriate in consideration of the effects of natural forces such as flowing water can be determined. If the ratio of the flow section to the cross-section within the set normal line of the levee needs to be relatively large, excavation of a low water channel bed, widening of a low water channel, or excavation of a high water channel shall be considered. Whichever is the case, an appropriate method needs to be employed by comprehensively examining various factors such as river channel stability, effects on river management facilities, river space utilization, and impacts on the river environment, etc. When low water channel excavation is necessary, the transverse shape of the low water channel to be formed needs to be designed

to take a riverbed or riverbank shape that is appropriate for preservation and restoration of riffles and pools as well as healthy habitat for plants and animals, as based on the original state of the river.

The setting of a low water channel is especially important when determining the transverse shape. In the relationship with the planar shape of the river channel, some sections of the channel are more vulnerable to bank erosion due to flooding than other sections, depending on the normal lines or whether they have sand banks. For wide, large rivers, lines for the purpose of levee protection (levee protection lines) and lines for the stabilization of the low water channel bank (low water channel bank management lines) shall be determined, and it is important to designate bank erosion prevention points in consideration of those lines as well as the current profile.

The purpose of levee protection lines is to protect a levee from destruction due to bank erosion caused by floods. Therefore, it is necessary to set these lines for all sections of the subject river that have levees. As a general rule, the positions of levee protection lines shall be determined in a way that the levee will not be endangered by a single flood event. In cases where a necessary high water channel width cannot be secured, bank protection (by, for example, the use of revetments) shall be applied.

Low water channel bank management lines indicate a section of a river where bank erosion needs to be prevented in order to secure the expected functions of the river channel in view of flood control, water utilization, and environment preservation, etc. They shall be set as appropriate for the purpose of low water channel bank stabilization. The location of a planned low water channel bank, as determined from the positions of levee protection lines and low water channel bank management lines, shall be set as the design transverse shape.

#### **1.3.6 Low water channel width and high water channel height**

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|--|
| The low water channel width and the high water channel height shall be determined in consideration of river channel maintenance, flood frequency of the high water channel, utilization, and preservation of habitats for plants and animals, etc. |
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#### **Explanation**

The low water channel width shall be generally determined with the emphasis on the current channel shape, and the high water channel height shall be usually determined through estimation that assumes a discharge capacity allowing one flood occurrence per several years. However, since high water channel utilization patterns are diverse and vary from area to area, it is necessary to take into consideration various factors such as importance of preservation and restoration of healthy habitats for animals and plants in the channel and surrounding areas, predicted future transition of vegetation, installation of structures, etc. in determining the low water channel width and high water channel height. While the high water channel height shall be determined based on the historical transition of the longitudinal as well as transverse shapes of the channel and flood frequency and in consideration of the low water channel width, it is not desirable, from the viewpoint of high water channel maintenance, to let the current velocity of the high water channel become excessively high in order to secure its stabilization at times of flood.

#### **1.3.7 Installation of a levee-side riparian forest zone**

A levee-side riparian forest zone shall be installed, if necessary, for a section in possible danger of severe damage caused by levee break or flooding.

#### **Explanation**

A levee-side riparian forest zone shall be installed to prevent levee break due to prevention of corrosion at times of overflow and to prevent widening of levee break caused by flooding flow at a time of levee break when the subject area is prone to serious damage due to levee break and flooding.

When installing a riparian forest zone, harmonization with the surrounding natural environment including vegetation and utilization of landside area shall be taken into consideration.

### **1.4 Estuary planning**

In developing plans for an estuary, the longitudinal and transverse shapes shall be deliberately determined taking into due consideration both the river and sea conditions so that they satisfy the following conditions.

1. They are well capable of handling the design high water discharge, as well as storm surges and tsunami in case such events should occur.
2. Future maintenance is easy.
3. They do not interfere with water utilization around the estuary at times of low water.
4. Due consideration is given for the natural environment around the estuary.

#### **Explanation**

Plans for an estuary are roughly divided into river channel planning and planning for measures against storm surge around the estuary. A channel plan requires examination of the design high water level, longitudinal and transverse shapes, and measures against estuary closure (such as the installation of a guide levee, etc.) or estuary improvement measures. In cases where it is deemed necessary to consider measures against storm surges or tsunamis, such measures need to be consistent with storm surge measures etc. for the seacoast. Measures against storm surges include the installation of a tide gate at the estuary and installation of a dike for the designated high tide section. If a measure against storm surges is required, the most appropriate method shall be chosen through examination of the tide level, flood and wind wave characteristics, economic efficiency, method for estuary improvement, etc.

An estuary receives forces acting from both the river and the sea, and therefore overall consideration of both the river and sea conditions is demanded. In establishing a plan for an estuary, examination based on an appropriate survey shall be conducted in accordance with "Estuary Research" (Chapter 9 of the Research volume of Technical Criteria for River Works [Proposed]). With waves, flows, tides, and the presence of both freshwater and seawater, hydraulic phenomena and sediment movement around an estuary are extremely complicated physically, making it technically difficult to assess the effects and impacts of improvement works, etc. Consequently, river channel planning for an estuary requires examination based on adequate field surveys and in reference to the case of a similar river, and, if necessary, the conducting of a hydraulic model experiment and use of a numerical simulation.

Although the river width at an estuary is considered to depend on the river flow, bed slope, bed material, and sediment load, etc., there is no definite rule on this point. The present conditions should be maintained to as great an extent as possible, unless river basin adjustment or some other significant changes (such as those in normal flow or low flow) take place. If there is a sand spit at the river mouth and maintenance of the river channel without the sand spit is presumably impossible; or if removal of the sand spit is expected to damage the protection function against wind wave and salt water invasion and bring serious adverse effects; or if the sand spit is playing an important role in the estuary ecosystem, then the sand spit should be maintained in its current condition, if at all possible, and longitudinal and transverse shapes that take the presence of the sand spit into consideration should be determined. Even if there is no sand spit at the river mouth, widening or bed excavation at the estuary must be avoided as much as possible, since this may adversely affect the maintenance and management of the estuary because of its function as a sediment deposition space. If widening or excavation is absolutely necessary, sufficient examination should be conducted to establish adequate measures.

The transverse shape of an estuary is usually determined from the transverse shape of a section slightly upstream, in an area that has river course characteristics identical to those in the estuary. When widening the current river mouth, however, the same considerations are required, because the widening is related to estuary maintenance. Since an estuary has a river environment with the natural characteristics of a brackish-water region and a tidal flat, estuary planning needs to be harmonized with the local natural environment. However, because we have insufficient physicochemical and ecological knowledge of the environmental characteristics of estuaries, deliberate research and examination based on information on similar rivers, and past experience, are important.

## **Section 2 Cutoff channel and discharge channel**

### **2.1 Cutoff channel and discharge channel planning**

A cutoff channel (or short-cut) is a waterway constructed to short-circuit an extremely tortuous river course.

A discharge channel (or diversion channel) is a waterway that branches part or all of a flood midway along a river and discharges it to another river, back to the main stream, or directly to the sea.

In planning the construction of a new waterway such as a cutoff channel or discharge channel, an appropriate plan shall be established that ensures safe flow of the flood, taking into consideration various factors such as the environment of and around the new channel; the present and future social environment; the groundwater levels of the surrounding area; the quality of the groundwater; any irrigation and drainage canal systems; the landside water drainage, and post-construction river course maintenance, as well as impacts on the environments of the destination water body and the source river.

#### **Explanation**

##### **1. Cutoff channel and discharge channel**

Many large-scale cutoff channels were constructed in the past for large rivers that had numerous meandering and tortuous sections. Today, however, because the planar shapes of the major sections of large rivers have mostly been fixed as a result of river improvement endeavors, there are few cases of large-scale cutoff channel construction. On the other hand, many cutoff channels were built until recently as part of river improvement

works for extremely tortuous sections of medium-sized and small rivers.

Also, especially in large cities, the unavoidable use of tunnel structures to construct discharge channels because of topographic- and land-use-related constraints is not rare.

There are other cases of new channel construction, such as when moving a confluence to another location to reduce the effects of back water from the main stream, or to short-circuit the flood flow from the mountainous watershed of a tributary.

## 2. New channel construction planning

- (1) The bed slope of a cutoff channel is steeper than that of the main stream owing to shortening of the channel extension. For a meandering river with a stabilized bed, bed stability will be lost. For example, increased current velocities in the upper reaches may cause corrosion, endangering upstream structures, as well as sediment deposition in the lower reaches, resulting in rises in water level.

For this reason, in the process of cutoff channel construction planning, the bed slope and trait of the river channel shall be determined and a plan for improvement of the transverse shape shall be established for not only the cutoff channel section but also the upstream and downstream sections that will be affected.

Therefore, if the need arises, investigations shall be conducted on the channel shapes of the short-cut section and the upstream and downstream sections to be affected, as well as on the bed slope, river structures, bed material, and bed fluctuation. The bed fluctuation based on the projected channel shall be predicted, and an optimal plan shall be developed through predicted corrections to the new channel and repeated prediction calculations.

- (2) A discharge channel construction plan shall be designed in such a way that the flood discharge can be safely flowed and in consideration of the surrounding environment, social environment, groundwater level, groundwater quality, irrigation and drainage canal systems, landside water drainage, and maintenance of the new channel, etc. A thorough examination shall also be conducted into the possible impacts on the environments of the destination water body and the source river, and of the economic efficiency.

- ① It shall be determined whether natural diversion or artificial diversion by the use of a structure such as a fixed crest weir or a water gate is to be applied. When a structure is to be installed, it shall be determined whether the structure is necessary on the main stream side, the discharge channel side, or both sides.
- ② In terms of the longitudinal shape, as in the case of cutoff channels the discharge channel section is steeper than in the upstream and downstream sections; in many cases it has a larger bed fluctuation owing to differences in bed materials. Accordingly, a thorough examination is necessary when determining a method for reducing flood energy, and structural safety measures through embedment deepening etc. shall be examined with deliberation.
- ③ Although low water diversion is not commonly carried out in the case of a discharge channel used for high water diversion (in order not to limit the utilization of water in the main stream), river functions at normal times shall also be examined (for example, in the case of the need to convey water for purification in times of high water).

(3) When cutting a new channel such as a cutoff channel or discharge channel, efforts should be made to prevent new landside water problems by taking landside water drainage into due consideration. To this end, it is important to gain sufficient knowledge and understanding of the existing discharge canal systems in riverside areas.

Measures for landside water drainage shall be developed for the leveed section such that the current drainability is not damaged. Also, if the condition of the upstream and downstream channels permits, excavated river channel should be planned, if this is at all possible. In this case, inflow from riverside areas along the new channel shall be included in the design high water discharge.

Furthermore, to avoid significant trouble, adequate surveys shall be conducted to predict any potential effects on groundwater level and quality.

## **2.2 River tunnel structures**

### **2.2.1 Basics of planning**

A river tunnel structure should not be installed unless it is unavoidable in light of topographic features or for other special reasons. The route shall be determined from surveys of topographic and geological conditions, overground land use, and underground installations and utilities, etc. The alignment of the river tunnel structure should not being extremely tortuous, if at all possible.

The current river course shall be maintained, except in cases where this is impossible for unavoidable reasons.

#### **Explanation**

Compared with ordinary rivers, rivers with tunnel structures present many more problems in terms of channel maintenance, such as cross-section occlusion caused by falling objects in times of flood. There are also management-related problems caused by human error, etc. For these reasons, when planning cutoff channel or discharge channel construction as part of a river course plan, it is desirable to avoid a plan that calls for the construction of tunnels. However, if the lower reaches of the river are urbanized, making adequate channel widening impossible, or if a diversion channel has to be installed through a built-up area, or if the topography does not permit the choice of an open channel, an examination, including a comparison with alternative plans, must be carried out. The examination must take into due consideration various factors, such as the possible effects of channel widening on urban functions etc., the urgency of the flood control project, the improvement of the river environment, constraints on construction, and economic efficiency, etc.

After the introduction of the tunnel structure channel, unless it is absolutely necessary to demolish the structure the current channel should be maintained so that the situation will not become worse than at present, even if the new channel becomes unusable for some reason. Securing of the current channel is also important in terms of preservation of valuable waterfront space in urbanized areas. Compared with other urban land uses, rivers in urbanized areas form spaces that are far superior in scale and continuity and richer in diverse environmental functions. Therefore, it is not at all desirable to demolish or reduce the size of the current channel simply for intensive land use after the construction of the tunnel structure channel.

### 2.2.2 Cross-section and longitudinal slope

The tunnel cross-section needs to be large enough to include, as a rule, a sufficient vacant area in addition to a sectional area necessary for the design flow.

The tunnel longitudinal slope shall be the most appropriate from the viewpoints of securing the flood control function, hydraulic stability, and maintenance.

#### Explanation

##### 1. Cross-section

In the case of free-flow channel-type tunnels, driftwood, suspended debris, etc. can cause discharge failures, and high-speed currents cause reductions in air pressure. To compensate for this, a vacant area based on a value of not less than approximately 15% of the cross-sectional area necessary for the design flow shall be secured so that there is sufficient air supply to permit airflow.

In the case of penstock-type tunnels, the cross-sectional shape shall be determined in consideration of discharge capacity, aeration rate, negative pressure occurrence, cutoff performance, surging, and lining plans, etc., and an inverted arch shall be introduced, depending on the maintenance plans. In planning for the construction of a penstock-type tunnel, the details of its aeration conditions shall be determined through model experiments. If necessary, an appropriately expanded cross-sectional area shall be planned on the basis of the characteristics of the locality, including the presence of sediments, driftwood, and suspended debris. Furthermore, the intake or inflow should be shaped appropriately to minimize aeration, and infrastructure for air removal from inside the tube shall be implemented.

##### 2. Longitudinal slope

An inappropriate longitudinal slope in the tunnel may cause sediment deposition in low-gradient sections.

Therefore, the longitudinal slope needs to take into consideration the balance of scouring forces over the entire section.

Because the flow velocity inside a penstock-type tunnel is related to the hydraulic gradient and is not dependent on the waterway gradient, the longitudinal slope is normally determined from the viewpoint of tunnel maintenance.

If the residual water in the penstock-type tunnel after a flood is to be drained from a downstream pumping station, it is desirable to form the slope in such a way that the downstream side is down.

## Section 3 Reservoir (Dam)

### 3.1 Flood control planning

#### 3.1.1 Design high water discharge for dams

If the hydrograph for the dam location corresponding to the design flood determined in 2.7 of Section 2 in Chapter 2 of the Basic Planning part, and the dam location itself, are the design control points, the design high water discharge for the dam shall be determined rationally through examination of the peak discharge in the hydrograph and the flood control capacity of the dam location.

### **Explanation**

The design high water discharge for a dam is the basic quantity of a flood control plan for the dam, and it shall be determined for each individual dam. Expected effects of the dam on the design flood of downstream design control points, those on the channel directly beneath the dam location, and the harmony with flood control facility planning for the entire river system shall be examined in a comprehensive manner.

The design high water discharge for a dam site, as a general rule, shall be determined on the basis of the hydrograph with the largest peak discharge selected from among the following:

1. The hydrograph with the largest peak discharge and the one with the highest flood control capacity for the dam location; these hydrographs are selected from among the hydrographs corresponding to the group used to determine the design flood.
2. The hydrograph with the largest peak discharge and the one with the highest flood control capacity; these are selected from among the group of hydrographs for the dam location obtained from the subject rainfall of the dam area.

### **3.1.2 Flood control method**

A dam-based flood control method shall be selected from among methods that can ensure the expected flood control effectiveness of the downstream design control points in consideration of flood runoff characteristics, control efficiency, sureness of operation, and ease of maintenance, etc.

### **Explanation**

The most certain and effective method of dam-based flood control must be selected in consideration of the river condition, hydrologic characteristics of flood runoff, storage capacity, outlets, purpose of control, control efficiency, sureness of operation, ease of maintenance, and channel improvement downstream of the dam, etc.

Dam-based flood control methods include the following:

#### 1. Constant rate discharge method

When the inflow exceeds the flood control start-flow volume, this control method discharges a fixed rate of the inflow until the peak flow is reached, and a fixed volume after the peak flow is reached. A great control effect can also be expected for medium-sized and small floods. Generally, this method is suitable for rivers where the flood discharge from downstream of the dam constitutes a substantial portion of the flood discharge at the downstream design control point, or for rivers whose channels leave much to be improved.

#### 2. Constant discharge method

Because this is a peak-cut method that discharges a fixed quantity of flood volume regardless of the flood hydrograph, etc., high control effects can be expected from this method. This is especially the case for rivers whose channels have been improved to a considerable level, although the control effect of this method is relatively weak for medium-sized and small floods.

#### 3. Natural regulation method

This method does not usually use a flood control gate, or, even when it does use one, the opening of the gate is kept fixed and not operated for discharge control. Although a large flood control capacity is needed, because this

method does not require human operation it is easy to manage and is used primarily for small-scale dams with fast release.

#### 4. Adaptive release-rate method

This method is adopted when there is a time lag of discharge between the dam location and the downstream sector and control is needed, especially for the first or latter part of a flood, or when there is a need for storing maximum flow.

For small-scale dams (approximately 20 km<sup>2</sup> or smaller) or dams with a small flood control capacity (equivalent rainfall\* of approximately 50 mm or less), it is desirable to adopt the adaptive release-rate method in order to avoid cumbersome gate operation. For dams with an equivalent rainfall of approximately 100 mm or less, adoption of the natural regulation method should be considered.

Although the adaptive release-rate method is superior in efficiency, it demands accurate prediction of flood hydrographs.

All existing dams were constructed in response to the flood control or water utilization needs that arose at the time of their construction. When multiple dams are constructed and operated within a single river system, operation of individual dams does not always result in optimal operation of a group of dams in the area. If the group of dams includes dams that are advantageous in terms of flood control and ones that are advantageous in terms of water utilization, depending on such conditions as rainfall or catchment area, better effects may be obtained by not just reconstructing them but also reallocating the flood control and water utilization capacities of existing dams and revising the administration rules, instead of operating the dams individually. The choice of flood control method for existing as well as new dams needs to be examined from this point of view.

\*Flood control capacity / catchment area: represented in mm.

### 3.1.3 Flood control capacity

|   |
|---|
| The storage capacity for flood control (flood control capacity) shall be determined on the basis of the hydrographs and the control methods applicable to flood control planning. An approximately 20% margin capacity shall be included. |
|---|

#### **Explanation**

For optimized utilization of the limited storage capacity, if a preliminary release system (which maintains the flood control capacity by preliminarily releasing water to lower the reservoir level at the time flood occurrence is forecast) is to be adopted to secure the flood control capacity, a thorough examination of the sureness of the operation and the effects of the operation on the downstream sector should be conducted.

The flood control capacity of a reservoir, as a general rule, shall be determined by conducting flood control calculations for the hydrograph for the dam location corresponding to the group of hydrographs used to determine the design flood and the group of hydrographs for the dam location obtained from the subject rainfall of the dam area, then selecting the one with the largest control capacity needed

## **3.2 Other plans**

### **3.2.1 Planning for sediment inflow control**

A plan for sediment inflow control shall be established for the maintenance of a reservoir functions, comprehensive sediment management, and improvement and preservation of the river environment, etc., as appropriate.

#### **Explanation**

Measures for removal of sediment from a reservoir and the proper flow of sediment downstream without detriment to the original purpose of the dam are important for comprehensive sediment management.

To this end, if the need arises, a sediment inflow control plan shall be established. It shall take into due consideration impacts on the river environment as well as the life cycle cost by combining various measures such as the control of sediment inflow into the reservoir (installation of a sediment trap dam immediately upstream of the reservoir; stabilization of slopes around the reservoir, etc.); dredging and digging of sediment in the reservoir; and the installation of a sand-discharge pipe, a sand-trap scour gate, or a sand-discharge bypass

### **3.2.2 Planning for prevention of landslides around a reservoir**

If necessary, appropriate landslide prevention works for land in or adjacent to the reservoir shall be planned in order to prevent landslides caused by the storage of flowing water.

#### **Explanation**

To maintain the functions of the reservoir and secure safety around the reservoir it is necessary to prevent landslides caused by the storage of flowing water.

For this purpose, landslide surveys shall be conducted around the reservoir in the investigation stage, as appropriate.

### **3.2.3 Planning to prevent leakage around a reservoir**

If necessary, leakage prevention works for land adjacent to the reservoir shall be planned to protect the reservoir functions against leakage from the reservoir as a result of flowing water storage.

#### **Explanation**

Leakage from the reservoir to its neighboring land as a result of the storage of flowing water shall be prevented in order to maintain the functions of the reservoir.

For this purpose, geological surveys shall be conducted around the reservoir in the investigation stage, as appropriate.

### **3.2.4 Planning for private hydropower generation**

Installation of a private hydropower generation facility shall be examined for the rationalization of dam management and the proper utilization of hydraulic energy resources from the dam.

### **Explanation**

Electric power generated by private hydraulic facilities is used to run the dam maintenance facility, to power aeration drainage to preserve the reservoir water quality, and to power fountains in the reservoir. Also, the cost of dam management can be reduced by the sale of surplus power.

### **3.3 Environmental considerations**

In dam construction planning, due consideration shall be given to environmental factors such as the water and soil regimes, healthy habitats for plants and animals, activities that bring humans into contact with the river, and burdens on the environment.

Especially, it is necessary to thoroughly examine how changes in the flow regime after dam construction may affect the lower reaches of the river.

In addition, on the basis of the natural and social environments of the basin, consideration should be given to preventing or reducing impacts on the environment and the creation of a new environment.

### **Explanation**

1. The following items need to be considered in dam construction planning.

1) Preservation of the environment, including water and soil regimes

In dam construction planning, the following items need to be taken into consideration to prevent or reduce the possible impacts of the dam on the water and soil regimes:

- Changes in water quality, as indicated by indices of the water environment (e.g. turbid water by sediment, water temperature, eutrophication, amount of dissolved oxygen, hydrogen ion concentration)
- Modifications to the topography, geology, ground, and soil, and changes in groundwater

2) Preservation of healthy habitats for plants and animals

In dam construction planning, the following items need to be taken into consideration in order to preserve healthy habitats for plants and animals:

(1) Animals

- Modifications to major habitats such as breeding places of important species
- Destruction of migratory pathways of important species
- Modifications to noteworthy habitats
- Environmental changes in the habitats of important species and noteworthy habitats (environmental changes around the modified area, and changes in the bed material, flow regime, and water quality of the reaches downstream of the dam)

(2) Plants

- Modifications to the habitats of important species and communities
- Changes in the habitats of important species and communities (environmental changes around the changed area, and changes in the bed material, flow regime, and water quality of the reaches downstream of the dam)

(3) Ecosystems

① Modifications and changes to remarkable species of high rank aspect

- Modifications to habitats such as breeding places
- Destruction of migratory pathways
- Changes in habitats (changes in the bed material, flow regime, and water quality of the reaches downstream of the dam)

② Modifications and changes to remarkable species of typical aspect

- Modifications to habitats
- Changes in habitats (construction of a reservoir, changes in habitats due to sediment deposition in the reservoir, changes in the bed material, flow regime, and water quality of the reaches downstream of the dam)

③ Modifications and changes to remarkable species of special aspect

- Modifications to habitats
- Changes in habitats (change in groundwater level, environmental changes around the modified area, changes in the bed material, flow regime, and water quality of the reaches downstream of the dam)

④ Modifications and changes to remarkable migratory species

- Destruction of migratory pathways

3) Maintenance of places for activities that bring humans into contact with the river

(1) Maintenance of a good landscape

In dam construction planning, the following items need to be taken into consideration in order to maintain good landscapes:

- Degree of modification to major viewing points and landscape resources
- Major changes in appearance
- Considerations in regard to the topography, geology, and cultural assets

(2) Maintenance of places for activities that bring humans into contact with the river

In dam construction planning, the following items need to be taken into consideration in order to secure places for activities that bring humans into contact with the river.

- Degree of modification (annihilation, reduction) to places for activities that bring humans into contact with the river and natural resources
- Changes in the usability of places for activities that bring humans into contact with the river
- Changes in the amenity of places for activities that bring humans into contact with the river

4) Amount of environmental burden

A dam construction plan shall be established on the basis of the degree of environmental burden and in consideration of the following items:

- Amounts of construction byproducts (waste, recycling resources)

2. Securing of a flow volume necessary for the lower reaches downstream of the dam and changes in the flow regime are the basis for dam construction planning and need to be thoroughly considered in the planning stage.

- Changes in the bed material due to changes in the flow regime (armoring)
- Changes in flood frequency
- Sections with easy access to the water

- Migratory fish upstream
  - Changes in underground water due to changes in river level
3. Not only the viewpoint of preservation of the environment but also the viewpoint of creation of a new environment needs to be considered in the planning stage.
- Improvement of the water source area in harmony with the surrounding environment
  - Landscape design of the dam site
  - Formation of places for activities that bring humans into contact with the environment and that have been changed by the appearance of the dam site, quarry site, and muck-disposal yard.

## **Section 4 Retarding Basins etc.**

### **4.1 Basics of planning**

Planning for retarding basins etc. should consider the target landform, land-use situation, groundwater level, river situation, natural environment, river flow regulation condition, overflow frequency, economic efficiency, maintenance, etc.

#### **Explanation**

Retarding basins etc. are mostly established not only to decrease the downstream peak runoff by storing part of the flood waters but also, in flat areas, to perform some degree of water or effluent treatment prior to discharge into the receiving channel.

Types of retarding basins include those that surround the reservoir with a levee, a detention reservoir partition levee, or an overflow levee by taking advantage of natural landforms; those that ensure storage function by excavation of the land; and those that ensure the storage function of underground space.

It is necessary to consider using the space occupied by a retarding basin for other aims in addition to the aim of flood control. Especially, in planning a multipurpose retarding basin that has a reservoir function, Chapter 2–3 should be referred to.

### **4.2 Site selection for retarding basins etc.**

Retarding basins etc. should be established in places where flood control at the target site is certain and the storage capacity is easily ensured.

#### **Explanation**

Although in many cases it is difficult to make an arbitrary selection of a retarding basin because of differences in geographic characteristics, it is desirable to establish the basin as close to the target site as possible in consideration of its flood regulation effect. However, the hydrograph of the target flood becomes progressively flatter with distance downstream, so a retarding basin in a downstream area will need to be more capacious than the amount that can be cut. Thus, its area will need to be increased because it cannot have a water depth as great as that of a reservoir in a mountainous area. Also, peripheral areas are conspicuously urbanized in downstream sites, and site acquisition becomes more difficult, so these factors must be comprehensively considered.

## **4.3 Flood control planning**

### **4.3.1 Flood control facility planning**

Control facilities such as retarding basins should be planned to have sufficient control function to have different effects for different purposes.

#### **Explanation**

Generally, unlike dams etc., in many cases these facilities have side overflows from river channels.

The items to consider in the control facility planning are given below:

1. Height and length of the overflow levee

Although the height of the overflow levee is directly related to the flow at the start of control, the height and length exert an influence on its control effect and control the figure of hydrograph after control, so the establishment of the overflow levee needs to be carefully examined in consideration of its control purpose.

2. River channel

River planning near an overflow levee needs to carefully consider the flow conditions in times of riverbed fluctuation or flood.

### **4.3.2 Flow at the start of control**

The flow at the start of control should be determined so as to ensure the desired effect in consideration of the control effect and the characteristics of the flood runoff.

#### **Explanation**

The flow at the start of control is the one at the time inflow starts from overflow levees etc. into retarding basins etc. Considering this flow as large, or making the overflow levee high, can produce a large effect against the design-scale flood but is less likely to have a sufficient effect against a small or medium-sized flood. Conversely, making the flow small or the overflow levee lower can have a sufficient control effect against a small or medium-sized flood, but the control effect against the design-scale flood will in many cases tend to decrease.

Thus, the flow at the start of control must be determined so as to ensure the desired effect in consideration of the control purpose, flood runoff characteristics, retarding basin capacity, etc.

## **Section 5 Weirs, Water Gates, and Sluiceways**

### **5.1 Establishment basis**

The establishment sites of weirs, water gates, and sluiceways (hereinafter called weirs etc.) should be selected according to their own purposes. River bends, places with a small bed cross-section, and places with unstable river regimes should be avoided if at all possible, in comprehensive consideration of the aspects of flood control, beneficial water use, and environment. Also, these structures should be unified, when possible, to decrease the

number of establishment sites needed.

### **Explanation**

Weirs are classified into diversion weirs, final baffling weirs, and intake weirs according to their own establishment purposes, or into fixed weirs and movable weirs according to their structures.

Water gates and sluiceways are facilities with embankment functions that are established across a river.

In selecting establishment sites for weirs etc., besides the fact that these structures should be selected so as to sufficiently accomplish their aims, it is desirable to select a site with little velocity variation because of its straight stream axis and with little bed variation because of its stable stream axis. This is because the installation of, in particular, a weir, tends to cause disorder in the regime; the weir may act as an obstacle to water discharge in times of flood. Although some people think that the installation of a weir in a narrow stream may be profitable in terms of savings in construction costs, installation in such places must be avoided as far as possible because it requires special consideration of safe flow during flood or because the weir itself will be a condition constraining the riverbed in the future.

Planning for the installation of weirs, etc., should comprehensively consider the direction to be taken in terms of flood control, beneficial water use, and environment on the basis of the natural and social environments of the site of concern and their historical development. It should also examine the advantages, effects, and influence on the water and soil environments, the habitats and growing environments of living things, the landscape, and places for activities that bring humans into contact with the rivers, so that the most suitable project is chosen. Structural objects such as weirs etc. may be weaknesses of embankments; it is also desirable to decrease their numbers in consideration of their maintenance, so their unification needs to be attempted as far as possible. Additionally, in the case of planning for the installation of multipurpose weirs for their reservoir function, see Chapters 2 and 3.

### **5.2 Weir flooding level**

The weir flooding level should not only be at least 50 cm lower than the height of the flood plain; it should also be the same amount lower than the inland ground level.

However, this is not the case when proper measures such as fitting etc. are taken.

### **Explanation**

When the site and design flood level of a weir are specified, it is necessary to sufficiently consider changes in the groundwater level and such problems as ill-drained inland. In some cases, owing to the topographic conditions etc., it will be necessary to take appropriate measures such as embankment in the inland ground or flood plain.

### **5.3 Fishways in weirs**

When there is concern that the establishment of a weir could hinder the movements of fish up and down the stream, a fishway should be installed.

### **Explanation**

To minimize the effect of the establishment of a weir on the habitats of fish etc., it is desirable to install a fishway for those fish that run up and down the river. Target fish not only include that are considered important resources.

The fishway plan should specify the target flow, water level, placement and so on so that the target fish can run up and down the river and may not erratically enter the weir. It should consider the flow condition of the target site, the extent of water level fluctuation on the downstream side of the weir, the time at which and the course over which each target fish runs up and down, the manipulation of intake and discharge from the weir, etc.

## **Section 6 River Projects for Flow Regime Adjustment**

Rivers requiring flow regime adjustment could be connected to two or more other rivers. Adjustments may be needed for flood treatment; for conveying water to maintain flows by using the rivers' mutual residual discharges; for the development of new water uses; and for conveying water developed by other facilities.

To designing proper projects, the planning should examine the effects on the environment of the sites to and from which water is conveyed. It should also consider the environment within a new river and its surrounding environment, its present and future social environments, the level and quality of the surrounding groundwater, the irrigation and drainage system, and the landside water drainage control.

### **Explanation**

When a river requiring flow regime adjustment is related to a reservoir facility such as a dam, it is necessary to show their mutual supply relationship during the actual preparation of the project so that the amount of developed water does not overlap.

Also, in specifying the amount of raw water conveyance sufficient research must be done to ensure that the normal discharge or water use of the river from which the water is conveyed is not disturbed.

## **Section 7 Channel Control Facilities**

### **7.1 Basis of a channel control facility design program**

In planning facilities to control embankment or riverside erosion and riverbed scour or sedimentation, the facility type, the normal line of the embankment to be established, and the establishment site and its extension should be decided on, giving sufficient consideration to long-term or local riverbed variations. These decisions should be based on channel longitudinal and cross-sectional profiles, channel characteristics, flow conditions such as flooding, and soil properties, river environments, etc.

### **Explanation**

Facilities that control embankment or riverside erosion and riverbed scour or sedimentation need to take into account variations in river characteristics such as erosion, scour, and sedimentation on the basis of the longitudinal and cross-sectional profiles, channel properties, and flow conditions (e.g. during flood) in the target river section. At the same time, for a full understanding of the characteristics of each potential facility, each one

needs to be examined, as do the combination of facilities to be established, the establishment site and its extension, etc.

It is also necessary to attempt to maintain a desirable channel for the river environment, by fully examining the advantages of the channel or its influence on the river environment and considering the fact that variations in the river's characteristics have a close relationship with the river's natural environment.

Channel control facilities include revetments, bed groins, consolidation work (bed girdles and drops), etc. In selecting the facilities it is necessary to understand the characteristics of these facilities sufficiently and to select one facility or some facilities in combination that is/are the most economical and suitable for the channel control aim. Especially because river channels change locally and secularly, it is necessary to gain a sufficient understanding of their characteristics so as to plan each facility. Also, the establishment of a facility should be fully catered for from the perspective of security in times of flood or of river environmental conservation, because it is likely to promote the erosion of the surrounding riverbed and sides. The functions of such structural objects in river channel planning, or their effects on the river environment (especially in small and medium-sized rivers) are large, so it is necessary to plan proper structural objects in reference to past experience, the conditions in similar rivers, the literature, and so on.

## **7.2 Revetment planning**

Revetments are established for the purpose of protecting dikes etc. (landside areas in the cases of excavated channels) from erosion by flowing water by the use of flood planes and structural objects. In the installation of revetments, their necessity (and the sites for their establishment) and their normal lines and extensions should be specified with a good grasp of the channel cross-sectional profile, including the flood plane width, flow conditions in times of flood, water route variation, etc. Also, since bank protection is strongly associated with river environment improvement, revetment installation should consider the conservation and restoration of a good habitat and growing environment for living things and the maintenance and formation of a good landscape and places that bring humans into contact with the river.

### **Explanation**

Revetments, commonly constructed by sediment, are established according to need to prevent dikes from being eroded by flowing water. However, since revetments that are often established in places such as river edges important to the natural environment of the channel have a strong relationship with the river environment, the need for their construction should be sufficiently considered. Additionally, the plan should be suitable for the conservation and restoration of a good habitat and growing environment for living things and the maintenance and formation of a good landscape and places that bring humans into contact with the river by letting the facility work together with the flood plane and groin. It is important to seek economical bank protection works that fully consider the river environment by taking an inventive approach in accordance with the river's characteristics.

Revetments are classified into high water revetments to protect the dike's front slope and low water revetments to protect the river side of the flood plane or the landside ground of an excavated channel. An integrated combination of high water revetment and low water revetment is called a levee revetment. The revetment planning should consider the flood velocity near a levee or riverside, flood plane width, water route secular

change, etc., and should specify the work type, the establishment site, and any extension. Also, note that the establishment of a revetment moves the water-collision front downstream in many cases.

Meanwhile, it is desirable to make the normal line as smooth as possible; this is determined by the trait profile of the revetment, because a high water channel revetment is established along the trait of the revetment. Whereas the normal line of a high water channel revetment should be the one that is suitable for the flow direction of high and low water, it should be determined with sufficient consideration of the width of the flood plane and of the river environment. (See “1.3.5 Channel cross-sectional profile.”)

Additionally, the necessary revetments should be established above and below a structural object such as a bridge, sluiceway, water gate, weir, consolidation work, etc., in accordance with the Cabinet Order concerning Structural Standards for River Management Facility, etc. and the associated Ministry of Construction Ordinance. It is desirable to make the configuration of these approach revetments as smooth as possible so that they cannot cause the formation of vortices and dead water zones.

### **7.3 Groin planning**

Groins are constructed along with flood planes and structural objects to protect embankments (landslide areas, in the case of excavated channels) from erosion by flowing water. Also, they are sometimes established to ensure a navigable route or to improve and conserve river environments. Groin planning should sufficiently consider the effects of the groin on the habitat and growing environment of animals and plants; the landscape; the flow capacity; and the areas upstream, downstream, and on opposite sides of each groin, on the basis of the plane and longitudinal and cross-sectional profiles of the river, the channel characteristics, and the river environment.

#### **Explanation**

Since groin planning is closely related to river channel or revetment planning, it is necessary to prepare different plans for different channel characteristics and purposes, taking note of the mutual relationship, while considering their effects on the upstream, downstream, and opposite sides of each facility and the improvement and conservation of the river environment.

The differences between revetment and groin are described below:

1. A revetment, which directly covers the riverside to prevent erosion, facilitates the accomplishment of its aim.
2. The groin aims to indirectly protect the riverside by its water-rejecting and velocity-decreasing effects, so if its placement and construction type are not properly decided on, then in some cases it cannot achieve a sufficient effect.
3. Proper groin installation can narrow the river and thus increase the river depth when the water is at normal flow levels.
4. Since the groin approach to a revetment and its downstream side are likely to be eroded by complex flow in times of flood, these areas will need attention.
5. Note that the space between groins, on which sediment is deposited, can be a good habitat, breeding site, or

shelter for water creatures such as fish, as well as a good growing environment for plants.

As described above, since a groin has a riverside protection function and landform and river water control function that differ from those of a revetment, it is necessary to plan the installation etc., sufficiently in consideration of the required flood control effect and the advantages of, and influence on, river environment improvement and conservation.

#### **7.4 Consolidation work planning**

Consolidation works, which are conducted when unavoidable for riverbed stability, should be planned in sufficient consideration of their effects on the surrounding riversides and river control facilities, as well as on maintenance, fish runs, etc.

#### **Explanation**

When a riverbed is eroded and lowered by flowing water, the revetment foundation floats up, causing dangerous conditions for flood control. In addition, the lowered riverbed makes it difficult to take all sorts of service water. In this case, so as to maintain the riverbed as high as is required for river control, and to stabilize it, a groundsill is installed across the river. The groundsill has a significant effect on the river environment, and the stability of the surrounding riverbed should also be sufficiently considered, so it is desirable to avoid installing the groundsill if at all possible. The groundsill interrupts the continuity of water flowing upstream and downstream and thus blocks fish from moving up and down. Therefore, in the establishment of a groundsill, consideration should be given not only to meeting the need for fish to move up and down by careful consideration of its structure and the installation of a fishway, but also to its future maintenance.

#### **7.5 Fishways for groundsills**

When there is concern that the construction of a groundsill will have a significant effect on fish movements, a fishway should be established.

#### **Explanation**

To decrease the effect of the establishment of a groundsill on the habitat of fish etc. as far as possible, it is desirable to establish a fishway for fish that need to move up- and downstream. Target fish should not only include those that are considered important resources.

The fishway plan should determine the target flow, water level, installation, etc., in sufficient consideration of the stream flow conditions at the target site, the range of water level variation above and below the groundsill, and the time at which, and the course whereby, each target fish species moves up and down the river.

## Section 8 River mouth Improvement

### 8.1 River mouth improvement planning

River mouth improvement planning should seek to maintain a balance with nature, sufficiently considering both the river and sea conditions, allowing floods to flow in safety against river-mouth closures etc., preventing disasters caused by flood tides, and increasing river utilization as the occasion demands.

To specify an estuary improvement plan, the following items should be considered:

1. Functional and economic balance over the whole channel plan
2. Avoidance of disturbance of navigation etc.
3. Future maintenance kept as easy as possible
4. Avoidance of second damage through loss of the natural balance between estuary and sea (due to sediment supply from the river to the seashore)
5. Avoidance of damage to good habitats or growing environments for the animals and plants of the estuary or its adjacent sea, good landscapes, and good places that bring humans into contact with the river.

#### Explanation

River mouth improvement is roughly classified into channel planning at the river-mouth and storm surge control. The channel plan should examine the methods that are used for estuary improvement, specifying the design high water level in the river-mouth, giving details of any excavation and dredging of the river-mouth part, and including measures, such as the use of jetties, to deal with rivermouth closure.

Because the river mouth, as the boundary between sea and river, is influenced by both, its management becomes complicated, unlike that of the upper reaches of the river.

In some estuaries, a sand spit develops at the river mouth and hinders the free flow of water. This phenomenon is called river-mouth closure, and it causes different problems depending on the type of closure. These problems are roughly classified as follows:

1. Since the depth and watercourse cannot be stable at the river mouth, navigation becomes difficult.
2. At the river mouth, silting of the harbor can cause problems of unreliable arrival of vessels because of insufficient depth.
3. Flood discharge is blocked.
4. Poor drainage occurs in the hinterland of the river mouth.

Methods of removing the problems caused by river-mouth closure are:

1. Jetty
2. Water gate, blind ditch, and detached breakwater
3. Artificial canalization.

Consideration should be given to the maintenance of the rivermouth improvement plan under complicated external forces such as seasonal changes in river flow or tide.

In the actual estuary improvement, it is necessary to give sufficient consideration to important factors such as saline wetlands, tidal flats, and brackish-water regions, and to the effects of coastal drift sands and saltwater

intrusion, so as not to cause heavy damage to the natural balance at the river mouth.

Also, in the examination of the river-mouth improvement planning, it is important to understand the behavior of any sand spit at the river mouth under normal water levels or in times of flood. Additionally, the phenomenon should be examined by hydraulic model testing and numerical simulation, as the occasion demands, to check the planning.

## 8.2 Selection of rivermouth improvement methods

The methods used for rivermouth improvement should be determined in consideration of river characteristics such as flow changes, adjacent sea characteristics such as sand drift tide flows, the natural environment at the river mouth, economic efficiency, future maintenance, etc.

### Explanation

At the river mouth, where various phenomena that happen in both river and seacoast simultaneously or separately interact with each other to make it a very complicated place, it is not easy to simply select and plan measures and construction methods for rivermouth improvement. Thus, in designing facilities, it is necessary to fully consider their effects on the river mouth.

The construction methods used for rivermouth improvement include jetty, water gate, blind ditch, detached breakwater, artificial canalization at a shore bar, etc.; these are described below.

#### 1. Jetty

Jetties should be planned so that their different functions for different purposes can be fulfilled or so that their effects on the adjacent seaside can be reduced as much as possible.

##### (1) Functions of jetties

Although the functions of jetties, i.e.

- a. Fixation of the site of the river mouth
- b. Stabilization of the water's course
- c. Maintenance of rivermouth depth
- d. Lowering of the water level, both at times of normal water level and in times of flood

are referred to here, in planning a jetty it is necessary to design it to serve its purpose.

##### (2) Length of the jetty

Although the length of a jetty differs depending on the purpose of the rivermouth improvement and the scale of the river mouth, it is generally possible to state the following.

When the rivermouth position has not drifted and the opening position is fixed within the channel width, it is necessary to install two jetties from the shoreline at the time of normal tide into the sea, extending across the width of the channel, in consideration of the seasonal change in the shoreline. When only one jetty on one side is installed, it is extended to some extent into the sea so that the water's course can be fixed, even if the jetty is installed either up- or downstream of the prevailing direction of longshore sediment transport.

When the river mouth has been deepened considerably and the width of the opening maintained, it is necessary to project two jetties into points in the water that are at considerable depth. The results of examinations of the depth and bed fluctuation of jetty apical points in all class A rivers across the country show that if the apical point depth of the jetty is 4 to 5 m in rivers facing the open sea, the depth can be almost ensured; if it is 2 to 3 m, then the mouth will become shallow; and if it is less than 1 m a bar will develop.

The foundations of a jetty should be attached to the bank or, in principle, to the revetment, if one exists. In the case of natural seacoasts and a middle jetty, it is necessary to extend the foundations in the direction of the channel to a position where waves cannot reach the back of the foundations in times of flood.

### (3) Interval between two jetties

When rivermouth closure is prevented, the narrower the interval between the two jetties, the greater the tractive force and its resultant flash effect. However, from the viewpoint of flood discharge, a narrow width raises the water level at the river's mouth and sometimes causes problems with channel planning, so it is necessary to specify the rivermouth width on the basis of changes in the water level at the river mouth and the results of an examination of the relationship between jetty interval and the bed height in the jetty interval.

### (4) Direction of the jetty

In principle, the jetty should be made perpendicular to the shoreline. However, it does not matter if it is curved gently or is angled offshore. If it is curved sharply, the main stream will bump into it in times of flood, causing problems such as deep excavation. Also, if the direction of the jetty is largely bent toward the shoreline, then the waves reflected by the jetty will cause the shoreline to back away in some cases.

River with large sediment discharges supply the discharged sediment in only one direction, changing the sediment balance at the beach near the river mouth, so sufficient countermeasures are required.

### (5) Height of the jetty

The jetty needs to be at least 1 m higher than the highest bar height so that waves cannot get over the jetty's dam crest and take sand into the channel. Where there is large amount of sand drift by wind, it is also necessary to prepare countermeasures against the blown sand. In terms of the height of the tip of the jetty, even if wave overtopping is permissible, it is generally specified to be at least 2 m than high water in many cases.

### (6) Width and construction of the jetty

The width of a jetty is determined by its construction. It should have such a strong construction that it cannot be broken in times of flood, and it should be equipped with foot protection and wave dissipation works, as the occasion demands. Impermeable construction is effective because it is more likely to facilitate fixation of the river mouth's position and can prevent sediment translation. However, a permeable jetty can have the same effect if its width is enlarged and void is lessened.

## 2. Water gates

When a water gate is planned, care should be taken that the discharge capacity is enough for flowing the design flood.

A water gate is established to maintain the river mouth by the effect of flashing and to minimize corrosion of any construction facade by waves. Additionally, in many cases the gates are likely to have a combined purpose of preventing saltwater, waves, and high water from entering and maintaining water levels.

Whether the sand spit at river mouth is flashed by the addition of a gate needs to be judged by using the degree of flashing of the bar in times of flood without a gate and by referring to an example of a river of the same scale and grade scale of beach material as the target river. Model experiments are useful for examining this. Also, at a beach where the shoreline changes sharply it will be necessary to give sufficient consideration to where the gate is to be established.

In the case of many small rivers, a water gate is established with a pump slightly up from the river mouth, which doubles as pump drainage.

## 3. Blind ditch and detached breakwater

A blind ditch built to pass through a sand spit should be planned to fulfill its function of discharging river water into the sea almost without relation to the fluctuation of the sand spit at the river's mouth.

This construction method is mainly applicable to inner water discharges in small rivers. In rivermouth improvement, a single blind ditch is more effective if is combined with other constructions, such as a water gate at the upstream end to boost the flash effect against sedimentation within the blind ditch, or a detached breakwater to reduce the height of the waves reaching the periphery of the river mouth and make the height of the sand spit, behind which the blind ditch is constructed, lower, etc.

### (1) Direction of establishment of the blind ditch

In principle, the blind ditch should be placed perpendicular to the shoreline of the beach, with the exception of cases with special conditions in which the length of the ditch itself can be the shortest possible and thus its construction cost the least. The opening of the blind ditch is curved so that waves cannot directly invade it. At beaches where longshore sediment transport dominates, the opening of the blind ditch should be directed toward the downstream side of the sediment transport. When the longshore sediment transport changes in accordance with the fluctuation in wave entry angle, it is desirable to place two or four trains of blind ditches, with their openings at both ends.

### (2) Length of the blind ditch

The upstream end of the blind ditch should be placed at the upstream side of the beach, which is not influenced by wave run-up. The higher the blind ditch foundations, the better for sedimentation prevention within the ditch.

The tip of the blind ditch should be placed in a position where the opening cannot be buried, in accordance with the shoreline fluctuation. When the upstream channel bed of spit sand is too low to make the blind ditch higher,

the tip will need to be extended far into the water, where it can be higher than the sea bed. Then, in the absence of a large difference between the river water level and tidal water level in times of flood, it will be necessary to take care that the blind ditch is not flashed by the sediment within it. Also, the tip of the blind ditch will be scoured conspicuously by waves, so sufficient countermeasures need to be taken. In extending the blind ditch into, especially, a sandy beach with a steep slope, the downstream side of the sediment transport will be eroded, so it will be necessary to give sufficient consideration to this point in the planning stage.

#### 4. Artificial bar open-cut methods

Artificial bar open-cut methods should be planned with sufficient consideration of the need for long-term maintenance and the effects of the bar on the natural environment of the river. They have the following purposes:

- (1) To ensure the depth and width of a river by a large-scale excavation at the river mouth
- (2) To prevent the water level at the river mouth from rising by open-cutting part of the sand spit at the river mouth, thus facilitating flashing of the bar in times of flood.

The method of ensuring the cross-sectional area of a river by large-scale excavation at the river mouth is suitable for case such as inland seas, in which there is little sedimentation at the river mouth because of the relatively small wave action. At river mouths where wave action is strong and sand drift causes the riverbed to rise, it is necessary to use it and a jetty at the same time. Because, with this method, sand drift is discharged from the river by wave and sediment action, it is necessary to consider the degree to which the excavated channel can be maintained. Also, since such a large-scale excavation will lead to erosion of the surrounding beach, it will be necessary to take countermeasures, such as supplying the excavated sediment to the eroded beach.

The artificial open-cut is designed to facilitate flashing of the rivermouth bar in the early stages of a flood by making the bar crest height of the whole, or part, of the bar lower than that under natural conditions. However, in the case of flood due to typhoons, as on the Pacific coast, the bar open-cut part is soon filled in by the swell before the flood, so it is quite difficult to execute this method in large rivers.

When bar open-cut is included in the plan for rivermouth improvement, the open-cut part needs constant supervision. If a large change is caused by wave action, then it will be necessary to promptly maintain it so that its height and cross-sectional profile can remain the same as the design height and cross-sectional profile.

## **Section 9 Super broad levee with multiple functions**

### **9.1 High-standard embankment installation section**

In the selection of a section requiring the installation of a high-standard embankment, it is necessary to determine a series of sections, comprehensively considering past major floods and high waters, the disaster states that resulted, and the meteorological, topographic, geographic, and developmental conditions of the basin

and region in which the disaster needs to be prevented. It is also necessary to take into consideration the balances between upstream and downstream and between the right and left sides of the river in order to prevent catastrophic damage due to the breaching of dikes.

### **Explanation**

Although an embankment should be improved so that its structure is safe against the normal action of a flow whose water level is less than the design high water level, flood is caused by natural phenomena such as rainfall, etc., so there is usually a possibility that a flood that exceeds the design high water level will occur. When such an excess flood occurs, there is an increased risk of the occurrence of a very severe disaster due to dike breaching as a result of overflow, etc. A breach in a dike that protects, especially, a large city with a very dense aggregation of population and property, and with central administrative functions, etc., will not only do enormous damage to the area but will also greatly shock the society and economy of the whole country. For this, high-standard embankments should be developed such that they cannot be breached by excess flooding that may occur beyond the design, even if channel development has been completed. The river channel plan should determine the section in which such excess flood countermeasures need to be taken.

The high-standard embankment planning should determine the sections where these embankments need to be installed and then develop them. To determine these sections, the following items should be sufficiently considered:

1. The states of accumulation of population, property, etc. within the flood prone area
2. The level of social and economical effects that dike breach would have on the whole country
3. The damage pattern, as estimated by the relationship between the design high water level and the landform of the design flood area
4. The trend in land use along the river.

In an embankment section that may be damaged by a flood occurring in the same area if the dikes are breached from one cliff-and-wood-surrounded section to another, or from a cliff-and-wood-surrounded section to the river mouth, it will be necessary to decide on the high-standard embankment section as a series of sections.

Also, conventionally, river embankments etc. should be constructed by balancing the upstream and downstream areas or the right and left sides of the river. The high-standard embankment section should be determined such that the opposite side or downstream side of the section, along which an embankment against excess flood action is established, has an embankment structure that is similar in principle.

## **9.2 Height of high-standard embankments**

The height of a high-standard embankment should be as prescribed by the Cabinet Order Concerning Structural Standards for River Management Facilities, etc.

### **Explanation**

Usually, the height of an embankment should be prescribed chiefly for two purposes: 1) to ensure an appropriate allowance against a temporary rise in water level by wave, swell, and jump at times of flood in order to prevent overflow less than the design high water amount; and 2) to ensure an appropriate allowance to meet various needs such as river patrol in times of flood, security for flood control activities, countermeasures against woody debris etc. High-standard embankments should not only fulfill the functions that normal levees have, but should also be able to stand up to a flood action whose height exceeds the design high water amount.

Also, high-standard embankments are commonly developed not in succession but separately in many cases in combination with urban development, park improvement, farmland consolidation, etc. It is therefore necessary to develop them so that their structures can be coherent with those of the normal embankments near them. Also, even after a series of sections have been completed, cutting down their height is not desirable in terms of their coherence with the height of other downstream and upstream embankments, so the high-standard embankments will need to be as high as the height prescribed by the Cabinet Order Concerning Structural Standards for River Management Facilities, etc.

### **9.3 Backwater sections of branches flowing into sections where high-standard embankment is to be installed**

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| In the backwater section of branches flowing into the section where the high-standard embankment is to be installed, the excess flood countermeasures needed will nearly equal those at the confluence of the main river. |
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#### **Explanation**

In times of excess flood, when backwaters occurs in a branch flowing into the main river in an area where a high-standard embankment is to be installed, breaching of the dike of the branch by the backwaters will lead to the same damage as that in the main river. In other words, the embankment of the backwater section of a branch fulfills the function of the embankment of a main river and must similarly be able to stand up to excess flood action.

This, however, does not apply to such a section that can prevent backwaters from building up through facilities to control backward flow, such as water gates etc. In this case, a water gate or sluice established as a facility to prevent backward flow fulfills the embankment function of the main river, so it must be able to bear the excess flood action.

Also, when a backwater levee is applied, since it is assumed that the impact extent of excess flood can reach the point at which the main river high-standard embankment design water level (see 3.1.3 of Chapter 1 in the Design volume of Technical Criteria for River Works [Proposed]) is about to catch up with the design water level of the branch, in the branch facility planning, etc. it will be necessary to give sufficient consideration to the sections beyond the backwater section.

### **9.4 Adjustment for projects associated with regional management**

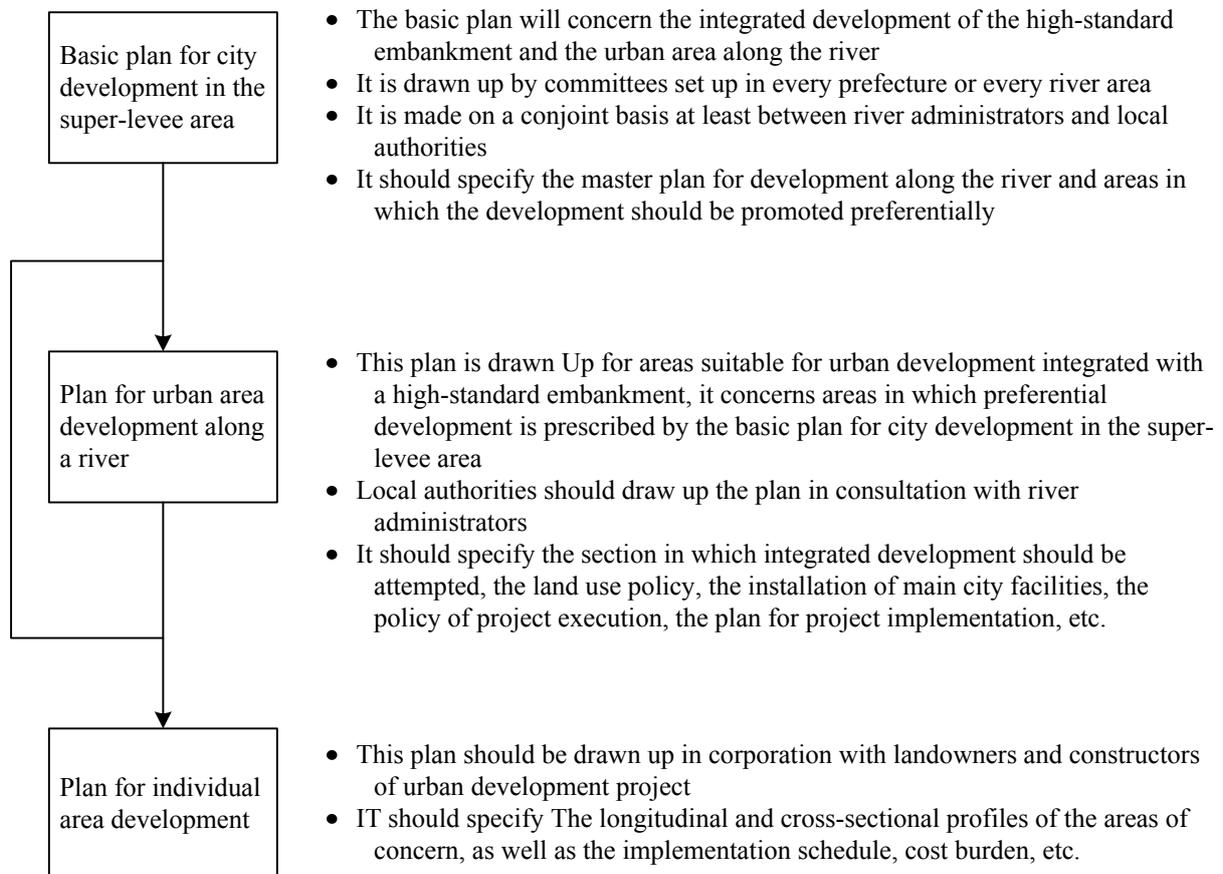
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| The high-standard embankment is based on normal land use, which is often developed in combination with urban development, park improvement, farmland consolidation, etc. It will therefore be necessary to make |
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sufficient adjustments to suit any regional management projects along the river.

### Explanation

A high-standard embankment is developed without acquiring land, except in cases in which it is necessary for river administration, in anticipation that most of the site will be subject to normal land use. It is therefore essential to develop the embankment consistently with the trend in urban development of the concerned area. Thus, there will be a need for active involvement in coordination among the relevant organizations and bodies at the preparation stage of planning for regional management. Urban development, especially, often takes a great deal of time to be actually started, so it will be necessary to work with a coalition of local authorities' city planning departments to make a "basic plan for city development in the super-levee area" as a master plan for the high-standard embankment. The basic plan should decide on the river embankment's master plan and the particulars concerning the local regions to preferentially promote improvements on the basis of the city planning and urbanization trend in the target area.

Also, in terms of the area in which preferential improvement is decided on by the basic plan for city development in the super-levee area, when local authorities formulate a "plan for urban area development along the river" in relation to the high-standard embankment and urban development in consultation with river administrators, the high-standard embankment should be developed on the basis of this plan.



**Fig. 2.1–2 Steps in drawing up the plan to enforce the high-standard embankment and urban development in an integrated manner**

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## Chapter 2–2 Drainage Facilities for the Inner Water

### Section 1 General Information

An inner water drainage plan shall be established in due consideration of the characteristics of the landside water in the subject landside river basin and past disasters caused by landside water.

#### Explanation

An understanding of the landside water characteristics in the subject landside river basin and of past disasters caused by landside water is indispensable for the establishment of an inner water drainage plan. Landside water characteristics in the narrow sense mean the characteristics of the landside water phenomenon itself, but in the broad sense they also mean the presumption of possible causes of the landside water flows. To fully elucidate the landside water characteristics, it is necessary to not just determine the current characteristics but also their historical chronological changes, as well as the causes of such changes. For example, if a constantly high outer water level is the cause of landside water flooding, improvement of the landside river by using a self-flow levee system for inner water drainage will not be very effective; channel improvement by the full-back or semi-back method or the drainage-pumping method will be much more effective. In this case, because the scale of landside water is regarded as depending solely on the landside river basin yield, the design landside water shall be that at the time of heavy rainfall (yield) in the landside river basin, and a probability assessment can also be conducted by using the rainfall data for the landside river basin.

The damage caused by landside water will depend on the flood characteristics and asset distribution. For example, if most of the damage is to farm products, the design scale may be reduced compared with that for an urban area. If damage to ordinary houses takes place on the perimeter of the water-covered area and the depth of submergence is small, it is possible to prevent damage to houses by slightly reducing the total submerged depth. However, when there are several houses near the lowest point of ground in the water-covered area, it is impossible to completely prevent damage by drainage-pumping; therefore, measures to improve the flood-resistance of these houses may be more advantageous in terms of investment effect. Also, in recent years, damage due to landside water has occurred frequently in heavily populated large cities. This is the result of the occurrence of flow volumes exceeding the design flow volume of facilities, in association with urbanization of the basin, and also the occurrence of landside water along rivers in association with the construction and improvement of sewerage systems. In addition, the Tokai flood disaster and the inundation of underground spaces in Fukuoka City, which were caused by short-term torrential rainfall exceeding the design scale, caused tremendous damage owing to spill overtopping from sewerage systems. Therefore, for landside rivers in highly urbanized basins, it is important to determine the design scale on the basis of prediction of changes in flow regime, to consider the balance between sewerage systems and the level of river improvement, and to adjust the progress of improvement.

If landside water covers an extensive area, then it will be necessary, in the process of planning, to differentiate between the landside water removal zone (the area where landside waters should be actively drained) and the retarding zone (the area whose retarding function needs to be maintained by minimizing landside water drainage). In this process, it is necessary to enhance the safety level of flood control of the entire basin while taking into

consideration flood damage to asset distribution in the region.

Descriptions of landside water surveys and the creation of landside water analysis models can be found in Section 3 of Chapter 8 in the Research volume of the Technical Criteria for River Works (Draft). Refer to other documents etc. in developing a specific plan for inner water drainage.

## **Section 2 Determination of Inner water drainage Method**

The method of inner water drainage shall be selected from alternatives that are economically and socially feasible and expected to bring results in terms of the topography; land use; drainage; improvement plans for the landside river and main stream; plans for related projects; landside water flood characteristics; landside water flood damage characteristics of the subject landside river basin; and the presumed flooding area, through a comprehensive assessment of the difficulties of facility management and the extent of damage in times of excess flooding.

### **Explanation**

The inner water drainage method shall be determined through the selection of alternative methods that are applicable to the subject area and a comparative review of them.

The primary items to be considered in determining the inner water drainage method include the following:

- Proportion of river basin in mountainous terrain
- Bed slope of the landside river
- Distance to the destination river
- Discharge capacity of the destination river
- Land use, depth of water, and filling time of the presumed flooding area.

In the comparative review of inner water drainage methods, the scale of facilities necessary for each treatment method shall be determined and the necessary cost of the project and its beneficial and adverse effects shall be assessed through consideration of the social conditions of the subject area and difficulty of management, etc.

Anticipated damage at times of excess flooding shall also be taken into consideration.

The choice of inner water drainage method should differ depending on the characteristics of the subject landside river, and it is not necessary to fix on the choice of a uniform method. In the process of choosing a method, landside river improvement planning needs to be developed simultaneously, and, in this sense, the method of landside river improvement is included as part of the inner water drainage method. The inner water drainage plan to be determined here shall include not only structural measures such as river improvement, installation of a drainage pump station, and installation of a retarding basin, etc., but also non-structural based measures such as land use management and land utilization guides, as well as a combination of structural and non-structural measures.

Inner water drainage methods are roughly classified as shown below.

#### 1. Classification based on method of implementing measures

##### (1) Structural measures

(2) Non-structural measures

2. Classification based on measure implementation target area

(1) Measures for the discharge basin

(2) Measures for a landside water area

(3) Measures for the main stream

3. Classification based on drainage method

(1) Regional outlet channel

(2) Water-gate closure method

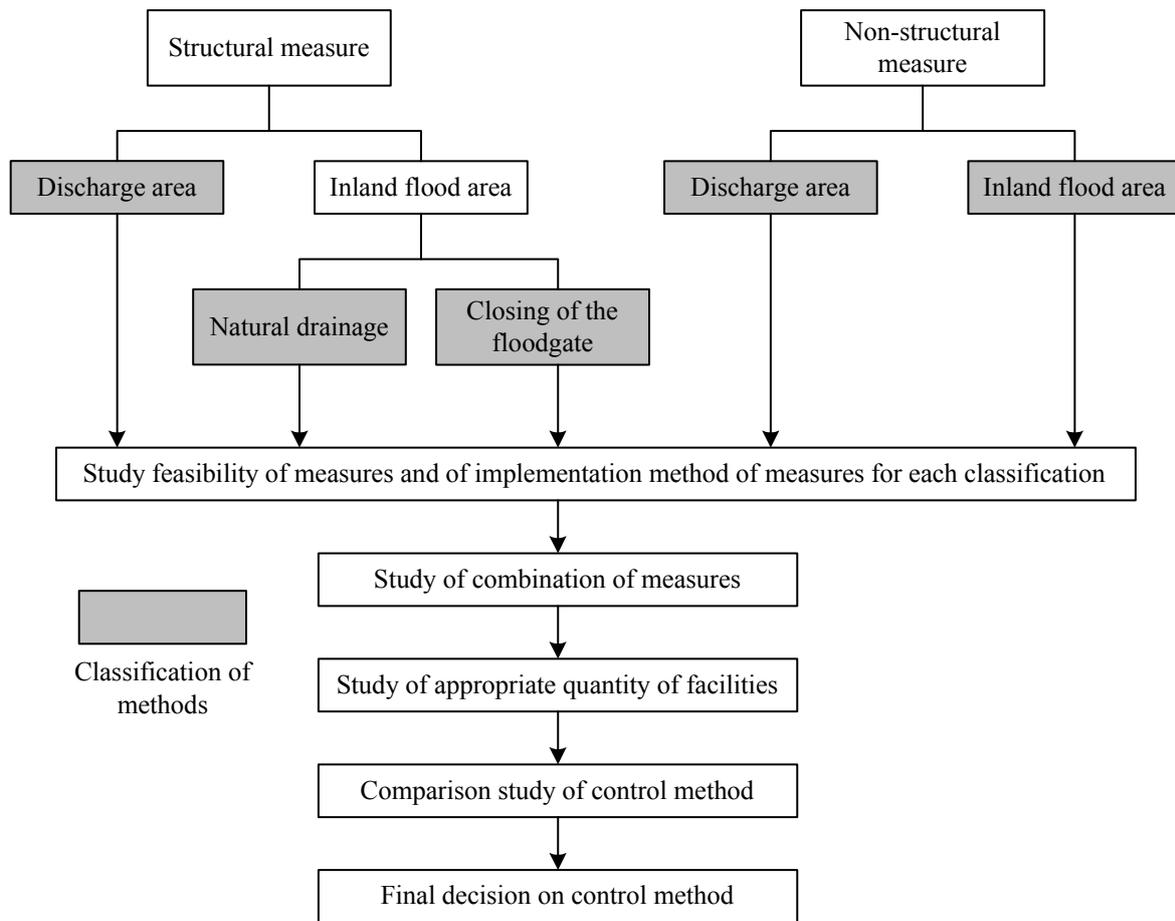
Figures 2.2–1 and 2.2–2 show these three classifications as they are examined in the actual process of landside water planning.



**Fig.2.2-1 Classification of methods of inland flood control**

In order to decide on the optimal inner water drainage method, different methods shall be examined on the basis of the classification shown in Fig. 2.2-1. Possible measures and specific measures shall be first investigated for inner water drainage method classification, and then a combination of these measures shall be investigated as shown in Fig. 2.2-2. After an examination of the scale of facilities for each measure, the finalized measure shall be determined through comparative review in terms of effectiveness and economic efficiency, etc. It is desirable that non-structural measures are developed through close cooperation and collaboration with relevant

organizations and bodies in order to avoid placing too much weight on structural measures.



Note1. Some of the classification above may not be applicable, depending on the subject river.

Note2. These measures can bring out expected effects individually or in combination with other measures .

**Fig.2.2-2 Process of study of inland flood control**

### Section 3 Selection of Landside Waters for Examination

Several landside waters for examination shall be chosen in consideration of historical rainfall, outer water levels, and flooding, and depending on past damage and the availability of hydrological data. These should include the one that caused the largest recorded damage.

#### Explanation

The landside waters for examination are used for the following purposes:

1. Verification of landside water analysis models (landside water for verification)
2. Examination of inner water drainage method and facility scale (landside water for planning)

It is desirable to use the same landside water for both 1 and 2, but different landside waters may be used, depending on the availability of hydrological data.

To examine the selection of an inner water drainage method, as landside waters for examination it is desirable to select those waters that have various hydrographs and outer water hydrographs and a diverse relative relationship between them. On the basis of this idea, four to six landside waters for examination, including the one that caused the largest record damage, are usually selected on the basis of historical data, the extent of damage, and the availability of hydrological data. It is also important to select those landside waters that have caused the most recent damage in view of exemplifying the subject area. Past inner water drainage plans have taken the following points into consideration in the selection of landside waters for examination:

- (1) Landside water that caused serious damage
- (2) Landside water with large amounts of rainfall in the landside river basin
- (3) Landside water with large amounts of rainfall in the landside river basin and a high outer water level
- (4) Landside water with a high flood level
- (5) Landside water with a long flooding time
- (6) Landside water for which there are sufficient hydrological data available
- (7) Other (subject floods for a main stream improvement plan, etc. or representative floods in the main stream, etc.)

#### **Section 4 Determination of the Probability Assessment Method**

The method for probability assessment of the landside water scale in an inner water drainage plan should be selected in consideration of the characteristics of the landside water in the area for examination. Depending on the availability of hydrological data, it should be chosen from among the following methods on the basis of an understanding of each method's features:

1. Probability assessment based on the amount of rainfall in the landside river basin
2. Probability assessment based on the amount of rainfall over the landside water occurrence period
3. Probability assessment based on the amount of submerged water

#### **Explanation**

An examination performed to select a probability assessment method aims to accurately assess the annual exceedance probability of the landside water scale, and it shall be conducted on the basis of the characteristics of the subject landside river basin.

The scale of the landside water is determined by the runoff in the landside river basin, the outer water hydrograph, and the relative temporal relationship between them. Because a landside water probability is a probability not for a single variable but for multiple variables, this complicates inner water drainage planning. Also, because the runoff in the landside river basin, the outer water hydrographs, and the relative relationship between them are determined not by natural conditions only, but also by human actions such as river improvement, changes in land use, and construction of sewerage systems, this adds to the complication of probability assessment of the landside water scale. Therefore, in order to accurately determine the flood safety level of the landside water area and the proper facility scale, a probability assessment method that is suitable for the study area has to be determined on the basis of the landside water characteristics, hydrological data, etc. In an ordinary flood control plan, a method that calculates the design scale external force from probability

assessment of rainfall and conversion of this into a runoff is employed. In a inner water drainage plan, too, the design external force of a facility (channel, water-gate, sluice, etc.) that determines the flow capacity of the landside river is determined on the basis of the peak discharge, and probability assessment of rainfall is usually used.

On the other hand, the scale of the existing landside water itself and the design external force of the inner water drainage facilities (drainage pump station, flood control basin, etc.) should be assessed by using the amount of submerged water, not the peak discharge. Since landside water flooding is caused by multiple factors intricately intertwined with each other, there may be various methods for probability assessment of the amount of submerged water. The most direct method for probability assessment is one that uses actual values of flood level or the amount of submerged water for past landside waters. However, since such data usually has limited availability, and the amount of submerged water varies under the same rainfall conditions owing to human action, in many cases this method cannot be used to assess the landside water scale probability.

Because a probability assessment based on the amount of rainfall over the landside water occurrence period represents the amount of submerged water as determined from the amount of rainfall over this period (the period of time during which the landside water area is presumed to be flooded), such an assessment can be applied if the landside river basin is extremely small and the concentration time is short. However, because the application of this method requires data on outer water levels or data on opening and closing of the water gates, if such data is not sufficiently available then the method cannot be employed, even if the landside river basin is small.

Probability assessment based on the amount of submerged water can be employed when sufficient data on the actual amounts of submerged water is available and there is no major change taking place in the basin and channel of the landside river, or in the main stream, during the periods of time for which the statistics are required. When there are major changes taking place in the basin and channel of the landside river, as well as in the main stream during the time of collection of statistics, probability assessment based on actual amounts of submerged water cannot be used, but probability assessment based on calculated amounts of submerged water can be used. The calculated amount of submerged water is obtained from a landside water analysis model; this analysis requires outer water hydrographs and the amount of rainfall in the landside river basin for landside waters presumed to have caused the maximum amount of submerged water in each year of the time period used to gather statistics.

Probability assessment based on the amount of rainfall in the landside river basin is a method that is employed when the above methods cannot be used owing to constraints such as insufficient availability of hydrological data, and it is used relatively often because of the simplicity of the work involved. However, the time period for the subject rainfall should be determined in consideration of the basin size, flood concentration time, flood characteristics, and availability of hydrological data, etc.

Since the scale of landside water generally depends on three elements—the runoff of the landside river basin, the outer water hydrograph, and the relative relationship between them—the landside water probability of the subject area may be represented more accurately through probability assessment using multiple variables that represent these elements. There may be a weak correlation between the rainfall in the landside river reaches and the outer water level in past landside water occurrences, for example, when the scale of the main stream basin at the flow end is much larger than the scale of the landside river basin. In such cases, complex probability

assessment using multiple variables may be more effective than probability assessment using a single variable. Since the use of two variables is a practical limitation, it is necessary to determine two variables that represent the landside water scale in consideration of the landside water characteristics of the subject area. In cases where two representative variables cannot be extracted, however, this method is not very effective. For example, if the peak outer water level and the rainfall in the landside river basin are used as the two variables, the occurrence probability of the combination of these two can be obtained. However, the resulting probability does not necessarily represent the scale of the landside water. This is because the scale of the landside water depends heavily on the time lag between the outer water hydrograph and the rainfall in the landside water area.

## **Section 5 Determination of the Scale of Inner water drainage Facilities**

The design scale of the inner water drainage facility shall be determined in consideration of various factors, such as the importance of the landside water area, the actual damages caused by past landside waters, the balance against the project scale of the main stream, and the balance against the project scale of neighboring landside water areas, etc. A facility scale that ensures a degree of safety corresponding to that at the project scale against flood in the landside water area shall be determined.

However, the scale of drainage pumping, as a general rule, shall be determined on the basis of a cost-benefit evaluation.

### **Explanation**

If the plan uses a regional outlet channel, the scale shall be determined in the same manner as for general river improvement.

If the plan uses a water-gate closure method, the scale shall be determined in consideration of various factors, including the social and economic importance of the landside water area, the actual damage in past landside waters, the economic efficiency, the balance between the project scale of the landside water area and that of both the main stream and the neighboring landside water areas, etc.

If it is impossible to construct a inner water drainage facility equivalent to the project scale from the beginning, owing to constraints concerning consistency with the safety level of flood control of the main stream, balance against the safety level of flood control of neighboring landside water areas, and financial constraints, etc., staged construction shall be implemented.

#### **1. Design scales of the landside river and inner water drainage facility**

As described above, there is a significant difference in the damage structure between outer water and landside water. Although it is rational to determine different safety levels of flood control for outer water flooding and landside water flooding, in establishing the design scale for a inner water drainage facility it is necessary to take into due consideration the balance against the project scale of the main stream.

In addition, the design scale for the landside river channel and that for the inner water drainage facility do not have to be the same.

#### **2. Importance of the region**

The importance of the region can be judged mainly from the land use of, and concentration of assets in, the landside water area. In general, the asset concentration in agricultural areas such as paddy fields and upland fields is lower than that of urban areas by two or three orders, and their damage potentials are very different. Therefore, it is regarded as rational to change the design scale of the inner water drainage facility depending on the land use of the landside water area. However, a probable flood area is rarely composed of a single land category such as paddy field, upland field, or urban area; instead, it usually has land of mixed categories. In addition, the predominant land category of the subject area for landside water removal is also different owing to the distribution of these land uses by elevation.

Generally, when a landside water area is mainly urban, the target design scale of the inner water drainage facility shall be more than 30 years; in the case of other land uses it shall be more than 10 years.

### 3. Damage prediction when floods exceed the design scale

Since there is a possibility of occurrence of landside water exceeding the design scale, it is desirable to conduct damage prediction for such an occurrence, as required, in order to prevent serious damage.

If the necessary facility scale is very different, depending on the subject landside water, it is desirable to reexamine whether there is any technical irrationality in the relationship with the adopted probability assessment method or in the process of expansion to the design scale. Also, it is necessary to examine the effects of flood control of past eminent landside waters in determining the facility scale.

In the case of drainage pumping, however, examination from an economic viewpoint is important, and the scale shall be determined, as a general rule, on the basis of a cost-benefit evaluation. For cost-benefit evaluation, refer to "Chapter 20: River Economics Research" in the Research volume of Technical Criteria for River Works (Draft). In this case, the depreciation period for the machine and equipment shall be determined to be 20 years.

### Reference

1) Guide to Inner water drainage Planning: Supervised by Flood Control Division, River Bureau of the Ministry of Construction, Edited by Japan Institute of Construction Engineering, February 1995

## Chapter 2–3 Multi-purpose Facilities

### Section 1 General Information

#### 1.1 Definition

Multi-purpose facilities include dams, flood control basins, weirs, channels for adjusting river flow, etc. with multiple purposes related to flood control, water utilization, environmental preservation, etc.

#### 1.2 Basics of multi-purpose facility planning

In planning a multi-purpose facility, necessity in terms of flood control, water utilization, and the environment; economic efficiency; and ease and efficiency of facility operation and its impacts on natural and social environments, etc. shall be examined.

#### 1.3 Multi-purpose facility location

A multi-purpose facility shall be positioned at a location that ensures that the expected functions can be fulfilled and, where necessary, storage capacity can be advantageously secured. The location shall be determined through comprehensive examination of different factors, such as the results of topographic, geological, and environmental surveys, the status of submerged areas, and economic efficiency, etc.

#### Explanation

A multi-purpose facility should be located at the most appropriate position selected in consideration of multiple factors such as the results of topographic, geological, and environmental research, the status of submerged areas, and economic efficiency, etc. from among multiple candidate sites that are presumed to ensure the expected flood control and water utilization functions as well as the necessary storage capacity. Environmental surveys are indispensable to gain an understanding of the status of healthy habitats for plants and animals around the reservoir and to preserve them, and to provide important information used for the selection of the reservoir location.

Whether a single reservoir or a group of reservoirs is to be constructed shall be determined in consideration of the sureness of flood control, combination of purposes, topographic and geological conditions, the environment surrounding the reservoir, and economic efficiency, etc.

Because redevelopment of an existing dam through enlargement, combined use of existing dams, etc. may be able to achieve the expected flood control and water utilization effects with less cost and impacts on the environment compared with new dam construction, positive examination of the possibility of efficient use of existing dams should be promoted.

In view of effectiveness of flood control, it is desirable to determine a location that is close to the major flood control area projected in the flood control plan and to use as few large capacity reservoirs as possible for flood control. In developing a flood control plan by using a group of reservoirs, if the need arises from the viewpoint of water utilization, then allocation of adjustment flow volumes to each reservoir shall be examined through various combinations with water utilization purposes.

## **Section 2 Facility Basic Plan**

### **2.1 Plan adjustment**

In developing a multi-purpose facility plan, the manner of operation of the facility must be examined in order to avoid conflicts between purposes.

#### **Explanation**

In the use of a facility, especially a reservoir, flood control and water utilization initially conflict with each other in terms of water management. For this reason, the flood control capacity shall be secured by setting a flood season control level, and a water utilization plan must be established in consideration of this. In the case of a multi-purpose dam with a power generation facility, because the water used for power generation can be reused for water supply and irrigation, etc., such uses rarely present conflicts of purpose. However, when the power generation scale is large and the facility has a capacity for exclusive use for power generation, the capacity for other uses of water may be reduced, and adjustment between the multiple purposes will be necessary. Flood control capacity, especially, must be secured with priority over other purposes, and a method that requires overlapping of the capacity for preliminary release flood control and water utilization capacity must not be adopted except in special circumstances. It is necessary to actively consider plans for the redevelopment of existing dams from a new point of view. Preservation of, and harmony with, the natural environment, etc. should be considered.

### **2.2 Consideration of economic efficiency (cost-benefit analysis)**

In the process of establishing a multi-purpose facility plan, the economic efficiency of each purpose should be investigated. As a general rule, the total benefits obtained by project implementation must exceed the total cost required.

An appropriate method of total benefit evaluation shall be selected in consideration of the project and the characteristics of the subject area, etc.

#### **Explanation**

In establishing a multi-purpose facility plan, examination from the viewpoint of technical possibility is an absolute necessity. Examination not only from the viewpoint of rationality of reservoir use for securing the capacity needed to meet demand, but also from the viewpoint of economic efficiency, is also necessary. An appropriate analytical method shall be selected through consideration of the subject project and the characteristics of the subject area, and the reason for selecting the method must be clarified.

### **2.3 Multi-purpose reservoir planning**

#### **2.3.1 Evaluation of necessary capacity and its allocation**

The storage capacity shall be allocated to various uses, including flood control and maintenance of normal functions of the river water, as well as new uses of water, etc. for the effective use of limited facility sites in

consideration of various factors such as demand for flood control, water demand, and the scales of the reservoirs, etc.

**Explanation**

The necessary capacity for each purpose shall be calculated in accordance with 2.3.2–2.3.7 of this section.

The calculations of the capacity necessary for maintenance of the normal functions of the river water and new uses of water shall be done by using information collected from existing hydrological data covering as long a period as possible (basically 20–30 years, but approximately 10 years if the data are limited). As a general rule, the calculations shall be based on the flow regime at the time of the largest drought in 10 years (second-largest drought in 20 years, or third-largest drought in 30 years).

In recent years there have been cases in which the storage capacity for water for melting, clearing, and removing snow and the storage capacity for drought for mitigating the damage caused by extraordinary drought exceeding the design scale have been determined.

**2.3.2 Flood control capacity**

The flood control capacity shall be determined in accordance with Section 3.1.3 of Chapter 2–1.

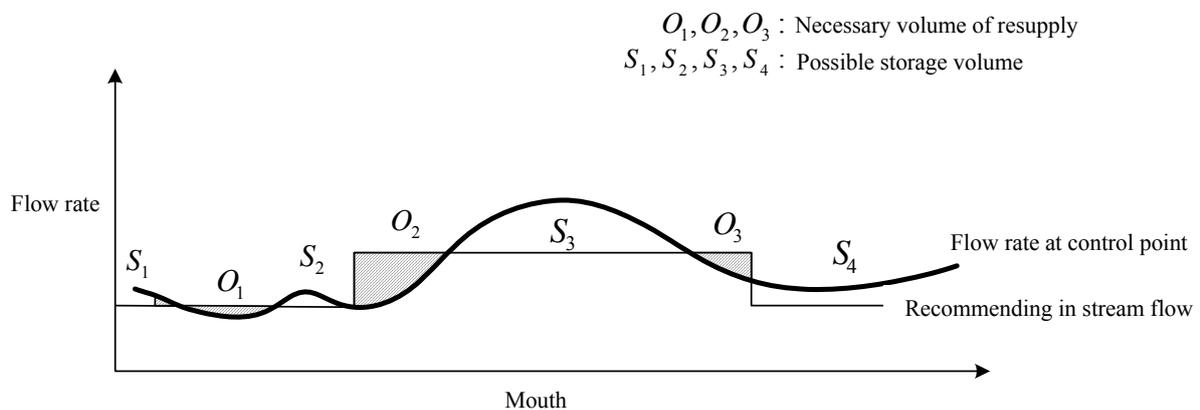
**2.3.3 Capacity for maintenance of normal functions of river water (unspecified capacity)**

The capacity for maintenance of normal functions of the river water (hereinafter referred to as "unspecified capacity") shall be sufficient to secure "a necessary discharge for the maintenance of normal functions of the river water (hereinafter referred to as 'normal discharge')".

The unspecified capacity shall be obtained by calculation of the excess and deficiency between the normal discharge and the natural flow at the design control point.

**Explanation**

An unspecified capacity is a capacity necessary for the maintenance of the river and the maintenance of normal functions of the river water, such as protection of vested water rights. It should be secured preferentially in a new water-resource development project.



**Fig.2.3-1 Resupply plan diagram of recommending in stream flow**

#### **2.3.4 Capacity for irrigation**

The capacity for irrigation shall be determined through calculation of excess and deficiency between the design intake flow and normal discharge at the intake point and the discharge at the intake point after consideration of storage restrictions and other conditions for the reference dry year.

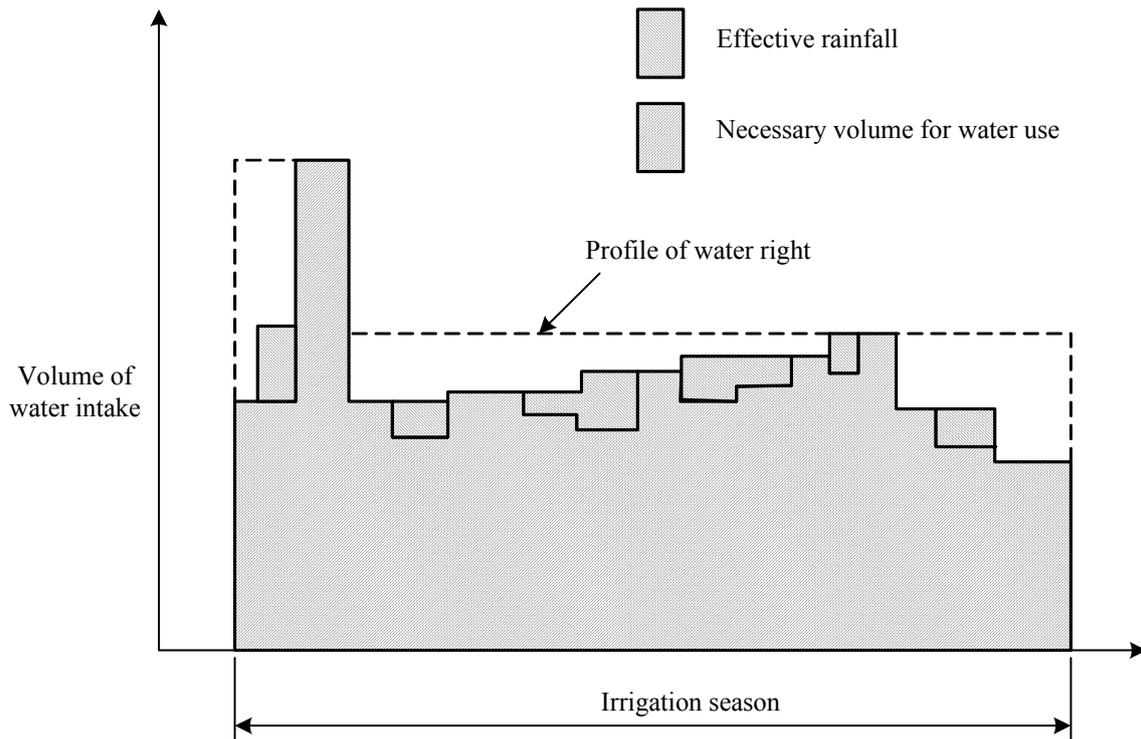
The design intake flow of irrigation water shall be determined in consideration of the necessary water volume for each area and period of the replenishment zone and the vested water rights and effective rainfall of that zone, and the necessary intake flow for the intake point shall be determined for each period.

#### **Explanation**

Irrigation waters include water for the growth of farm products, water for rice nurseries, and water for land preparation; the amounts of water needed differ depending on the period of the irrigation season. Since the soil properties, climate, kinds of crop, and growth period, etc. differ from area to area, the necessary water volume differs, and it will be necessary to estimate the necessary water volumes by conducting surveys in field locations over several years.

The indication for the water rights volume consists of the indication of the seasonal maximum water intake volume (represented by  $m^3/s$ ) that controls the maximum water intake volume for each season and the total water intake volume (represented by  $m^3$ ) that controls the total water intake volume. The necessary water volume is taken within these ranges. Consequently, as a general rule, calculations to determine the necessary capacity for irrigation should be based on the necessary water intake volumes in the reference year (effective rainfall should be deducted), not the seasonal maximum water intake volume obtained from the water rights volume.

Because it is necessary to make adjustments between the different water uses that are the subjects of the multi-purpose facility plan, as a general rule the plan shall be developed on the basis of a common reference dry year.



**Fig2.3-2 Intake water volume diagram**

### 2.3.5 Capacity for municipal water

The capacity for municipal water shall be calculated by determining the necessary intake volumes for municipal water for different periods, and by calculating the excess and deficiency against the flow regime at the intake point after including the normal discharge and storage constraint conditions at the intake point in the reference dry year.

#### Explanation

“Municipal water” is a general term for the water used for water supply and industrial water. The necessary capacity for municipal water shall be obtained from the necessary supply volume against the necessary intake volume for a flow regime that gives priority consideration to the normal discharge.

In normal cases, the necessary intake volumes for municipal water, irrigation water, etc. shall be calculated in the same manner.

### 2.3.6 Capacity for power generation

The capacity for power generation shall be determined in consideration of fluctuation in the use of electricity and through adjustment with other purposes in such a way that efficient power generation is possible.

#### Explanation

The capacity for power generation should be determined in consideration of power demand and fluctuation in

use of electricity and through adjustment with other purposes in such a way that economically efficient power generation is possible.

When there is already a power station downstream in the river, since improvement in the flow regime at normal times may cause increased power generation (increase in downstream), the effect of this also needs to be considered.

Calculations in a power station construction plan are based on discharge data for 10 or more years, and the maximum high water level for power generation during a flood season must not exceed the control level. When the water level is lowered to the control level before a flood season, ineffective release when release of more than the turbine discharge is necessary shall be considered in advance. The capacity shall be determined in such a way that storage can be started after the flood season and finished during the demand period.

### **2.3.7 Storage capacity for sedimentation**

Normally the sediment deposition estimated for the next 100 years is used as the storage capacity for sedimentation. However, the design sediment storage can be reduced in the case of a facility that flows sediment from a flood spillway, one that removes inflow sediment in the reservoir, or other facilities for which special measures have been implemented.

#### **Explanation**

It is desirable to estimate the sediment deposition of the reservoir from the sediment deposition of an existing reservoir in a similar area. It should be determined in consideration of the sediment and erosion control plans for the upper reaches, the size of the basin, the geology, and the forest physiognomy, etc. and in reference to the results of calculation of the estimated sediment deposition. However, the design sediment storage can be reduced by the estimated sedimentation deposition for the next 100 years in the case of a facility that flows sediment from a flood spillway, one that removes inflow sediment in the reservoir, or other facilities for which special measures have been implemented.

## Chapter 2–4 Water Quality Preservation Facilities

### Section 1 General Information

A plan for the construction of facilities, etc. in a river, reservoir, or lake and swamp that are aimed at preserving the water quality of a river, etc. shall be established on the basis of the current water quality and the natural and social environments of the basin and their historical transition. It shall also take into consideration consistency with the various functions of the river related to flood control, water utilization, and the environment.

#### Explanation

Cooperation between the river administrator and relevant organizations and bodies, etc. in the basin is necessary to develop multiple alternatives, conduct comparative reviews of them on the basis of the location where a measure is to be implemented, the method to be used, and the expected effects, and finalize the measure.

In determining the measure, it is necessary to take into consideration the time lag before the measure will actually take effect.

Multiple alternatives for the water quality preservation measures allocated individually to the river, reservoir, lake, swamp, and basin in view of role-sharing based on “Measures for the preservation of good water quality” (Explanation 4, 1.4 of Chapter 1) shall be examined in consideration of the characteristics of each measure. The best measure shall be selected.

The basic procedure for selection of a water quality preservation measure is as shown below.

#### 1. Selection of the location where the measure is to be implemented

The most polluted area, feeder river and discharge channel shall be selected on the basis of a change in water quality in the flow direction and distribution of loading.

#### 2. Selection of multiple alternative measures

Appropriate measures shall be selected in consideration of applicable conditions for the subject water quality items.

#### 3. Determination of the scale of measures

The scale for the selected alternatives shall be determined by comparing the predicted results and target values for water quality preservation at representative locations.

#### 4. Selection of a measure

The optimal measure shall be selected from among the selected alternatives and the facility installation shall be determined through comparison of the locational factor, economic efficiency, and ease of maintenance, etc.

Facility installation needs to consider the condition of habitats for plants and animals and harmony with the surrounding environment.

Since the need to maintain any water quality preservation measures must be weighted heavily among considerations, it is desirable to select a measure that is easily managed and has a low running cost.

## **Section 2 River Water Quality Preservation Measures**

River water quality preservation measures include reduction of loading, separation of loading, securing of flow, and reinforcement of the purification function of the river, etc. The measure shall be established by first preparing multiple alternative plans by combining these functions, and then through comparative examination based on the location where the measure is to be implemented, the method, and the expected effects, etc. In determining the measure, the target water quality, effects, economic efficiency, ease of maintenance, and impacts on the environment, etc. shall be taken into consideration.

### **Explanation**

The following points require consideration in a river water quality preservation measure:

1. When selecting a method for loading reduction, disposal and utilization of bottom sediment and sludge, etc. also need to be considered on the basis of the water quality factors of the subject water and the properties of that water.

The facility location shall be one where loading can be efficiently reduced.

2. When selecting the method of loading separation, it is necessary to consider the impacts on the lower reaches.

3. When selecting the method for securing the necessary flow volume, it is necessary to consider the flow regime of the raw water source, water quality, water utilization plans, geographical condition, and impacts on the river environment downstream of the raw water transmission point.

4. When determining the measure for reinforcing the purification function of the river, a location that facilitates efficient reinforcement of the purification function shall be selected in consideration of the longitudinal distribution of water qualities, current velocity, and the condition of the bottom sediment, etc.

#### **(1) Reduction of loading**

##### **① Water quality preservation by river flow purification**

When planning a water quality improvement measure that uses a river flow purification method, the hydraulic and water quality characteristics of the subject water body and the site for facility construction shall be investigated, and the optimal method and scale for the characteristics of the water body shall be determined.

When a river flow purification method is used, a purification method suitable for the hydraulic and water quality characteristics of the subject water body shall be selected. The choice of a purification method depends, for example, on the ratio of grains and soluble matter. Since a river flow purification method requires sludge management, it is desirable to select a measure that facilitates sludge management and requires a low running

cost. The subject water volume, which is a key determinant of the size of the purification facility, shall be determined on the basis of the goal achievement frequency, etc.

The site for facility construction should be thoroughly investigated, because the purification method and intake and release method depend on the location (landside area, high water channel, channel, etc.), area, shape, height difference, and habitats for plants and animals.

The following chart shows major methods of river flow purification.

Reference: methods for purification of river water

| Purification methods             | Purification mechanism                | Subject for purification                            | Feature  |
|----------------------------------|---------------------------------------|---|--|
| Contact oxidation                | Biological oxidation<br>Precipitation | Organic matter,<br>SS, NH <sub>4</sub> -N           | Decomposition of organic matter by growth of microorganisms attached to contact media such as gravel, plastic, etc.  |
| Water purification by soil       | Filtration<br>Absorption              | Organic matter,<br>Nutrient salts,<br>SS, Turbidity | Water purification by soil capability of absorption, decomposition and filtration, and absorption function of vegetation   |
| Oxidation pond                   | Biological oxidation<br>Precipitation | Organic matter,<br>Nutrient salts,<br>SS            | Decomposition of organic matter and intake of nutrient salts by microorganisms in the pond, and depositing of SS matters owing to decreased water flow velocity    |
| Water purification by vegetation | Absorbed Vegetation<br>Precipitation  | Organic matter,<br>Nutrient salts,<br>SS            | Uptake of nutrient by vegetation, depositing of SS matters owing to decreased water flow velocity, and absorption by soil. The vegetated area perform as a habitat |

Among various river flow purification methods, the contact oxidation method has been used most widely. The contact oxidation method takes advantage of the self-purification function of the river, utilizing filtration by contact media such as gravel and organic matter decomposition by attached organisms.

## ② Dredging of bottom sediments

In bottom sediment dredging planning, the range and amount of dredging, the dredging method, and the timing shall be determined, and a bottom sediment treatment and soil allocation plan shall be established.

In determining the range and amount of dredging, the nutrient salt concentration of the bottom sediment to be dredged and the range and depth (amount) of dredging shall be determined on the basis of the results of a bed material investigation and the relationship between nutrient salt concentration and the solution rate, etc.

The dredging method shall be determined depending on the hydraulic condition of the subject water body and

the characteristics of the bottom sediment. When dredging, it is important to avoid cluttering the bottom sediment as much as possible. Especially for bottom sediment that has an amount of harmful substance exceeding the level for removal, a method that prevents its flow loss and dispersal shall be planned, and it must be detoxified and allocated to a controlled disposal site.

In determining the timing for dredging, it is necessary to take into consideration harvest times for seaweeds and fish, and the timing of odor occurrence from the bottom sediment.

In the process of establishing a plan for bottom sediment treatment and allocation, the method of treatment shall be selected from among solidification at the stockyard, air drying, etc. and the final disposal site shall be planned.

### ③ Water quality preservation measures in the basin

Measures implemented in the basin by the river administrator include river flow purification in an ordinary river, etc.

#### (2) Separation of loading

Methods for loading separation include the use of a flow conservation channel.

A flow conservation channel aims to separate river water from polluted water.

In establishing a plan, an appropriate method of river water separation shall be determined in consideration of the volume of polluted water inflow, the intake location for the water supply system, the presence of harmful substances in the basin, and the water quality of the final effluent, etc. The subject area, route, and treatment method, etc. shall be determined.

Since a flow conservation channel is a low-water channel that separates river water from polluted water within the river channel, it is an effective water quality preservation measure for rivers where water for the water supply system is taken from the lower reaches, as well as for rivers to which many branches and discharge channels carrying polluted water are connected.

When water treatment is necessary in a flow conservation channel, the target quality of the final effluent water shall be determined on the basis of the water quality and standards of the receiving river, and a treatment method suitable for the determined water quality items and target values shall be selected.

When it is necessary to flow polluted water downstream, the impacts at the release location shall be assessed and a water quality improvement measure shall be implemented as required.

#### (3) Securing of flow volume

The effects of securing flow volume include dilution of polluted water, improvement of dissolved oxygen, and reduction of detention time in the water body.

In planning the introduction of purification water, the necessary water volume for purification shall be determined through investigation of the water quality and flow volume of the subject water body. It is also necessary to balance the plan against flood and water utilization plans.

Methods of securing flow volume include the conveyance of purification water from another river, etc. and the securing of maintenance flow discharge by using a dam, etc. Here, the securing of purification water by water conveyance will be described.

In the process of planning, since the amount of purification water differs depending on the use of river water and the status of the sewerage system, it is necessary to clarify the estimated effects at different times by thoroughly considering the current state as well as future changes. In determining the water conveyance capacity of the source river, use of the source river and preservation of the river environment shall be taken into consideration. It is also necessary to confirm the discharge capacity of the channel that receives the purification water.

In determining the locations for the intake and the discharge outlet for water conveyance, it is necessary to take the habitats of plants and animals into consideration.

Plans for construction or installation of a reservoir, diversion canal, lift pump, etc. need to be well balanced with facility construction plans for flood control and water utilization. Facilities that can be used commonly for their purposes shall be planned through adjustment.

Conveyance of sewage-treated water, seawater, etc. is effective for small urban rivers that do not have a reservoir area nearby. The water quality of the supplying water body shall be improved, and, if necessary, treatment of the conveyed water should be considered.

#### (4) Reinforcement of the purification function of the river

At locations such as a tidal area, dam, or weir where water stagnates in a filling area, lack of oxygen prevents the progress of organic matter decomposition. The oxidizing power of these locations can be enhanced by supplying oxygen by aeration or by the use of a fountain.

When this method is to be adopted, the necessary scale shall be determined by investigating the hydraulic and hydrological characteristics of the subject water body, the status of the channel, and the facility installation site, etc.

The addition of riffles, pools, bends, and other variations to a monotonous river channel is also an effective way of preserving water quality, as is the construction of a vegetated zone along the river.

### **Section 3 Water Quality Preservation Measures for Reservoirs, Lakes, etc.**

Water quality preservation measures for reservoirs, lakes, etc. include water temperature and flow control, reduction of loading, separation of loading, and water conveyance, etc. A measure shall be determined on the basis of multiple alternatives combining these functions and by conducting a comparative review of these in view of the location, method, and expected effects, etc. of the measure. In determining the measure, the target water quality, effects, ease of maintenance, and impacts on the environment, etc. shall be taken into consideration.

#### **Explanation**

Water quality preservation measures for reservoirs, lakes, etc. include those for water quality preservation of the reservoir area and those for mitigation of impacts on the downstream sector. Reservoirs, lakes, etc. include weir reservoir ponds and regulating reservoirs, in addition to reservoirs and lakes.

For reservoirs and lakes there are appropriate individual measures for cold water problems, problems of long-term persistence of turbid water, and eutrophication problems. Some of these problems are effective for water quality problems other than the expected water quality problem. For example, a selective water intake facility used as a measure against a cold water problem is also effective for the problem of long-term persistence of turbid water when it is used at a time of flood and after.

On the other hand, there are cases in which a measure for improving a certain water quality problem will affect some other water quality problem. For example, if a selective water intake facility places too much emphasis on the cold water problem and surface water intake, a turbid water mass that flows in at the time of flood may remain in the reservoir during and after the flood, causing prolonged turbidity of the water. Inappropriate control of water temperature and turbidity may worsen eutrophication problems.

For these reasons, selection of water quality preservation measures requires thorough examination from the viewpoints of measure combination and prevention of influence.

The following points need to be considered in the development of function-based water quality preservation measures for reservoirs, lakes, etc.

1. Selection of a method for water temperature and flow control should be based on the vertical distribution of water temperatures, which indicates the thermal stratification of the reservoir. The reservoir turnover and the diffusion of inflow water in the reservoir need to be taken into consideration.

The facility location shall depend on the shape of the reservoir, and its scale shall be determined on the basis of an estimation of thermocline formation and its effects, as obtained from water discharge and meteorological conditions, etc.

2. Selection of a method for reduction of loading should be based on the water quality items of the water to be purified and the water's characteristics. Treatment, utilization, etc. of bottom sediment and sludge should be taken into consideration.

A location that enables efficient reduction of loading shall be selected as the facility site.

3. In selecting a method of separation of loading, effects on the lower reaches should be taken into consideration.

A location where the facility length can be minimized shall be determined as the facility site.

4. In selecting a channel for water conveyance, it is necessary to take into consideration the flow regime, water quality, water utilization plans, and geographical condition of the source river.

If other measures are necessary, an appropriate method should be selected on the basis of the concept described above.

1. Controlling the water temperature and flow

(1) Selective water intake facility

A selective water intake facility is applicable as a measure against cold water, long-term persistence of turbid water, and eutrophication. In all of these applications, stratification of the reservoir is a prerequisite, and the method of operation should depend on the temperature and water quality characteristics and turbidity distribution of the reservoir, as well as the water quality characteristics of the inflow water.

(2) Aerating circulation facility

An aerating circulation facility is applicable as a measure against cold water, long-term persistence of turbid water, and eutrophication. A method, location, and scale, etc. that is suitable for the purpose of aerating circulation shall be determined from the characteristics of the reservoir and water quality properties.

Greater effectiveness may be expected by combining an aerating circulation facility with a selective water intake facility.

2. Reduction of loading

(1) Measures for inflow water quality

A measure for inflow water quality is applicable as a measure against eutrophication and is effective for reducing nutrient salts. Possible purification methods include vegetation purification and soil purification. The method, location, and scale, etc. shall be determined from the water quality and amount of pollution loading of the polluted tributary and in consideration of the subject flow volume, site condition, ease of maintenance, and cost, etc.

(2) Front reservoir

A front reservoir, which is a dam, etc. where water can be temporarily stored before it flows into a reservoir, thereby precipitating nutrient salts, is applicable as a measure against eutrophication.

The facility scale (capacity) and location shall be determined on the basis of the characteristics of the nutrient salt (ratio of dissolved matter to particle matter, etc.) and the characteristics of the inflow loading amount, as well as the retention time necessary for nutrient salt precipitation and subject flow volume, and in consideration of the site condition, economic efficiency, etc.

### (3) Bottom sediment dredging

Bottom sediment dredging, which aims to suppress oxygen consumption by bottom sediment and to prevent nutrient salt elution, is applicable as a measure against eutrophication.

The procedure and considerations in bottom sediment dredging planning are the same as those for bottom sediment dredging as a water quality preservation measure for a river (see Section 2: River Water Quality Preservation Measures).

### (4) Water quality preservation measures in the basin

Measures that the river administrator can implement include the following:

- ① River flow purification for non-classified rivers.
- ② Installation of an “environment preservation zone” with a wooden corridor in the peripheral area of a reservoir with markedly turbid water, eutrophication, etc.

## 3. Separation of loading

Construction of a bypass is applicable as a measure against long-term persistence of turbid water and eutrophication. Measures against long-term persistence of turbid water include a method that bypasses highly turbid water contained in the feeder river, and a method that takes in clear water that flows in from the upstream end of the reservoir and bypasses the reservoir (clear water bypass). To suppress eutrophication, phytoplankton growth shall be suppressed by not letting nutrient salts, a phytoplankton growth factor, enter the reservoir.

In any such measures, the plan needs to be developed on the basis of the water quality and loading amount properties of the feeder river, and in consideration of the reservoir operation and the water volume, water quality, and environment of the river downstream of the reservoir. A measure for sediment control at the time of flooding needs to be considered.

## 4. Water conveyance

Water conveyance is applicable as a measure against eutrophication. This method increases the turnover of a lake, etc. and suppresses the growth of phytoplankton by conveying water from another water source. It is necessary to take heed of the nutrient salt concentration of the conveyed water.

In planning a measure that uses a water conveyance method, the water quality and flow volume of the subject water body and conveyed water shall be investigated, and sufficient examination shall be conducted so as to

maintain a balance between flood control and water utilization plans, before the water volume to be conveyed is determined.

Measures against coloration (red water, black water), accumulation and elution of harmful substances, etc. include bottom sediment control (dredging, sand cover), and bottom layer DO improvement by aerating circulation, etc.

## **Chapter 3 Installation Plan for Erosion and Sediment Control Facilities**

### **Chapter 3–1 General Information**

The installation plan for erosion and sediment control facilities, etc. consists of the installation plans for erosion and sediment control facilities (drawn up on the basis of the erosion and sediment control master plan), landslide prevention facilities (drawn up on the basis of landslide control master plan), steep slope failure prevention facilities (drawn up on the basis of steep slope failure control master plan), avalanche control facilities (drawn up on the basis of the avalanche control master plan), and comprehensive sediment disaster control facilities (drawn up on the basis of the comprehensive sediment disaster control master plan).

Devising installation plans for facilities entails the consideration of the effects on the natural environment including the landscape that the intended facilities would cause. In a basin with obvious problems associated with sediment movement, such plans need to be devised, in addition, from the viewpoint of comprehensive sediment treatment over the entire sedimentary system.

### **Chapter 3–2 Installation Plan for Erosion and Sediment Control Facilities**

#### **Section 1 General Information**

The installation plan for erosion and sediment control facilities drawn up on the basis of the erosion and sediment control master plan consists of installation plans for sediment yield control facilities, sediment transport control facilities, woody debris control facilities, and volcanic sediment and erosion control facilities.

The installation plan for erosion and sediment control facilities is based on the river system erosion and sediment control plan and is combined with the installation plan for sediment yield control facilities, and debris flow prevention plan, which is combined with the plan for the installation of sediment transport control facilities.

Table 3–1 categorizes the locations of sediment yield and sediment transport and the types of erosion control works to be implemented at those locations in accordance with the installation plans for sediment yield control facilities and sediment transport control facilities, as part of the installation plan for erosion and sediment control facilities.

In this revision, from the viewpoints of the structure and its function, the phrase “erosion control dike” shall be adopted as the common designation for any facility installed across a torrent instead of the phrase “erosion control dam” as has been conventionally stipulated in the Manual for River Works in Japan (draft version). This

is because of the recent rapid advances in the introduction and spread of erosion and sedimentation facilities with permeable steel structures, in addition to the fact that many dikes were introduced before the publication of the Manual for River Works in Japan (draft version).

**Table 3–1 Main installation plans for erosion and sediment control facilities including types of works**

| Classification of installation plans for erosion and sediment control facilities on the basis of the plans of river system erosion and sediment control and debris flow prevention | Locations of sediment yield and sediment transport | Types of works  |
|--|--|---|
| Installation plan for sediment yield control facilities  | Hillsides  | Foundation works for erosion control afforestation, planting works, hillside slope reinforcement works, hillside conservation works   |
|  | Riverbeds and riversides                           | Erosion control dike construction, consolidation works, bed girdle construction, anti-erosion works, torrent-preservation works   |
| Installation plan for sediment transport control facilities  | Torrents and rivers                                | Erosion control dike construction, consolidation works, bed girdle construction, anti-erosion works, groyne construction, torrent-preservation works, training dike, sand-retarding basin works |

**Section 2 Installation Plan for Sediment Yield Control Facilities**

**2.1 Overview**

The installation plan for sediment yield control facilities, which is based on the plans of river system erosion and sediment control and debris flow prevention, aims to install erosion and sediment control facilities for the purposes of protecting hillsides, riversides, and riverbeds and preventing sediment yield. Care need be taken during the installation of sediment yield control facilities to ensure that function of each facility appropriately and adequately fulfilled.

**Explanation**

The installation plan for sediment yield control facilities is devised appropriately giving due consideration to works in relation to erosion and sediment control such as hillside preservation works, erosion control dikes, torrent-preservation works, etc. at sources of sediment yield, e.g. hillsides, riverbanks, and riverbeds.

**2.1 Hillside preservation works**

**2.2.1 Overview**

Hillside preservation works aimed at controlling floods consist of hillside works and hillside conservation

works. The former works stabilize slopes by cutting and filling or by earthwork construction on denuded lands or bare slopes to prevent or reduce the triggering or aggravation of surface erosion or shallow slides by the introduction of a vegetal cover while the latter works boost the function of the former by nurturing the introduced vegetal cover.

The hillside preservation works consist of foundation works for erosion control afforestation, planting works, and hillside slope reinforcement works.

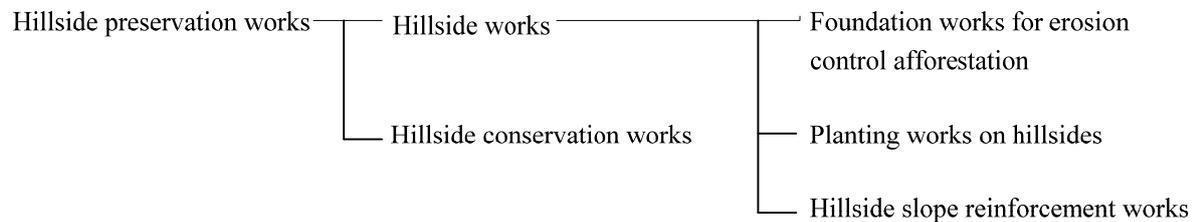
**Explanation**

“Denuded land” refers to land that is bare as a result of hillside collapse.

“Bare slope land” refers to hillside slopes on which the vegetation has entirely or partly vanished or declined.

In view of the fact that persistent sediment yields from denuded lands or bare slopes are contributory in causing landslide disasters at downstream sites during flood, hillside preservation works are considered very important in conjunction with erosion control against flood.

The degree to which hillside preservation works could control the triggering or aggravation of shallow slides generally depends, in terms of general construction, on the extent of foundation; in terms of vegetation, it depends on the extent of the roots’ ability to reinforce the soil. Thus, evaluation of the effects of hillside preservation works on deep-seated collapses or landslides is a future task.



**Fig. 3–1 System of hillside preservation works**

**2.2.2 Hillside works**

Hillside works are categorized into three groups: 1) foundation works for erosion control afforestation to stabilize hillside slopes and/or to prevent slope erosion; 2) planting works on hillsides to prevent or reduce the triggering or aggravation of sheet erosion or shallow slides by introducing a vegetal cover on denuded lands or bare slope lands; and 3) hillside slope reinforcement works to increase the shear resistance of the slope as a whole against collapse by carrying out concrete crib works or reinforced concrete insertion works on denuded lands or hillside slopes that approaching or at the verge of failure. Utilizing a single measure or a combination of the aforementioned techniques sediment yield shall be controlled; Topography, geology, soil, climate, and vegetal cover in the design area and its surroundings and the effects of intended facilities on the existing erosion control facilities shall be sufficiently researched to determine the appropriate type of work/s needed. In particular, while deciding vegetal cover, sufficient consideration must be given on the compatibility with the surrounding vegetation.

## **Explanation**

### **1. Foundation works for erosion control afforestation**

Foundation works for erosion control afforestation are aimed at stabilizing hillside slopes by cutting, fitting, or establishing small check dams and to prevent slope erosion due to overland flows by constructing channel works. Laying of foundations for future planting or hillside slope reinforcement works at the site in question is intended.

### **2. Planting works on hillsides**

Planting works on hillsides are aimed at introducing vegetal cover in the region of interest. It includes hurdle works, terracing works with seeding, and simple terracing works that introduce a vegetal cover and thereby to prevent the topsoil from moving. The vegetation type shall be carefully chosen in such a way that it is integrated with the surrounding vegetation, in consideration of its secular change.

### **3. Hillside slope reinforcement works**

Denuded land or hillsides that are at the verge of failure and where stabilization works are deemed necessary with immediate effect or where the triggering or aggravation of slope failure cannot be prevented or reduced by foundation works for erosion control afforestation or planting works alone, hillside slope reinforcement works can enhance the resistance of the slope as a whole against collapse by carrying out concrete crib works or reinforced concrete insertion works on hillsides.

A vegetal cover cannot be introduced naturally on a steep denuded slope, for instance, as the topsoil frequently moves. In such a case, generally, after the slope has been stabilized (mainly by the use of foundation works by erosion control through afforestation to control the movement of the topsoil), vegetal cover is introduced by planting works on the hillside. On the other hand, if the site borders on a slope and has to be stabilized as soon as possible, then hillside slope reinforcement works are introduced.

In landforms with poor soil but relatively gentle slopes such as bare slope lands, planting works are the primary type of remedial measure in many cases.

These types of works, which are often used in combination on sites with colluvial soils, should be properly combined in the actual planning. In the actual planning on hillsides with large sediment yields, such as on eroded areas bordering torrents, the combination of hillside works, erosion control dikes, and torrent-preservation works, for example, in which an erosion control dike aimed at stabilizing the foot of the mountain is used as the foundation works for erosion control afforestation, is sometimes introduced.

### **2.2.3 Hillside conservation works**

Hillside conservation works following hillside works shall promote proper growth of vegetation on a hillside slope, aiming to prevent the triggering and/or aggravation of surface erosion or collapse or to increase the abatement function of the vegetation.

Planning of hillside works shall include guidelines for conservation of hillside in accordance with its purpose and on the workflow.

### **Explanation**

Since the vegetal cover to be introduced by hillside planting works—unlike concrete structures—require a specified period of time to exert its influence, it is important to stipulate guidelines on the same.

Usually, the first hillside planting works cover the bare land with plants or pioneer trees (soil-improving trees) to prevent the surface soil from moving or eroding and to form the growing foundation of a forest. After this initiation of disaster prevention activity by the hillside conservation works, the identified vegetation is cultivated in such a way that it can harmonize with the surrounding natural vegetation.

Moreover, when the introduced vegetation is remarkably different from the surrounding vegetation at a site where hillside works are executed, or when the greenbelt is not expected to be affected by pest control or sediment controls because the introduced trees are homogenous with the surrounding vegetation, then, at a point when the colony has grown to a certain level, the type of tree or forest may be changed to increase the function of the hillside works as deemed necessary.

### **2.3 Erosion control dikes**

An erosion control dike as a sediment yield control facility is one that aims to 1) prevent or reduce the triggering or aggravation of hillside collapse by mountain foot fixation; 2) prevent or reduce riverbed longitudinal erosion; or 3) prevent or reduce the runoff of unstable sediment accumulated on the riverbed.

While planning to construct the facility, its scale and structure should be selected according to its purpose.

An erosion control dike as a sediment control facility should be arranged in consideration of its expected effects, the topography and geology of the design site, and the stability status of the debris.

Thus, in principle, the dike should be arranged in the stream just beneath a hillside at the verge of failure in the case of 1); in the case of 2, just beneath a vertical erosion area; and in the case of 3), just beneath unstable riverbed sediment

### **Explanation**

While planning for the installation of sediment yield control facilities, in many cases the erosion control dikes are intended to control sediment transport as well as sediment yield. The function of the erosion control dike, aimed at mountain foot fixation, is to accumulate sediment at the upstream side of the established dam; this in turn raises the riverbed and fixes the mountain foot to prevent the hillside from collapsing or stop a hillside collapse from spreading. The erosion control dike aimed at controlling longitudinal erosion accumulates sediment on the upstream side of the established dam to prevent longitudinal erosion of the riverbed.

The erosion control dike, aimed at preventing unstable sediment accumulated on the riverbed from discharging, accomplishes its purpose by establishing an erosion control dike.

The scale of the erosion control dike for longitudinal erosion control or of the one for controlling the runoff of instable sediment accumulated on the riverbed can be estimated by riverbed variation calculations or by hydraulic model experiments. The conditions necessary for these riverbed variation calculations or hydraulic model experiments, such as the time variations of flow and sediment runoff, the parcel size distribution of sediment accumulated on the riverbed, etc., need to be properly established. It is desirable that erosion control dikes be established at locations exposed with bedrock on the riverbed or riverbank; this ensures the security of

the structure and, particularly, the prevention of basement corrosion and wing bank runoff.

Moreover, the decision on whether to establish a single erosion control dike or a cluster of low dikes in sequence depends on the characteristics of the sediment yield type and the difficulty of execution and maintenance at the design site.

Erosion control dikes are classified by their types, structures, and materials, which should be selected on the basis of their surrounding situations or economic efficiency. They are divided into two types: permeable and impermeable. In terms of the structure, they are further divided into two types: gravity and arch. Concrete, steel, and soil–cement are mentioned as materials. Moreover, it should be kept in mind that the permeable type is not always suitable for erosion control dikes as debris production control facilities in terms of the functions required of such dikes, which should take into account sediment yield type, the topography, and the geology of the area.

## **2.4 Consolidation works**

Consolidation works are facilities that are intended not only to stabilize the riverbed by controlling riverbed longitudinal erosion or preventing the riverbed sediment from moving again, but also to prevent or reduce riverbank erosion or collapse. Additionally, they function to prevent foundations, such as anti-erosion works, from being scoured and to protect them.

The following shall be considered in selecting the places where consolidation works should be installed:

1. Consolidation works shall be set up locations under threat by riverbed degradation.
2. When they aim to protect the foundations of structures, they shall be established in the lower reaches of these structures.
3. In places with riverside erosion, collapse, and landslide, they shall, in principle, be established in the lower reaches.

### **Explanation**

The height of consolidation works is, commonly, less than about 5 m.

Consolidation works function to control the occurrence of debris flow by preventing the riverbed from being lowered by the tractive force of the flow and arresting unstable sediment from moving. They also prevent and reduce erosion and collapse of the riverbanks by preventing lowering of the riverbed, making the riverside slopes milder, and controlling turbulent flow.

When a section is encountered with problems such as riverbank erosion or collapse and extensive longitudinal erosion is, a combination of consolidation works shall be formulated and carried out in order to stabilize the riverbed and banks.

## **2.5 Riverbed girdles**

The riverbed girdle is a facility that is intended to prevent longitudinal erosion.

It is set up at the downstream side of a single consolidation work and at a place where the interval between consolidation works is large or where longitudinal erosion had taken place or is likely to take place.

The riverbed girdle shall be planned in such a way that its crest is as high as the computed height of the riverbed

so as to eliminate the gap between the bed and the girdle.

## **2.6 Anti-erosion works**

Anti-erosion works are facilities which prevent riverside erosion and collapse.

They shall be set up in places such as water-collision fronts, in which riverside erosion or collapse has taken place or is likely to take place because of debris movement or flow, or at locations where mountain foot fixation or erosion prevention is required.

### **Explanation**

Since anti-erosion works may make the waterfront environment monotonous, it is desirable to increase the natural appearance of the waterline by keeping the range over which the works are established to the necessary minimum.

## **2.7 Torrent-preservation works**

Torrent-preservation works are facilities that are intended not only to prevent riverside erosion and collapse by controlling turbulent flow or drift currents in the torrent across a mountainous plain or fan, but also to prevent riverbed or bank erosion by regulating the longitudinal slope. The torrent works are a combination of consolidation works, riverbed girdles, anti-erosion works, groynes etc.

The torrent-preservation works shall be planned to install riverbed girdles and groynes, and carry out consolidation, and anti-erosion works, as deemed necessary, taking advantage of natural landforms such as unconstrained and constrained areas preserving the various torrential spaces and ecosystems and apply natural sediment control functions

### **Explanation**

The slope of the stream bed of a torrent is determined by the flow discharge: that is, the flow velocity, the water depth, and the resistance of the stream bed. Thus, the design slope of the stream bed upstream of consolidation works should be determined by considering the occurrence of erosion and sediments, in addition to the above-mentioned factors, and then referring to the dynamic and statistical equilibrium gradients of the discharged sediment. On the other hand, in the actual preparation of torrent-preservation works, it is necessary to properly locate the erosion and sediment facilities only in the places where they are required to be set up, while taking advantage of the natural landscape. Note that the channel consolidation works dealt with in the Manual for River Works in Japan (draft version) are included in the torrent-preservation works because of the suitable nature of their purposes and functions.

## **Section 3 Planning for Sediment Transport Control Facilities**

### **3.1 Overview**

A plan for the installation of sediment transport control facilities should prescribe the installation of such facilities for the purpose of controlling sediment that is discharged in sediment transport sections. This planning

should be done on the basis of the river system sediment and erosion plan, and the debris flow measures. In the actual planning of the sediment transport control facility installation, the purpose of each facility should be made clear so that each function can be brought into play.

#### **Explanation**

Sediment transport control facilities include erosion control dikes and sand-retarding basins to hold and regulate sediment yields.

A plan for the installation of sediment transport control facilities prescribes the proper installation of these facilities in the sediment transport sections.

### **3.2 Erosion control dikes**

Erosion control dikes for sediment transport are facilities designed to: 1) control or regulate sediment discharge; or 2) hold or dissipate debris flow. There are two types: impermeable and permeable. In the actual planning, the facility's type, scale, and structure should be selected according to its installation purpose. An erosion control dike for sediment transport should be located in an effective place, such as in a constrained stream whose upstream width is large, or in a lower stream just beneath the confluence of the bank tributary; the decision should be based on the expected effects of the erosion control dike and on the topography of the design site.

#### **Explanation**

In planning for the installation of sediment transport control facilities, erosion control dikes are often planned for the purpose of controlling sediment yield as well as sediment transport.

An erosion control dike that aims to prevent sediment discharge can accomplish its purpose effectively by storing sediment discharge equivalent to its capacity. However, if in the planning stage the capacity is anticipated to be lost by advanced sedimentation, it is necessary to prepare debris-exclusion works in advance.

Because a large amount of sediment inflow into the sediment area of an erosion control dike makes the slope of the stream bed gentler, and consequently the bed width greater, than it would be in the absence of an erosion control dike, sediment is accumulated temporarily by any slope (dynamic equilibrium gradient) that is steeper than a stable slope (static equilibrium gradient). By this means, an erosion control dike that aims to regulate sediment discharge also performs its part effectively. Moreover, a permeable erosion control dike that aims to control sediment discharge can do so, especially at the peak of discharge, if large grain-sized stones are fixed with a grid and the flood is dammed back. Additionally, the use of a permeable erosion control dike can ensure the consistency of a stream by the cross-section of the part through which water flows.

When it is full of sand, an erosion control dike that is intended to hold debris flow and dissipate its energy can do this by temporarily accumulating sediment into a sediment holding area via the action of a slope that is steeper than a stable slope. On the other hand, although the dike directs debris flow into the holding area, this holding capacity is lost when advanced sedimentation occurs. Thus, if such a loss is anticipated in planning, the holding capacity will have to be restored by debris-exclusion works. On the other hand, if the slope of the stream bed is mitigated and consequently debris flow is transformed into bed load transport, then an erosion control dike can

also dissipate the energy of the debris flow. Fundamentally, a permeable erosion control dike that is intended to hold debris flow and dissipate its energy can hold debris flow by filling up the permeable part through which the water flows with the debris itself.

It is desirable to establish an erosion control dike in a place with bedrock on the riverbed and banks in order to ensure the security of construction, and especially to prevent the foundations of the dike from being scoured and the wing bank from running off. Additionally, the choice between a single erosion control dike and a cluster of erosion control dikes will depend on the characteristics of sediment transport in the area and the difficulty of execution or maintenance.

Erosion control dikes are classified according to type, structure, and materials, all of which should be specified on the basis of the surrounding environment at the design site, the economic efficiency of each option, etc.

Erosion control dikes are of two types: permeable and impermeable. Their structural types are also divided into two types: gravity and arch. Their materials include concrete, steel materials, and soil–cement.

In principle, permeable erosion control dikes should not be located in such places that require foot fixation.

### **3.3 Consolidation works**

See Chapter 3–2, section 2, 2.4

### **3.4 Riverbed girdles**

See Chapter 3–2, section 2, 2.5

### **3.5 Groins**

Groins are facilities that prevent riverside erosion and collapse by controlling the direction of running water or restricting the width of a channel. Their function is not only to accumulate sediment by dissipating the energy of running water, but also to protect the riverbanks.

In principle, groins should be set up in turbulent flow sections without a steep slope on the stream bed, such as in the lower reaches of a torrent, debris-cone areas, fans, etc. Even in the upper reaches of a torrent, however, they should be established in places such as at the foot of an area of denuded land, as the occasion demands, to prevent the extension of collapse due to the impact of running water.

#### **Explanation**

When a groin is set up on one side of a river (say, at the foot of an area of denuded land), because the other side can often serve as a water-collision front it is necessary to be aware of the situation on the other side.

### **3.6 Anti-erosion works**

See Chapter 3–2, section 2, 2.6.

### 3.7 Sand-retarding basin works

Sand-retarding basin works are facilities used to widen part of a river by excavation and to thereby accumulate debris to control transported sediment. They should be commonly established on the downstream side of the exit of a valley, where space for the accumulated sediment can be ensured. On the other hand, although they should be arranged with an erosion control dike on the upstream side and a consolidation work on the downstream side, they should be combined properly with low water channels, training levees, erosion control greenbelts, etc.

#### Explanation

When woody debris threatens to flow out from sand-retarding basin works, it is necessary to consider installing a woody debris control facility, for example, by adding a riverbed girdle at a dam downstream to catch woody debris.

Notwithstanding the debris flow control function of debris-exclusion works, such works are generally referred to as sand-catching works.

### 3.8 Torrent-preservation works

See Chapter 3-2, section 2, 27.

### 3.9 Training dikes

The training dike is a facility for safely training debris flow in its lower reach to prevent it from directly hitting the preservation target in time of flood. In the absence of a training dike, the debris flow is usually caught and accumulates upstream of the preservation target. Under more difficult topographical conditions, however, a training dike should be established to provide a space that allows the debris flow to safely accumulate in its lower reach. Initially, establish an erosion control dike or sand-retarding basin works to catch the debris flow. Subsequently, establish the training dike as an addition to the previously built facility. In principle this is done by excavation, in order to train the debris flow into the space in which it can be safely accumulated.

Additionally, when the site conditions make it difficult to excavate, a training levee can be established to control the direction of debris flow and safely train the debris downstream.

#### Explanation

Planning of the training dike must include an examination of the grain size of the discharged sediment in case the debris accumulates within the training dike, causing overflow or flooding.

On the other hand, when the design debris flow can be sufficiently treated on the upstream side of the planned facility, normal torrent-preservation works should be planned.

## **Section 4 Planning the Installation of Woody Debris Control Facilities**

### **4.1 Overview**

The plan for the installation of woody debris control facilities, which is based on the woody debris control plan, should properly locate woody debris control facilities in accordance with the planned amount of woody debris. The plan should also consider woody debris behavior, corresponding to debris occurrence and its flow morphology, in the section in which woody debris is formed in response to variations in sediment yield and transport.

Facilities are roughly divided into woody debris occurrence control facilities that are intended to prevent the occurrence of woody debris and woody debris trapping facilities that are intended to trap woody debris into torrents so that it cannot flow out to their lower reaches.

Additionally, the woody debris control facilities should be consistent with any erosion and sediment works installed as part of the plan for the installation of sediment yield control facilities or the plan for the installation of sediment transport control facilities.

### **4.2 Woodendebris control facilities**

#### **4.2.1 Facilities for the control of wooden debris**

Facilities for the control of wooden debris should control and reduce the wooden debris that occurs with sediment by protecting hillsides, riversides, and riverbeds to prevent sediment yields. They should be sited in areas that are potential sources of sediment and wooden debris.

#### **Explanation**

Facilities for controlling wooden debris should include hillside preservation works established in areas likely to produce wooden debris and sediment, such as on denuded lands; hillside preservation works, erosion control dikes, consolidation works, and anti-erosion works established in stretches of the river where debris flow occurs; and torrent-preservation works and anti-erosion works located in stretches thorough which mainly river debris is transported by traction.

#### **4.2.2 Wooden debris trapping facilities**

Wooden debris trapping facilities to hold woody debris flowing with the sediment should be established on hillside slopes where fallen trees have accumulated or in torrents where debris and wooden debris flow downstream. Additionally, in the actual preparation of a wooden debris trapping facility, consideration must be given to the fact that the trapping function in the debris-flow stretch of the river will differs from that in the traction stretch.

#### **Explanation**

Wooden debris trapping facilities catch debris and wooden debris in one lump in the debris flow stretch but separately in the traction stretch.

Facilities for trapping wooden debris include log boom works established on hillsides to prevent fallen trees

accumulated on the hillsides from entering torrents; erosion control dikes and partly permeable erosion control dikes established in the debris flow stretch; sub-partly permeable erosion control dikes of the impermeable erosion control dikes established in the traction stretch; and log boom works and permeable erosion control dikes placed in the lower reaches of sand-retarding basin works.

## **Section 5 Planning Volcanic Sediment and Erosion Control Facilities**

### **5.1 Overview**

Planning for the installation of volcanic sediment and erosion control facilities, which is done on the basis of the volcanic sediment and erosion control plan, should be aimed at preventing and alleviating sediment disasters caused by rainfall and volcanic action in volcanic sediment and erosion areas. The planning should specify the work type, work method, and facility scale of the design facility, considering the characteristics of the sediment movement phenomenon and the regional plan of the target site.

In terms of the debris flow caused by rainfall etc., installation of facilities should be prepared according to the plan for installation of sediment yield control facilities (see section 2 of this chapter), the plan for installation of sediment transport control facilities (section 3), and the plan for installation of wooden debris control facilities (section 4).

A plan for the installation of volcanic mudflow control facilities should be prepared to deal with volcanic mudflow directly caused by volcanic action. At the time of an eruption, when emergency measures must be taken, it may be necessary to use an unmanned construction system to install debris-exclusion works (such as an erosion control dike, a training dike, or a sand-retarding basin) that will remove the debris within the sediment area.

### **Explanation**

Facilities for the control of volcanic sediments and erosion are planned according to the volcanic sediment and erosion plan and include erosion control dikes, torrent-preservation works, consolidation works, riverbed girdles, anti-erosion works, sand-retarding basin works, and hillside works.

In terms of the targeted lava flows and pyroclastic flows, the actual planning should combine properly, if necessary, some volcanic sediment and erosion control facilities, which should individually have such functions as runoff control and training. The decision to make these inclusions will be made on the basis of the scale of the phenomenon, the efficacy of the artificial controls, and cost effectiveness.

### **5.2 Planning for volcanic mudflow control facilities**

Installation of volcanic mudflow control facilities should be planned for the stretch where volcanic mudflow is likely to flow down and accumulate. In the actual planning, some of the following facilities should be properly combined: sediment yield control facilities that prevent volcanic mudflows from developing through erosion; sediment transport control facilities that trap discharged debris or reduce peak flow amounts; and other facilities that function in training and wooden debris trapping.

Additionally, the plan for installation of volcanic mudflow control facilities should be set up in accordance with

the plan for the installation of sediment yield control facilities (section 2 of this chapter), the plan for the installation of sediment yield control facilities (section 3), and the plan for the installation of wooden debris control facilities (section 4).

### **5.3 Planning for lava flow control facilities**

The plan for the installation of lava flow control facilities should be established according to the scale of the lava flow, the efficacy of any artificial controls, and cost effectiveness. If necessary, it should properly combine some of the sediment and erosion control facilities that function in runoff control, flow direction control, and training of lava flows.

#### **Explanation**

Runoff control of lava flow means the reduction of the amount of lava flow through the storage of lava.

Thus, it is necessary to prepare an erosion control dike that is intended to store lava flows in the river adjacent to the section where the lava flow discharges. On the other hand, a sand-retarding basin should be prepared in the stretch where the lava flow is discharged and accumulates.

Lava flow direction control involves artificially controlling the flow direction of the lava. Additionally, lava flow training involves directing lava flows to areas that is far enough not to pose any danger to local residents. For flow direction control and training, training dikes are the main items referred to.

## Chapter 3–3 Planning Landslide Control Facilities

### Section 1 General Information

The plan for the installation of landslide control facilities should specify the installation of landslide control facilities for the purpose of maintaining security against disasters due to landslides. It should be based on the landslide control plan.

#### Explanation

Landslides often consist of separate movement blocks that interact with each other. In planning the installation of landslide control facilities, it is necessary to prioritize measures taken to deal with each block in accordance with the movement range and stability of the block; the interaction among blocks; and the position and importance of the preservation target as the situation demands. It is also necessary to efficiently improve the stability of the target landslide area.

Since the preliminary survey cannot always show the whole picture of the landslide, the project can be reviewed by gathering further information whenever necessary.

### Section 2 Planning Landslide Control Facilities

#### 2.1 Basis of planning landslide control facilities

The plan for the installation of landslide control facilities should specify the proper installation of each facility to prevent landslide disasters, while considering the effect of each facility in accordance with the scale, occurrence, and mechanism of the landslides.

#### Explanation

The scale of the plan for the installation of landslide control facilities, which is generally shown by the design safety factor, is calculated by stability analysis of every unit of the movement blocks, which are supposedly moving as one. In the actual determination of design safety factors, it is necessary to comprehensively consider the phenomenon and scale of the landslide, the significance of the preservation target, the level of the disaster that will supposedly be caused by the landslide, the level of emergency created by the landslide, and so on. In many cases, however, design safety factors are calculated on the basis of the present safety factors that are assumed in accordance with the movement state of the landslide. Thus, it must be noted that the design safety factors represent only those safety factors that have been improved by prevention works and do not always represent the stability of the slope after construction.

The stability analysis can be used to determine the scale of the landslide control facility through the proper procedures, in accordance with the characteristics of the landslide (plane shape, slide plane shape, movement state).

#### 2.2 Selection of construction methods

In the plan for the installation of landslide control facilities, construction methods should be chosen in

consideration of the scale, occurrence, and movement mechanism of landslide, the situation of the preservation target, and the economic efficiency of the method. The plan should properly combine control works and prevention works.

### **Explanation**

A landslide is a complex phenomenon caused by a combination of causative factors such as topography, geology, soil properties, etc., and by inducements such as climatic conditions (e.g. rainfall and thaw), ground water conditions, artificial works such as cutting, earthquakes, etc. Thus, an effective plan for the installation of landslide control facilities should perform an adequate preliminary survey and show the cause, mechanism, and occurrence of the landslide, the type of preservation target, and the positional relationship between the landslide and the preservation target. From this information, the plan should, in turn, select the proper prevention works and specify the installation of the facilities in the proper positions. Especially, in the actual selection of construction methods, the plan should sufficiently consider the relationship between rainfall, ground water, and landslide movement; the scale of the landslide; the properties of the landslide soil clods; the velocity of the landslide, block section; the position of the control work; and the emergency control method. The timing of execution of the chosen works should be judged from the occurrence mechanism and movement state of the landslide, the level of influence of artificial inducements, etc.

Landslide control facilities are divided into control works and prevention works:

1. Control works aim to mitigate or stop the landslide movement by changing natural conditions such as the landslide topography and groundwater situation.
2. Prevention works aim to stop landslide movement by using the resisting power of the established construction.

In the actual selection of control works and prevention works, the following points must be noted:

1. In planning, the characteristics of both control works and prevention works should be reasonably combined.
2. The main construction methods should be groundwater drainage works, landing embankment works, and earth removal works. When stabilization of movement blocks is attempted to directly protect houses or public facilities, pile works or anchor works should be introduced.
3. If landslide movement continues, in principle, control works should not be conducted in advance of prevention works. In other words, they should never be introduced until prevention works have mitigated or stopped the landslide.

### **Section 3 Control works**

The control work plan should be prepared in such a way that landslide movement can be effectively controlled by changing the natural conditions such as the topography, geology, and groundwater.

## **Explanation**

The control works include surface water drainage works, groundwater drainage works, earth removal works, loading embankment works, and erosion control works through river-related constructions. On the basis of the characteristics of each work, the plan should also designate the optimum site and number of facilities needed to control the landslide.

1. Surface drainage works (channel works and infiltration control works)

These works aim to prevent landslides from being induced by infiltration and re-infiltration within or outside the landslide area, for example by rainfall, surface water, and water from wells, ponds or channels.

2. Shallow groundwater drainage works (closed conduits, open conduits, horizontal drilling works)

These works drain shallow ground water to prevent it from being supplied near the slide surface of a slope.

3. Deep groundwater drainage works (side-boring works, drainage-well works, drainage tunnel works)

These works drain deep ground water to reduce the pore water pressure (groundwater level) near the slide surface.

4. Earth removal works (cutting works)

In principle, these works are set up at the head of the landslide to decrease the ability of the land to slip off (landslide sliding power). It is necessary to pay attention to changes in topographic conditions so as not to induce further landslides.

5. Loading embankment works

In principle, these works should be set up at the end of the landslide to increase resistance to landslide sliding power. As in the case of earth removal works, it is necessary to be careful of changes in the topographic conditions that could induce further landslides.

6. Erosion control works through river-related constructions (slope protection works, erosion control dikes, and anti-erosion works)

These works are aimed at preventing the erosion and collapse that may result from the presence of running water (e.g. river water or rainwater) and may induce landslides. The sediments trapped by the erosion control dikes established in the stretch of river just beneath a landslide site can prevent erosion and collapse at the landslide end. The effect is expected to be the same as that of loading embankment works.

## **Section 4 Prevention works**

Prevention works should include structures that are safe against sliding force so that landslides can be prevented by their resisting power. They should be planned to have sufficient effects on clod movement.

## **Explanation**

Prevention works include pile works, shaft works, and anchor works. It is necessary to estimate the proper positioning and number of these works to prevent a landslide, on the basis of the characteristics of each type of works.

1. Pile works

These works aim to directly resist the sliding force of the landslide through the added shear resistance and bending resistance of the piles, which are inserted into unmoved ground.

2. Shaft works (caisson pile method)

Shaft works involve the excavation of a shaft 2.5 to 6.5 m in diameter that reaches a solid foundation. The concrete pile is then poured in place. When it is difficult to ensure the designated design safety factor because the landslide has a large amount of sliding force but there is a good solid foundation, these works should be set up.

3. Anchor works

Taking advantage of the tenacity of steel materials fixed within the unmoved ground, these works aim to resist the sliding power of a landslide. They should be set up in a position in which their detaining and/or fastening effects can be produced effectively.

## **Chapter 3–4 Planning for Steep Slope Failure Prevention Facilities**

### **Section 1 General Information**

The plan for the installation of steep slope failure prevention facilities should be set up on the basis of the steep slope failure prevention facility plan, for the purpose of ensuring security against disasters caused by steep slope failure.

#### **Explanation**

Steep slope failure prevention works are classified into construction works that are conducted directly on slopes to improve their degree of safety and construction works that attempt to mitigate disasters in the prevention target, preventing or reducing the debris produced at the target even if slope collapse has occurred.

## Section 2 Planning Steep Slope Failure Prevention Facilities

### 2.1 Basis of planning steep slope failure prevention facilities

The plan for the installation of steep slope failure prevention facilities should be properly arranged in accordance with the scale and phenomenon of the estimated collapse, for the purpose of preventing the damage that could be caused by failure.

#### Explanation

To improve the degree of safety of slopes by using steep slope failure prevention facilities, it is necessary to remove unstable clods and decrease the force of collapse or sliding, or to add resistance to collapse or sliding.

Examination of the slope stability level is the most fundamental factor in estimating collapse forms and designing control works. In the actual evaluation of slope safety level, the following items must be noted:

1. Examination of the degree of safety, based on comparisons with conventional experience, the actual conditions of the slope, and the standard slope gradient
2. Examination on the basis of anticipated collapse forms by on-site surveys
3. Examination of safety levels by stability analyses

The design safety factor determined by stability analysis is based on in the content of Chapter 3–3, section 2, “Planning the Installation of Landslide Prevention Facilities.”

To prevent and mitigate sediment access to the prevention target, debris that has fallen from slopes needs to be received safely and surely.

It is necessary to keep a close watch on the condition of the foundations during construction. If phenomena unexpected in the planning phase occur, measures to change the initial planning must be instituted promptly as the occasion demands.

Any countermeasure works performed in the construction stage should be done in such a way as to not conspicuously decrease the degree of safety.

### 2.2 Selection of construction methods

The plan for the installation of steep slope failure control facilities should consider the causes, forms, and scales of the anticipated collapses, the situations of the prevention targets, and the economic efficiency of the works. The plan should properly combine construction methods to control slope collapse or sliding by changing natural conditions such as the topography, geology, and groundwater state at the site, and construction methods to prevent collapse by providing resistance from structural objects.

#### Explanation

In the selection of control methods, various work types should be combined as the occasion demands. When conditions such as the topography, geology, and types of housing in a series of sites are not identical, it is necessary to select a construction method suitable to each of the characteristics of the section, even if the section

is short, while sufficiently considering the aspects of the slope.

In particular, because slopes requiring such steep slope failure prevention works are often near houses, the planning should not just ensure the security and durability of the work sufficiently, but should also consider harmony with the surrounding environment.

## Chapter 3–5 Planning for Avalanche Control Facilities

### Section 1 General Information

The plan for the installation of avalanche control facilities should specify the installation of avalanche control facilities in such a way as to ensure security against avalanche disasters. It should be based on the avalanche control plan.

#### Explanation

Avalanche control facilities are classified into prevention works to establish structural objects within the section of avalanche occurrence to prevent the occurrence of avalanches, and protection works that establish objects in the avalanche path or in sedimentary areas to protect the preservation targets from avalanches that have just occurred.

The plan for the installation of avalanche control facilities should consider the effects of each facility and specify its proper installation properly.

### Section 2 Planning for Avalanche Control Facilities

#### 2.1 Basis of the plan for avalanche control facilities

In planning for the installation of avalanche control facilities, the facilities should be properly arranged to prevent disasters caused by avalanches, in accordance with the anticipated scales and characteristics of the avalanches.

#### Explanation

For avalanche control facilities to prevent the occurrence of avalanches, resistance to deposited snow movement (creeping or gliding) on a slope should be added.

In order to prevent avalanches from reaching the prevention targets, it is necessary to divide the energy of an avalanche that has occurred, dissipate its speed, guide its direction, and finally stop it.

The actual planning should consider the effects of each facility in accordance with the type, occurrence site, and reach-range of the anticipated avalanche, on the basis of the results of preliminary surveys. The aim is to make each facility suitable to the situation of the preservation target.

#### 2.2 Selection of construction methods

The plan for the installation of avalanche control facilities should select construction methods in consideration of the causes, form, and scale of the avalanches, the situation of the preservation target, the economic efficiency of the method, etc. It should properly combine prevention works and protection works.

#### Explanation

Prevention works are classified into occurrence prevention works that prevent deposited-snow movement phenomena (creeping or gliding) from starting on a slope, and snow cornice prevention works that prevent the

formation of the snow cornices that causes avalanches.

In turn, the protection works are classified into interception works that intercept the avalanche on its way to the prevention target, energy dissipation works that disperse the energy of the avalanche to reduce its speed, and guidance works that change the course of the avalanche.

In installing avalanche control facilities, proper construction methods should be chosen in consideration of security, durability, installation possibility, and the surrounding environment, in accordance with the types, occurrence areas, and reach-ranges of anticipated avalanches and on the basis of the results of previous research.

In the actual selection of avalanche control facilities, although priority should be given to the examination of prevention works that prevent avalanches from occurring just in the occurrence areas, other methods can be combined as the occasion demands.

In the actual planning of the installation of avalanche control facilities, it is desirable to consider the surrounding environment in terms of environmental measures, landscape measures, and so on.

### **2.3 Prevention works**

Prevention works should be set up in occurrence areas to prevent avalanches from occurring.

### **2.4 Protection works**

Protection works should be set up in avalanche paths or sediment areas to protect the preservation targets from avalanches that have just occurred.

## **Chapter 3–6 Planning Comprehensive Sediment Disaster Control Facilities**

### **Section 1 Basis of Planning for Comprehensive Sediment Disaster Control Facilities**

The plan for the installation of comprehensive sediment disaster control facilities should determine the proper location of sediment and erosion facilities, landslide prevention facilities, steep slope failure prevention facilities, etc. It should be based on the comprehensive sediment disaster control plan and should be aimed at preventing and reducing sediment disasters that may convergently occur.

### **Section 2 Basis of Planning for Urbanized Foothill Greenbelt Facilities**

In the plan for the installation of urbanized piedmont greenbelt facilities, sediment and erosion facilities, landslide prevention facilities, steep slope failure control facilities, etc. should be properly located to promote the development and conservation of trees as per urbanized piedmont greenbelt development projects.

#### **Explanation**

As prescribed by urbanized piedmont greenbelt development projects, the plan for the installation of urbanized piedmont greenbelt facilities should determine the conservation guidelines that will be used to control the development of the greenbelt by law. The plan should prevent the greenbelt from being neglected and should maintain healthy trees, specifying items associated with the development of erosion control facilities and facilities for sediment yield and transport control. These will include hillside works and erosion control dikes, landslide prevention facilities, and steep slope failure control facilities. In the actual installation, it will be necessary to make a point of maintaining healthy trees above all else.

Facilities must be located in harmony with the conditions of the environment and landscape that are prescribed by urbanized piedmont greenbelt development projects.

## Chapter 4 Planning Coastal Protection Facilities

### Section 1 General Description

Coastal protection facility plans should specify the type, scale, and arrangement of coastal protection facilities in the seacoast preservation project.

Planning for the installation of coastal protection facilities must meet the three purposes of coastal protection, coastal environment development and protection, and proper coastal utilization by the public.

In coastal protection facility installation planning, it is essential to consider the continuity of the seaside in its coastal direction from the viewpoint of comprehensive sediment control, making the seaside fulfill its functions of coastal protection, environmental conservation, and utilization.

#### Explanation

The facilities, which are classified into “erosion control facilities,” “storm surge protection facilities,” and “seismic protection facilities” for the purposes of description, must be comprehensively considered in coastal protection facility planning, because erosion, storm surges, and seismic disasters can be intricately intertwined with each other on some coasts.

Because conventional coastal protection facilities have placed stress on disaster-prevention measures, sea embankments have sometimes prevented access to the seaside, gentle slopes built to ensure access have sometimes promoted the disappearance of coastal vegetation, and detached breakwaters or wave-dissipation works have sometimes spoiled coastal landscapes.

In planning for the installation of coastal protection facilities, coastal protection, environmental conservation, and utilization must be harmonized in respect of the coastal environment and utilization in the seacoast preservation project. It is therefore necessary to consider the continuity of the seaside in a coastal direction from the viewpoint of comprehensive sediment control.

Above all, sandy beaches function in disaster prevention by mitigating external wave forces and preventing waves from entering continental areas. Additionally, since it promotes seawater purification by its zoobenthos or aeration action, which provides good habitats for living creatures, beach sand is also considered an important factor in terms of the coastal environment. Planning for the installation of coastal protection facilities must preserve and utilize natural beaches and not damage their functions.

### Section 2 Erosion Control Facilities, etc.

#### 2.1 Overview

Erosion control facility arrangement planning should determine the allocation between sediment transport control facilities and beach nourishment in order to ensure the parameters of the design beach profile and maintain them in the long term, while specifying the fundamental conditions for a sediment control facility to fulfill its required sediment transport control function.

#### Explanation

Erosion control aims to ensure the parameters of the design beach profile and maintain them in the long run. In projects for the installation of coastal erosion control facilities, etc., sediment transport control facilities aim to control sediment transport rates and beach nourishment for the purpose of ensuring the design coastal form and supply sediment inflow, which is insufficient compared with the sediment discharge.

This section will specify the conditions needed to select the most suitable combination of ideas about the allocation between sediment transport control facilities and beach nourishment and for the facilities to fulfill their anticipated functions.

The conditions required for the stability of sediment transport facilities, etc. will be specified in the calculation section.

## **2.2 Allocation between sediment transport facilities and beach nourishment**

The allocation between sediment transport facilities and beach nourishment should be specified by considering the economic efficiency, environment, and usage properties, the wave extinguishing effect, and the feasibility of seaside preservation after taking measures to rectify or mitigate the discontinuity or change of sediment dynamics.

### **Explanation**

In specifying the allocation between sediment transport facilities and beach nourishment, it is necessary to determine future sediment dynamics. To do this, the cooperation of relevant organizations and bodies across the basin and coast beyond the jurisdiction of each coastal manager is required.

To examine the approaches to improving sediment dynamics with the relevant organizations and bodies, it is necessary to elucidate a grain size group and the amount of sediment supplied from rivers on the basis of past sediment transport rates and the texture of the bed materials when stable. When the amount of sediment supplied from a river has decreased because of gravel quarrying, river bed excavation, and sediment flow prevention by a dam or erosion control dike, measures should be considered to ensure an adequate amount of sediment is supplied to the coastal system from the perspective of consistent management of the entire sedimentary system.

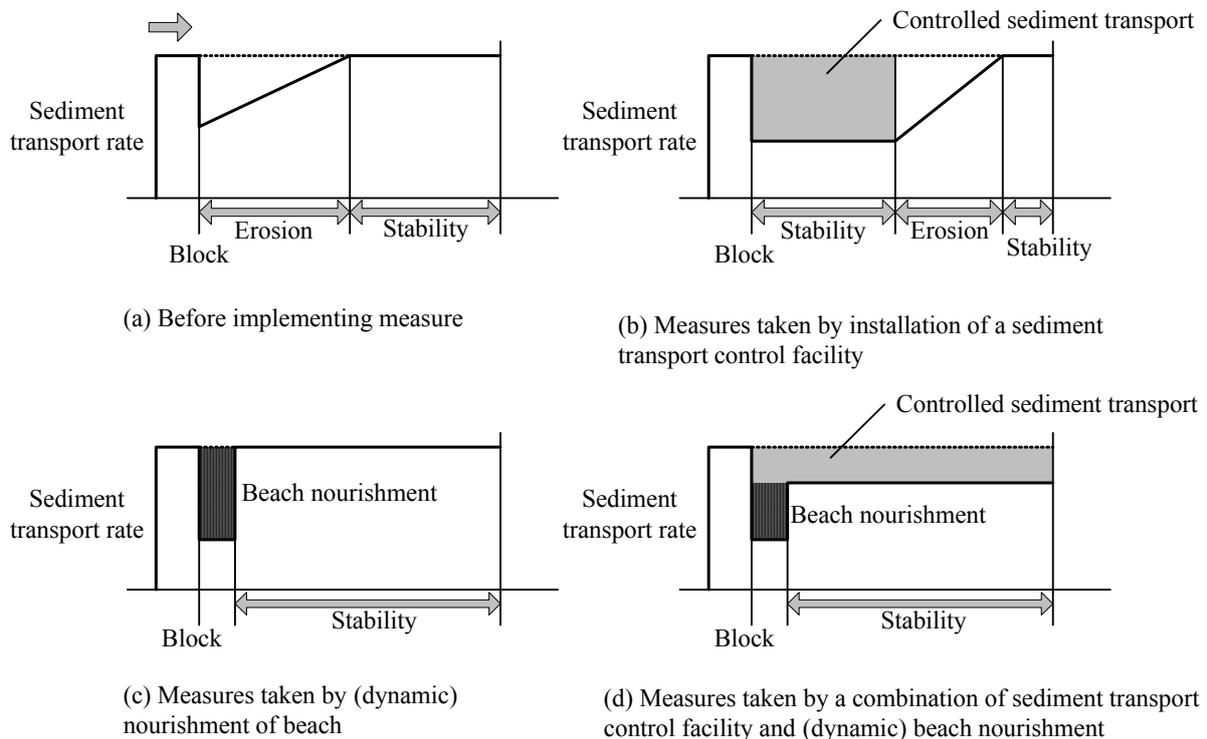
When the continuity of coastal drift is hindered by coastal structures such as jetties, breakwaters, and landfill sites, it is necessary to consider sand bypasses etc. When coastal erosion is caused by gravel quarrying within a sediment transport system, the coastal gravel-quarrying site should be relocated to a marine area or at the terminal end of the sediment transport system. On the other hand, because sediment of a particular grain size group dredged from channels and composing the shoreline has fundamentally the same effects on the seaside as the gravel from quarrying in the sea, it should be considered reusable as a material for beach nourishment.

When coastal erosion is caused by coastal landforms such as submarine canyons, social infrastructure such as existing coastal structures, and works such as gravel quarrying that were conducted in the past, the estimated increase in the amount of sediment as a result of the improvement of sediment dynamics cannot make up for the sediment shortage and therefore sometimes cannot maintain the parameters of the design beach profile. In this case, the design beach profile should be maintained by the combination of sediment transport control facilities and beach nourishment.

Figure 4-1 shows the sediment transport rate distribution with a combination of sediment transport control

facilities and beach nourishment. In plan (a), the sediment transport, which originally flows from left to right without imbalance (with the sediment transport rate leveling off), is blocked by a coastal structure. As a result, on the downstream side the sediment transport rate is decreased and imbalance and erosion are caused. In plan (b), the sediment transport rate is decreased by the presence of the sediment transport control facility and so can be equivalent to a reduced sediment transport rate. In this case, since the imbalance of sediment transport rate occurs downstream of the section where development of the sediment transport control facility occurs, the whole sediment transport system will have to be controlled by a sediment transport facility in the future. In plan (c), a reduced sediment transport rate is ensured by (dynamic) beach nourishment. In plan (d), a sediment transport facility is combined with (dynamic) beach nourishment, and its facility scale can be smaller than in (b) and its beach nourishment rate less than in (c). With various options like these, the combination balance between sediment transport facilities and beach nourishment that is suitable for the characteristics of the design areas should be specified in accordance with the lifecycle cost of the sediment transport facilities, the maintenance cost of the beach nourishment, and the characteristics of the preserved beach.

When the parameters of the design beach profile cannot be temporarily maintained during the design period, or when the sediment transport rate cannot reach the equilibrium state at the last point in time of the design period, the temporal improvement of sediment dynamics by (dynamic) beach nourishment should be also considered.



**Fig.4-1 Conceptual diagram of sediment transport distribution by the pattern of measures against beach erosion**

## 2.3 Sediment transport control facilities

### 2.3.1 Selection of facilities

The sediment transport control facilities should be selected on the basis of their required performances in sediment transport control; their effects on the coastal environment or utilization; their economic efficiency; and the constraints of construction, etc.

### **Explanation**

Sediment transport control facilities are detached breakwaters, artificial reefs, and headlands (including jetties). Sediment transport control systems such as detached breakwaters or artificial reefs should dissipate the waves, cause diffraction of waves from the opening, and control the coastal sediment transport rate via the resulting tombolos that form from the effects of dissipated or diffract waves. However, it is necessary to pay attention to the decreased rate of transport of the sediment flowing into the lower reaches and the movement of sediment from the surrounding coast to the back of any detached breakwater due to the waves diffracted at its edge. The sediment transport control system of a headland should transform the beach surrounded by a headland into a stabilized shape in which the sediment transport rate can be proportioned to the inflow sediment rate, consequently controlling the shoreline regression rate. The jetty is an auxiliary work to control seasonal shoreline changes.

The detached breakwater, the artificial reef landscape, and the coastal environment and utilization will be described in “Explanation” in Section 3.4 “Wave-dissipation facilities.” The establishment of headlands may transform a straight beachline into a bow-shaped one, although headlands, established with a wide interval between them, have few effects on the landscape. Additionally, since the headland itself is likely to be a large construction, sufficient consideration must be given to its establishment.

In terms of economic efficiency, as evaluated only by the initial construction cost, headlands are generally the most cost-efficient on a long beach, as are detached breakwaters on a pocket beach (a bow-shaped beach whose sediment balance is closed because the ends are surrounded by capes etc. that prevent coastal drift sands from passing through them) or a comparatively short beach. In the evaluation of economic efficiency, headlands need to be compared in terms of not only other construction forms with the same functions of coastal protection, environmental conservation, and utilization, but also their lifecycle costs. Thus, it is not appropriate to evaluate them by only each construction cost at the initial stage.

Their constraints of construction depend on their foundations or the wave conditions. On poor ground or homogeneous fine sand ground, the foundations need to be taken as far as the detached breakwater, whose dam site weighs a great amount.

### **2.3.2 Detached breakwaters**

The plane configuration, dam crest height, and structure of the detached breakwater should be designed in consideration of beach nourishment. The parameters of the design beach profile should be ensured.

In planning a detached breakwater, it is necessary to consider the coastal environment and utilization.

### **Explanation**

Detached breakwaters are classified into continuous levees and discontinuous ones by their landforms and into

permeable levees and impermeable ones by their permeability to waves. Generally, impermeable and discontinuous levees with easy construction constraints are often used in expectation that a tombolo can be formed behind them. With continuous levees the formation of a tombolo cannot be expected; nor can dissipation of the coastal sediment transport rate by shoreline changes. Additionally, with continuous levees, it is necessary to pay attention to the deterioration in water quality on the landward side due to lack of seawater exchange. Impermeable levees are used when a wave-extinguishing effect higher than that achieved with permeable levees is required.

The sediment transport control effect of a detached breakwater depends on structural parameters such as the height and width of the dam crest, the depth of execution, the width of the opening, the distance from the shore, etc.

In the actual examination, the *Design Manual for Detached Breakwaters* should be referred to.

### 2.3.3 Artificial reefs

The plane configuration and dam crest depth of an artificial reef should be designed in such a way that the parameters of the design beach profile can be maintained, taking into account beach nourishment.

In designing the reef, its effects on the coastal environment and its utilization should be considered.

#### Explanation

The plane configuration and dam crest depth of an artificial reef should be determined in anticipation of its effect in decreasing the sediment transport rate by making circulation flow behind it, as well as its effect in decreasing wave action.

The effect in decreasing sediment transport rate by decreasing wave effects is the same in the case of a detached breakwater. The wave decreasing effect can be specified by the width and depth of the dam crest. To make water circulation flow behind an artificial reef, it is necessary to pay attention to the balance in the proportions of the opening width, the dam length, and the distance from the shore. In order to widen the opening, it is necessary to ensure the powerful effect of extinguishing waves (making the dam crest depth shallow). For the resulting sediment transport control effect to be evaluated, a 3-D model for beach profile change, by which the changes in flow conditions can be considered, or a model for shoreline profile change, by which wave changes can be properly considered, can be used. However, it is desirable to regulate and properly arrange the opening or levee width in accordance with the shoreline changes at the site.

In the actual examination, refer to the *Design Manual for Artificial Reefs*.

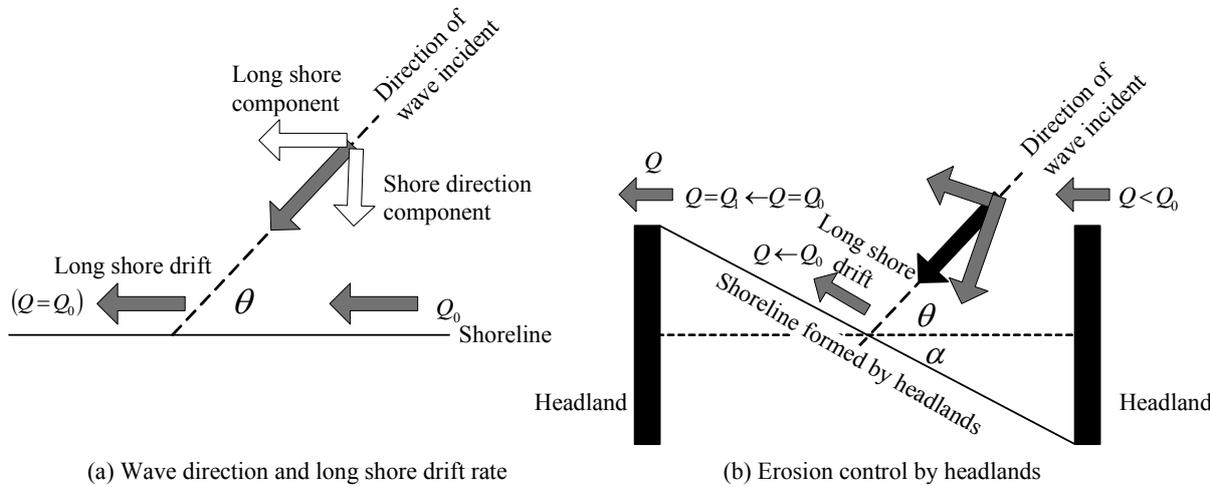
### 2.3.4 Headlands

The plane configuration and dam crest height of a headland should be designed so that the parameters of the design beach profile can be maintained, on the basis of beach nourishment.

In the actual design, the coastal environment and its utilization should be considered.

#### Explanation

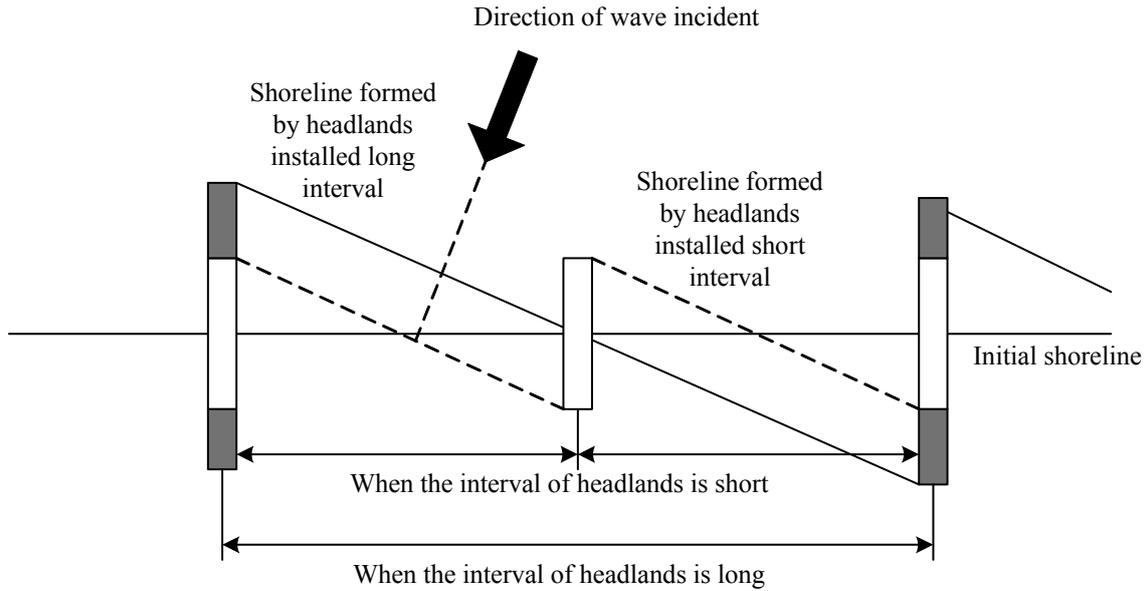
Figure 4-2 shows the sediment transport control mechanism of a headland. The longshore direction component among wave energy fluxes contributes to the longshore sediment transport rate. Under natural conditions, the shoreline is stabilized because the inflowing sediment transport rate  $Q_0$  is balanced with the transport sediment rate  $Q$ , which is determined at the relevant site (refer to Fig. 4-2 (a)). On such a beach, when the inflowing sediment transport rate decreases from  $Q_0$  to  $Q$ , headland works are introduced as a countermeasure. In other words, the headland works incline the shoreline by  $\alpha$  to decrease the sediment transport rate  $Q$  that occurs at the site from  $Q_0$  to  $Q_1$  and balance it with the inflowing sediment transport rate  $Q_1$  (Fig. 4-2(b)). Since a long beach, divided by headlands, has a stable profile in each section, the shoreline regression rate can be dispersed and its maximum value made smaller.



**Fig.4-2 Mechanism of long shore drift control by headlands**

The sediment transport control effect of a headland is determined by the dam length and dam crest length of the headland. Figure 4-3 shows the relationships among the dam lengths of the headland, its execution interval, the shoreline position at the upper side of sediment transport between the headlands, and the shoreline profile formed between the headlands, when no (static) beach nourishment is introduced. When no (static) beach nourishment is introduced, since sediment movement occurs between headlands, the shoreline is retrograded at the upper side of sediment transport. The larger the permissible regression width is (i.e. the wider the space of the design beach profile compared with the present one), the wider the interval between the headlands and the longer the dam length. On the other hand, when the shoreline cannot meet the parameters of the design beach profile with a certain interval between the headlands, the necessary dam length of a headland and the parameters of the design beach profile should be ensured by beach nourishment.

In many cases, the height of the dam crest of a headland commonly serves as the backshore height. The side-dam site of a headland, which prevents the longshore current that occurs between headlands from changing into an off-shore current and consequently prevents sediment from running off, forms an interception to mitigate fluctuation of the shoreline. A small jetty is sometimes adopted as an auxiliary work to control a local fluctuation of shoreline.



**Fig.4-3 Headland interval and shoreline regression rate**

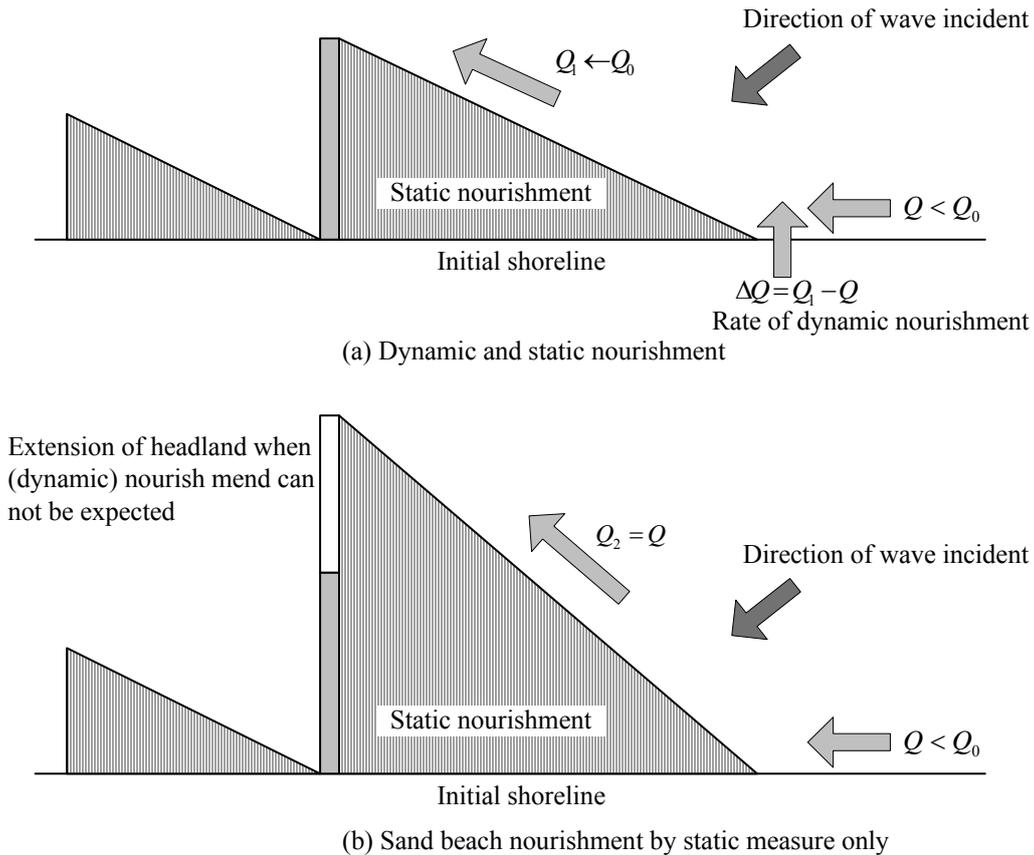
## 2.4 Beach nourishment

Beach nourishment is classified into the (static) beach nourishment that is necessary to ensure the parameters of the design beach profile and the (dynamic) beach nourishment that is necessary to maintain the parameters of the design beach profile in consideration of the effects of the sediment transport facilities.

In the actual planning for beach nourishment, its effects on coastal protection, environmental conservation, and utilization should be considered.

### Explanation

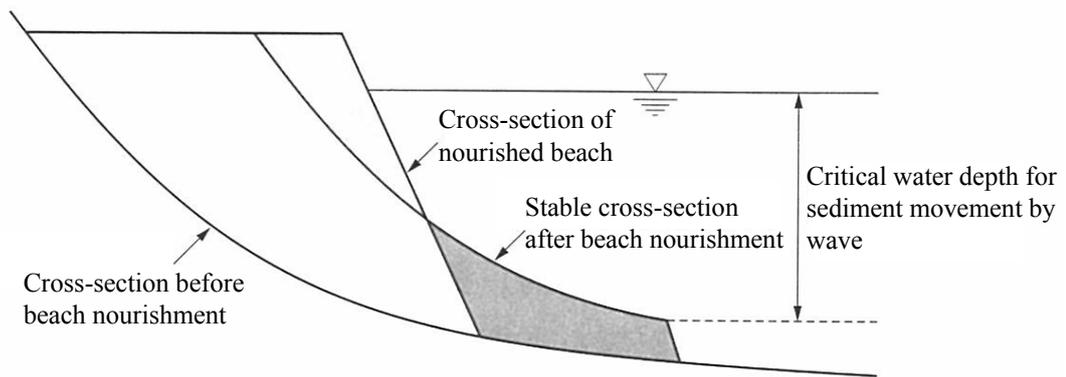
Beach nourishment is classified into (static) beach nourishment to ensure the parameters of the design beach profile and (dynamic) beach nourishment to increase the inflow sediment rate and maintain the design beach profile. For example, in terms of headlands, (static) beach nourishment is the one that is needed initially to ensure the shoreline profile of the design beach profile at the upper side of a headland (Fig. 4-4 (a)). The inflow sediment transport rate that is needed to maintain this shoreline profile is decreased from the sediment rate  $Q_0$ , necessary for the initial shoreline, to  $Q_1$ . On the other hand, (dynamic) beach nourishment should continuously make up the difference,  $\Delta Q = Q_1 - Q$ , between the inflow sediment transport rate  $Q$  and the longshore sediment transport  $Q_1$  that is required to maintain the shoreline profile on the upper side of a headland. Moreover, if the shoreline profile of the design beach profile is formed by headlands and by (static) beach nourishment in such a way that the sediment transport rate is  $Q_2 = Q$  (Fig. 4-4(b)), then (dynamic) beach nourishment will become unnecessary.



**Fig.4-4 (Static) and (Dynamic) sand beach nourishment**

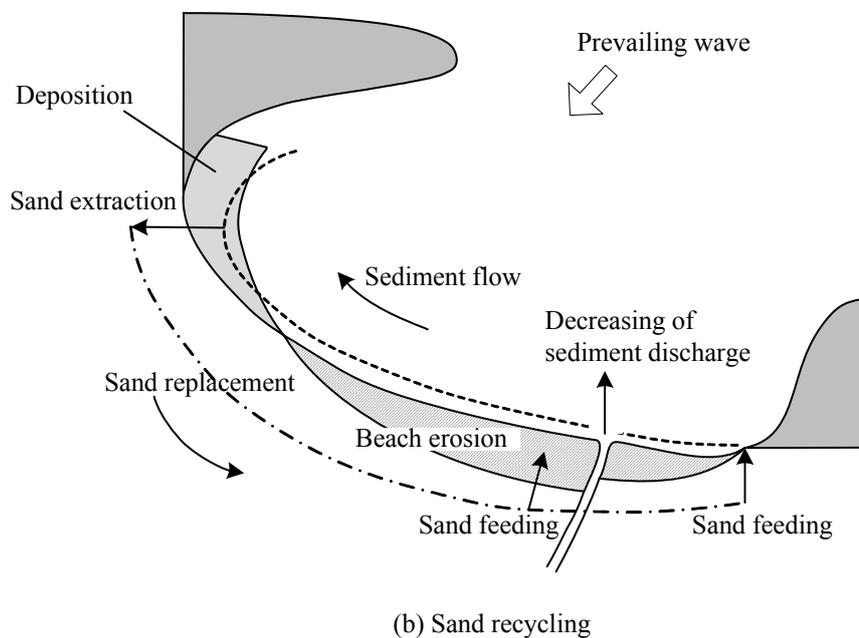
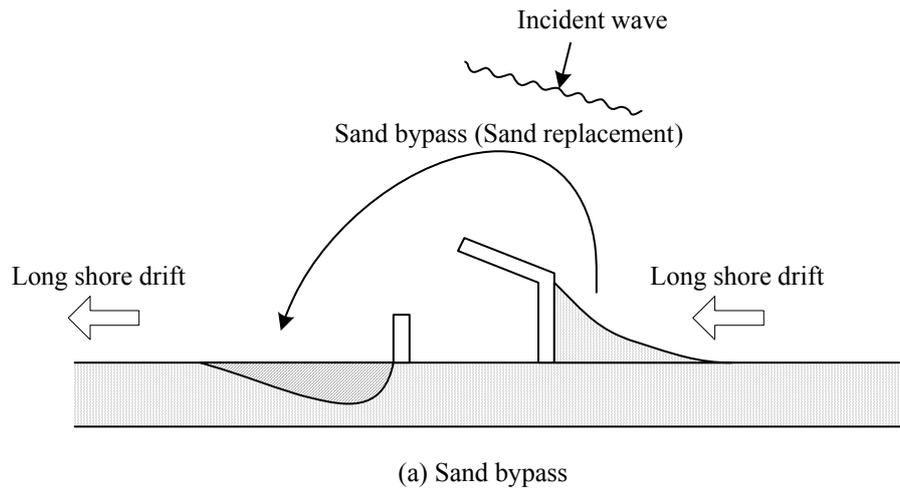
The sand-grain diameter of the materials for beach nourishment should be as large as, or a little larger than, that of the sand that is native to the site. After beach nourishment, fines run off into the sea and make it muddy, so materials with the smallest amount of fines possible should be selected.

(Dynamic) beach nourishment should be set up at the upper side of the sediment transport if possible. Just after beach nourishment the sediment is discharged offshore until stability is obtained (Fig. 4-5). When beach nourishment is executed too far offshore, the amount of sediment that is discharged offshore is increased. To avoid this, nourishment should be executed in the longshore direction. Since the amount of sediment that is discharged in the longshore direction depends on sea phenomena, it is desirable to ensure sufficient beach nourishment at the early stage. The time and amount of the materials that are supplied later should be decided according to cost-effectiveness and the available amount of materials, as well as the state of the coastline. Furthermore, the dam crest height of the (dynamically) nourished beach should be lower than the backshore height, assuming that the materials for beach nourishment should be discharged when the waves are high.



**Fig.4-5 Early discharge of beach nourishment materials**

In examining a nourished beach as a countermeasure against erosion, it is necessary to consider the amount and method of transport of materials for stable supply. Sand bypasses (Fig. 4-6 (a)) and sand recycling (Fig. 4-6 (b)) are mentioned as effective methods of supplying the materials for beach nourishment.



**Fig.4-6 Variation of (dynamic) beach nourishment**

### Section 3 Storm Surge Protection Facilities

#### 3.1 Overview

Planning for the installation of storm surge protection facilities should specify not only the allocation between dikes or revetments and wave-dissipation facilities but also the basic conditions for getting the best disaster-prevention performance out of these structures.

#### Explanation

In storm surge protection projects, the use of distributed protection methods that combine a number of facilities is becoming the norm.

This chapter will select the most suitable combination from the existing ideas for multiple allocations among

dikes or revetments and wave-dissipation facilities and will then specify the conditions for optimizing the disaster-prevention performance of these combinations.

The conditions for the stability of a facility and others will be specified according to the design edition of the *Manual for River Works* (Draft).

### **3.2 Allocation of dikes or revetments and wave-dissipation facilities**

The allocation of dikes or revetments and wave-dissipation facilities should be established based on their safety; economic efficiency; constraints of construction, effects on hinterlands, waters, and beaches; and the status of the neighboring coast.

#### **Explanation**

In planning a storm surge protection facility, it is necessary to comprehensively consider the attenuation of a wave that occurs when it goes up the slope of a dike or revetment, as well as the attenuation caused by a wave-dissipation facility.

Generally, the higher the dike, the greater its disaster-prevention effects. In some cases, however, it is desirable to make the dike as low as possible from the viewpoints of coastal utilization, land use of hinterlands, landscapes, etc. Additionally, the fishery can be lost and the beach width decreased by increasing the allocation of a wave-dissipation facility. Thus, the combination of dikes or revetments and wave dissipating facilities should be decided on after these options have been examined sufficiently in terms of their related coastal environment and utilization.

Although the liner protection method by a combination of dikes or revetments and wave dissipating facilities was conventionally used in many cases, it spoiled the landscape and the beach access, and the non-point protection method that combines dikes or revetments, offshore wave-dissipation facilities, and beach nourishment is often used now. The use of a combination of multiple facilities is tough and profitable from the viewpoint of disaster prevention.

The combination of dikes or revetments and wave dissipating facilities offers a variety of measures for disaster prevention. These disaster-prevention measures are compared from the viewpoints of economic efficiency, coastal environment and utilization, and effects on society to select the most suitable project.

### **3.3 Dikes or revetments**

#### **3.3.1 Types of dikes or revetments**

In choosing the types of dikes or revetments, it is necessary to consider the natural conditions, the level of importance of a hinterland, the neighboring coastal prevention facilities, the status of the land or water surface use, etc.

#### **Explanation**

The types of dikes or revetments can be classified into upright-type breakwaters, mound-type breakwaters, and composite-type breakwaters, according to the gradient of their front slopes. The upright-type breakwaters should

have a gradient of under 10%, and mound-type breakwaters a gradient of over 10%. A breakwater with a gradient of over 30% is called a gentle slope-type coastal dike. In composite-type breakwaters upright-type construction such as a caisson or block is placed on the top of a mound-type structure such as a rockfill mound. Types of dikes or revetments are shown in Figure 4-7.

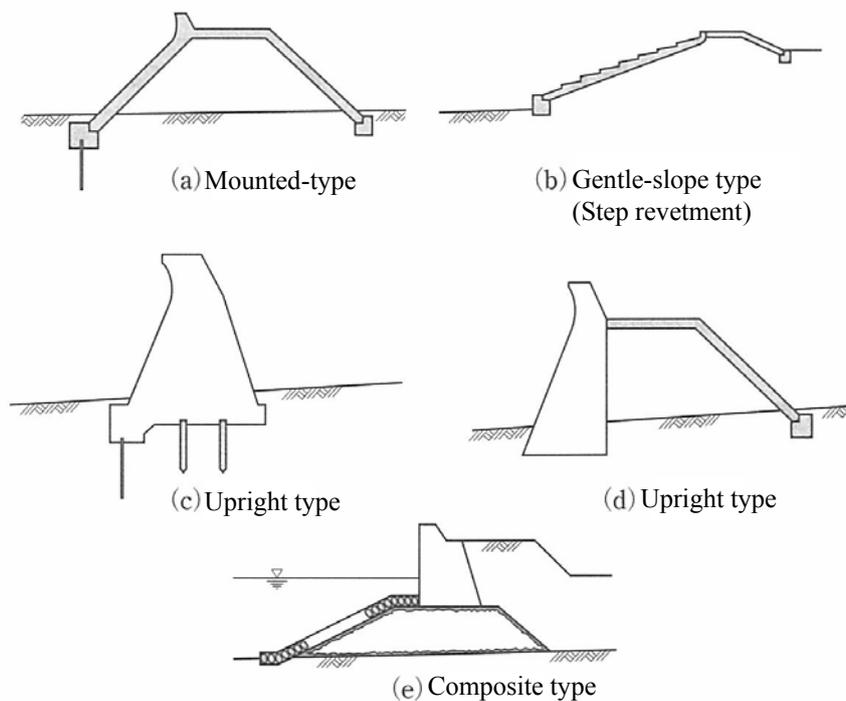
The conditions generally appropriate to each type are given below.

1. Upright-type breakwaters
  - a. Used when the foundation ground is relatively solid
  - b. Used when the site for a breakwater cannot be easily prepared

Since the land side of an upright-type breakwater can sometimes be separated from the seashore, stepladders or ramps have to be set up for access if necessary.

On the seashore, where the foot of the dike is usually exposed to the waves, because the foot of the dike is likely to be scoured by reflected waves, it needs to be protected.

2. Mounted-type breakwaters
  - a. Used when the foundation ground is relatively weak
  - b. Used when the site for the breakwater can be easily prepared
  - c. Used when there is a strong need for utilization of the beach



**Fig.4-7 Types of dikes**

Since the site dike is generally at the beach, the dam base usually covers part of the beach, thus threatening the coastal vegetation.

In terms of the structure of the dike, mound-type breakwaters have an advantage over upright-type breakwaters against waves. If they are constructed on sandy ground near the shoreline, however, erosion and waves are likely to change the foundations of the dike, or reflected waves are likely to scour its foot, so the foot of the dike must be protected. On the other hand, since the foot of the dike is covered by weeds and is likely to be slippery, threatening to make access to the beach difficult, the dike must be well maintained.

When utilization of the beach is the aim, some of the sections of mound-type breakwaters should be set up to allow lines of access by beachgoers and also to suit the slope situation.

3. Composite-type breakwaters
  - a. Used when the foundation is built in the sea and construction is difficult
  - b. Used when the site cannot be easily prepared
  - c. Used when the foundation ground is not very solid

### **3.3.2 Normal line of dikes or revetments**

|   |
|---|
| The normal line should be drawn so gently that it can run parallel to the coastal landform. |
|---|

#### **Explanation**

The coastal landform is commonly harmonized with natural conditions such as the waves or the sediment supply. Thus, drawing the normal line parallel to the coastal landform will lessen its effects on the seashore and thus disperse the waves so that they do not concentrate on a particular point. In contrast, the normal line drawn non-parallel to the coastal landform will transform the beach along the dike, lose part of the sandy beach, and cause wave concentration, so it is necessary to take note of the way to set up a normal line.

When the foot of the dike is under the water, it is likely to be affected by the waves and scoured by reflected waves. Thus, it is necessary to examine a normal line inside the landside area and to consider the need to protect the foot of the dike.

### **3.3.3 Gradient of Foreshore**

|  |
|--|
| The gradient of the front slope should be established by taking into account the stability of the dike and its effects on the coastal environment and utilization. |
|--|

#### **Explanation**

By making the gradient of the foreshore gentler, access to the beach is improved and the runup height reduced, so that even if the height of the dam crest is lowered, the dam base can be widened, sometimes leading to disappearance of the beach. On the other hand, when the toe of the slope is underwater, reflection of the waves

occurs constantly, not only increasing the possibility of scouring but also causing weed overgrowth, which makes the toe slippery and obstructs access to the seashore.

Therefore, in the actual planning, it is necessary to consider the position of the toe of the slope.

### **3.3.4 Design runup height and design wave-overtopping rate**

The design runup height and the design wave-overtopping rate should be properly determined in consideration of the effect of a wave-dissipation facility when the design tide level and the design wave work on a beach that has the parameters of the design beach profile.

#### **Explanation**

The wave runup height varies with the wave height, wave period, the sea-bottom form, the form and water depth of the dike, and the presence of wave-dissipation facilities. Additionally, evaluation of the wave-overtopping rate is also conducted if necessary.

### **3.3.5 Freeboard**

Freeboard should be allowed in dikes or revetments.

#### **Explanation**

In the specification of the height of the dam crest of a dike or revetment, freeboard should be allowed on the basis of the design tide level, the design wave, and the uncertainty of settlement. It should be also specified appropriately in consideration of the social and economical importance of its hinterland, sea phenomena, and topographical conditions.

### **3.3.6 Height of the design dike or revetment**

The height of the design dyke or revetment should be made up of the design runup height and the freeboard. In other words, it is made up of the height whose wave-overtopping rate is less than the allowable overtopping rate, and the freeboard.

The design dike or revetment height should be estimated by considering the economic efficiency, the land use situation of the hinterland, the coastal use situation, the height of the neighboring dike or revetment, etc.

#### **Explanation**

The dam crest height of a dike or revetment varies depending on the parameters of the design coastal profile, the scales of the wave-dissipation facilities, and the gradient of the slope of a dike or revetment, which are combined to offer many options. Generally, as the scale of a wave-dissipation facility is made larger, the height of the dam crest of a dike or revetment is made lower. The low dam crest is favorable to the landscape or coastal utilization but costs more. On the other hand, if the height of the dam crest of a dike or revetment is made larger, the coastal use or environment in the landside area may deteriorate. Additionally, changing the height of a series of dikes or revetments because of the construction of wave-dissipation facilities etc. is not psychologically desirable for the

community. Thus, a certain dam crest height of a series of dikes along the same beach should be determined after a comprehensive consideration of the regional situation.

When the dam crest height needed for the dike or revetment to protect the beach is not socially and economically favorable, the parameters of the design coastal profile and the presence of wave-dissipation facilities or works must be reexamined.

### **3.4 Wave-dissipation facilities**

#### **3.4.1 Types of wave-dissipation facilities**

The type of wave-dissipation facilities should be selected based on the necessary wave-dissipation effects, their effects on the coastal environment (including the landscape) and its utilization, economic efficiency, constraints of construction, etc.

#### **Explanation**

The wave-dissipation facilities include wave-dissipation works, detached breakwaters, and artificial reefs.

The wave-dissipation works exert their wave-dissipation effects by wave reflection at the front of the slope, the attenuation of wave energy due to turbulence that occurs as the waves pass through the inside of the dike, or the decrease in wave runup height due to the roughness of the slope.

Since part of the incident waves are reflected or the wave energy is decreased as the waves are passing through the dam site, detached breakwaters can exert wave-dissipation effects. A wave that flows in from the opening is dispersed by diffraction, and thus the wave height is lowered.

On artificial reefs, the wave height is lowered because the waves are breaking on a slope at the offshore side or on the crest; it is also lowered by the dispersion of wave energy that occurs as the broken waves pass over the shallow-water dam crest.

The wave-dissipation effects of the wave-dissipation facilities are represented by the permeability rate—that is, the ratio of the height of the wave that has passed over the crest to the height of the wave that was in front of it. The wave runup height can be calculated by converting the height of the wave after it has passed, back into the deepwater wave height.

When the scale of a facility is made larger, the load on a dike or revetment is relatively reduced and its effect on the inland area or access to it is also decreased. At the same time, however, the load on the coastal environment and utility can be also increased, which is considered unprofitable from a cost standpoint in many cases. Thus, the question of whether the scale of a facility should be enlarged must be comprehensively considered.

On the other hand, when the sea floor on which the foundation of a wave-dissipation facility is established is lowered by erosion, the wave-dissipation effect is also lowered and the purpose of disaster prevention cannot be accomplished. Thus, the presence or absence of erosion must be confirmed as well.

Meanwhile, artificial reefs do not spoil the landscape, because they are submerged facilities. However, with wave-dissipation works and detached breakwaters, especially of the block type, in which the dam crest is constantly above the water, the deterioration of landscape is more likely to come into question. Thus, a new type of detached breakwater that has a foot and performs better in the landscape than the conventional types has been developed.

In terms of coastal environments, artificial reefs create shallow areas in the sea, which, in turn, produce undersea forests or habitats for natural life forms. Additionally, detached breakwaters sometimes offer habitats for living things that dwell around rocks. Because most of the effects of seacoast preservation facilities on ecosystems remain unknown, it is necessary to properly monitor and understand the changes that occur in the coastal environment after their construction.

In terms of coastal utilization, since an artificial reef with a wide and shallow dam site is likely to obstruct traffic and have detrimental effects on the fishery, careful monitoring is required. Detached breakwaters restrain the utilization of the sea surface. Wave-dissipation works obstruct access to beaches.

In terms of cost-effectiveness, evaluated from only the initial construction cost, detached breakwaters are generally the most profitable. The cost-effectiveness of each facility should be examined not only in terms of the structure, each of which should have the same functionality in terms of coastal protection, environmental conservation, or utilization, but also the lifecycle cost. Thus, it is not appropriate to evaluate facilities only by the initial construction cost.

The constraints of construction depend on the conditions of the ground or waves. For example, on poor ground or homogeneous fine sandy ground, it is necessary to build foundations when a detached breakwater, whose dam site weighs a greater amount, is selected.

### 3.4.2 Detached breakwaters

The plane configuration, dam crest height, and structure of a detached breakwater should be specified such that the necessary wave-dissipation effect can be ensured when the design tidal level and the design wave work on a beach that has the parameters of the design beach profile.

The detached breakwater planning should consider the effects on the shoreline, flow conditions, and coastal environment and utilization.

#### Explanation

Detached breakwaters are divided into continuous and discontinuous levees by landform, and into permeable and impermeable levees by wave permeability. In many cases, permeable and discontinuous levees, whose constraints of construction are commonly less, are used in anticipation that a tombolo can be formed behind them. With continuous levees, it is necessary to take care of water pollution caused by water level rises or seawater retention at the shore side. Impermeable levees are used when a wave-dissipation effect higher than that provided by permeable levees is required.

The sediment transport control effect of a detached breakwater depends on parameters such as the height and width of the dam crest, the depth at which the breakwater is established, the dam length, opening width, distance from the shore, etc.

For the actual examination, see the *Design Manual for Seacoast Preservation Facilities*.

### 3.4.3 Artificial reefs

The plane configuration and the dam crest height of an artificial reef should be specified such that the necessary

wave-dissipation effect can be ensured when the design tidal level and the design wave work on a beach that has the parameters of the design beach profile.

The artificial reef planning process should consider the effect of the reef on the shoreline, the flow conditions, and the coastal environment and utilization.

#### **Explanation**

The wave-dissipation effect of an artificial reef is controlled by parameters such as the depth and width of the dam crest, the depth of the dam foot, the width of the opening, etc.

The mean water level rises on the artificial reef, where incident waves are forcibly broken. As the depth of the dam crest becomes shallower compared with the height of the incident wave, the percentage rise also becomes higher, with the mean water level rising by 10% to 20% of the height of the incident wave on the shore side of the artificial reef. Thus, in the actual planning of wave runup height, it is necessary to consider the percentage rise in water level on the shore side.

In the process of configuration of the plane of an artificial reef, although it is desirable to make the dam site continuous so that wave height distribution cannot occur in a coastal direction, the percentage rise in mean water level can be more efficiently controlled by establishing an opening. The width of the opening needs to be specified so that the wave height behind the dam site cannot exceed that of the dam site.

When the basic type of artificial reef is selected, the interaction among those parameters should be considered carefully so that the reef's required function of wave dissipation can be fulfilled most efficiently as a whole. For the actual examination, see the *Design Manual for Artificial Reefs*.

#### **3.4.4 Wave-dissipation works**

The cross-section of wave-dissipation works should be specified in such a way that the required wave-dissipation effect can be ensured when the design tidal level and the design wave work on a beach that has the parameters of the design beach profile.

Planning for the wave-dissipation works should consider their effects on the coastal environment and its utilization.

#### **Explanation**

The wave-dissipation effects of wave-dissipation works are determined by parameters such as the width of the dam crest, the height above the water surface, the depth of establishment, etc.

However, wave-dissipation works should not be applied, if possible, since they not only obstruct access to the beach but also spoil the landscape and environment. When it is economically or socially difficult to have any other option, however, there is no choice but to apply these works for the purpose of lowering the height of the dam site.

To specify the parameters of the wave-dissipation works, see the *Design Manual for Seacoast Preservation Facilities*.

## Section 4 Tsunami Control Facilities

### 4.1 Overview

The plan for the installation of tsunami control facilities should not only decide the allocation among dikes or revetments and tsunami breakwaters but also develop the basic conditions for getting the required protection performance out of dikes or revetments or tsunami breakwaters while considering the coastal environment and its utilization.

#### Explanation

This section aims to select the most suitable combination from the multiple allocation options of dikes or revetments and wave-dissipation facilities.

For these structures to perform their required functions, the structural conditions must be properly developed.

The conditions related to the stability of the facilities etc. are established according to the *Manual for River Works in Japan (Draft)*.

### 4.2 Allocation among dikes or revetments and wave-dissipation works

The allocation among dikes or revetments and tsunami breakwaters should be decided in consideration of parameters such as their stability, cost-effectiveness, constraints on construction, effects on hinterlands, sea areas, and beaches, and the status of their neighboring beaches.

#### Explanation

It is necessary to determine the tsunami runup height, while at the same time comprehensively considering the attenuation of the tsunami that occurs when it runs up on the slope of a dike or revetment, as well as the attenuation by a tsunami breakwater.

Since the tsunami that has reached the highest-ever water level is set as the design tsunami in many cases, one that has a very high water level can be also targeted, depending on the landform. Therefore, to protect this by a dike alone, the dam crest inevitably becomes very high, possibly obstructing access to the beach or spoiling the environment. Thus, the height of the dam crest can be lowered by using a combination of a dike with a wave-dissipation facility such as a tsunami breakwater, etc.

When revetment improvement is expensive because the bay mouth is small or the coastline long, the introduction of a tsunami breakwater is worth considering. Since this breakwater would prevent the seawater from exchanging within the bay, however, it is necessary to address water quality deterioration.

The combination of dikes or revetments and wave-dissipation facilities can provide a number of ideas for disaster prevention. It is necessary to choose the most suitable prevention idea from among these, while comparing them from the perspectives of cost-effectiveness, coastal environment and utilization, and social effects.

### 4.3 Design tsunami runup height

The design tsunami runup height should be properly determined by considering the effect of a tsunami breakwater when the design tidal level and the design wave work on a beach that has the parameters of the design beach profile.

#### Explanation

The types of dikes or revetments, alignment, and freeboard; the heights of dikes or revetments; and the gradient of the foreshore should follow the description in 3.3.

If the maximum water level at the position of a revetment can be estimated on the basis of the height of a past tsunami mark, which would have been affected by complex ground landforms and constructions such as houses, their hydraulic effects should be sufficiently considered. In conducting numerical simulations of tsunamis, the validity of the calculations must not be confirmed without comparing the results with the heights of the past tsunami marks.

### 4.4 Tsunami breakwaters

Tsunami breakwaters should be planned properly and individually.

#### Explanation

Tsunami breakwaters cannot be generalized, since they are large-scale facilities. If anything, they should be planned separately by using the latest technology.

#### References

- 1) National Association of Sea Coast. *Design Manual for Artificial Reefs*. Supervised by Seacoast Division, River Bureau, Ministry of Construction, 1992:92.
- 2) Japan Society of Civil Engineers. *Design Manual for Seacoast Preservation Facilities*. Editorial Subcommittee of Coastal Engineering Committee, 2000:582
- 3) Seacoast Division, River Bureau, Ministry of Construction. *Design Manual for Detached Breakwaters*, 1986

## Chapter 5 Planning for the Installation of Information Facilities

### Section 1 General Information

Planning for the installation of information facilities should be conducive to the implementation of river, sediment and erosion, and seacoast projects and the sophistication of river administration systems etc. It should include the development of a system for efficiently gathering, managing, and commoditizing hydrological information such as present and past rainfall, water level, and water quality; disaster prevention information in the form of images etc. and other disaster information; basin information related to river utilization etc.; and general public opinions.

Planning should ensure the certainty, reliability, rapidity, and interactivity of information facilities used to issue evacuation warnings so that these warning facilities can be properly arranged by proper methods. Notably, for information related to landslide disasters, a system that allows interactive messages between community members and administrative organizations should be developed.

### Explanation

In the preparation of projects for rivers, sediment and erosion control, and seacoasts, hydrological observation data of past floods and droughts and environment data are necessary as basic sources. It is necessary to accumulate time data for each flood, annual day-unit data, short-time rainfall data every 10 minutes, etc. as hydrological observation data, and to maintain their quality. Additionally, the data should be basically developed as GIS systems that allow multiple indications to be connected by maps, in order to conduct runoff analyses or flood simulations with topographical or construction data or to facilitate indication-processing descriptions. The data management method must then be specially determined and the performance of information-gathering instruments improved, for example, by introducing an automatic updating system.

On the other hand, from the viewpoint of management of rivers etc., it is especially important to gather information on both the present situation and future predictions to determine what countermeasures should be taken in a time of disaster. Thus, to gather, develop and provide river information, the system needs to be formed to meet a need from the viewpoints of the relevant organizations and bodies and to share necessary information provided by them.

Above all, in the case of landslide disasters, which are likely to involve human lives, because the discovery of premonitory signs and communication are the keys to reducing damage, it is necessary to construct a system that allows community members and administrative organs to interactively communicate.

### Section 2 Collecting Information and Ordering, Provisioning, and Commoditizing the System

In the preparation of systems for collection and ordering by the offering and sharing of information about rivers, sediments and erosion, seacoasts, etc., the following items should be examined:

- Development of a system for gathering information, data, etc.
- Development of a database whose quality is ensured
- Construction of a network for sharing information and data.

### **Explanation**

Information- or data-gathering instruments, such as CCTV, have been introduced in recent years to gather image information. Information outlets and backslope sliding and debris detectors that work with flood protection and evacuation on warning, as well as hydrological information equipment (water gauges, rainfall recorders, radar rain gauges), have conventionally been used for river management. It is necessary to effectively arrange these items depending on the purpose and to then gather information.

For the information to be offered to and shared with the general public, it is important that the quality of the data be maintained. Thus, the information acquired must be examined on the assumption that it will be available to the general public and its quality must be maintained. In order to share information with the general public, it is necessary to develop the system into something more available to the public by computerizing the collected information or data. Furthermore, it is also important to foster an environment in which opinions are exchanged more actively, by sharing information with the general public.

In addition to conventional general public lines and dedicated wireless services, dedicated fiber-optic cables and satellite connections are useful as methods of communicating and sharing information and data. It is important to construct an effective information-sharing system by forming a network for any purpose between the relevant organizations and bodies.

### **Section 3 System for Gathering Information, Data, etc.**

In addition to the system for gathering hydrological information such as rainfall and water levels, image information and information on construction-operating conditions etc. are useful as countermeasures in times of disaster. Thus, it is necessary to examine the development of the disaster-information gathering system, including CCTV, sensors, information outlets, etc. Moreover, in terms of landslide disasters, it is also necessary to examine the development of mudflow detecting sensors and landslide monitoring instruments, which are required to construct a system for prompt evacuation on warning.

### **Explanation**

In areas that have been frequently hit by flood damages in recent years or to which flood protection will have to be selectively applied, the establishment of CCTV, water level sensors, etc., needs to be examined.

To confirm the safety within a river course at the time of dam discharging, the establishment of CCTV should be considered in places where there may be considerable delay between the warning point and the hazard location, such as in the basins of mountain streams or in places that are likely to have blind spots.

Meanwhile, it is important to develop slope-sliding detection sensors that can be interlocked with flood-fighting works, information outlets by which information can be sent from disaster sites, and optic-fiber networks.

In particular, in cases where a secondary disaster may be caused by slope collapse etc., it is necessary not only to construct a warning and evacuation system but also to establish debris flow sensors or landslide monitors that can assess sediment movement.

## Section 4 Development of a Quality-assured Database

It is necessary to formulate a system for dividing the basic data required by the general public into real time data (quick estimation) and accumulated data (established estimation) and to then develop the data and offer them in the form of a database. Since a system for gathering and providing real-time data is important for mitigating disasters in time of flood, it is necessary to take the speed and certainty of the system into consideration. In terms of accumulated data, quality maintenance and availability to the general public should be considered.

### Explanation

In setting up river, sediment and erosion, and seacoast projects, data on the hydrological observation of previous floods, droughts, landslide damage, environments, etc. are basic necessities. Also, the planning should provide for accumulation of time-stamped data for each flood and annual day-unit data, maintaining their high quality. To reduce damage in times of flood, it is important to promptly provide the general public with both situation reports of hydrological observation data (such as rainfall rate, water level, etc.) and information on forecasts. In this way, because some items of hydrological information that are offered take priority over others, depending on their purpose, it is necessary to construct a database from the different viewpoints of real-time data (quick estimation) and accumulated data (established estimation).

In gathering and developing the real-time data, it is especially important to gain data on the present situation and for the future, in order to determine which countermeasures should be taken in times of disaster. In gathering and providing the real-time data, it is necessary not only to satisfy needs from this viewpoint but also to have a system by which information can be shared with medical agencies.

In terms of accumulated data (e.g. hydrological observation data), it is necessary to accumulate time data on each flood and annual day-unit data, while ensuring their quality. In terms of availability to the general public, the data must be basically developed as a GIS system. It should allow runoff analysis or flood simulation interlocked with topographic or construction data, or multiple indications interlocked with maps to make indication-processing easy to explain. Then, attempts should be made to improve the performance of information-gathering instruments; for example, the introduction of an automatic updating system should be attempted and a specialized data management method should be devised.

The basic approaches to the development of a river GIS are given below.

#### a. Basin and river base maps

The development of basin and river basement maps of 1/25,000 and 1/2,500 is attempted so as to gather on GIS the data needed for flood simulation, runoff calculation, and analysis of hydrological observations or environmental information. To transform the basement maps into 3D imaging, the following procedures are followed: 1) feed in the 50-m mesh elevation data that have been developed to make hazard maps; 2) in mapping inundation risk area of different return periods by using a laser profiler, feed in the finer mesh elevation data; and 3) in an area where micro-landform elevation data are to be gathered by laser profiler (e.g. a low-lying area within a city that requires coercive drainage by pumps and extremely precise simulation of flooding with or without a dike), feed in the micro-landform elevation data gathered by laser profiler.

b. Data on the present status of the river

In terms of river longitudinal/cross section, construction parameters and drawings, disaster situation, etc., construction diagrams updated during the building, maintenance or repair of a structure, and diagrams of permitted structures, should be developed in such a way that they can be computerized as CAD data and managed on GIS connected to CALS/EC.

c. Hydrological and water quality data

The real-time data (quick estimation) or accumulated data (established estimation) related to hydrological and water quality data should be developed in such a way that they can be shown in comparison with the past data on GIS.

On the other hand, since the use of weather radar data plays an important role in real-time emergency management (for example, in flood forecasting), the quality of the data should be unified nationwide. A system should be developed so that weather data that is revised by the analysis of ground rainfall gauge data can be offered in real time. The accumulation and development of past weather radar data are conducted according to the plan.

d. Data related to river environment information

Data should be developed in such a way that the variation with time of information related to important habitats and growing environments of plants and animals can be described on GIS. This makes it possible to grasp the overall environmental characteristics of the target river, its particular attributes, and its important habitats, all of which are conducive to river improvement and environmental management. (Such management includes the preparation of plans, the construction process, maintenance and management, conservation of habitat, permission for occupancy, etc.) It is necessary to support the activities of community members in river environmental conservation.

e. Dam data, hydrological observation data related to dams, environmental information related to dams, etc.

Dam data such as storage capacity and outflow discharge, hydrological data related to dams, and environmental information are developed in such a way that they can be indicated in comparison with past data on GIS.

f. Data related to water cycle information

To create a register of water utilization, the data should be developed in such a way that they can be represented on GIS.

In basins where, despite particularly high levels of water utilization, some problems with the construction of a sound water cycle remain unsolved, information related to the water cycle (such as the type of system and the amount of intake and drainage), as well as the data mentioned above, should be developed. For that

purpose, it is necessary to standardize the data structure and to construct a framework for sharing the information among relevant organizations and bodies.

- g. Information related to areas prone to landslide disaster  
Information about debris-flow-prone torrents, landslide-prone places, and places prone to steep slope failure should be developed.

At the same time, it is desirable to develop data on the peripheral topography, geology, and natural conditions such as vegetation; social conditions such as housing and public facilities; past disaster occurrence situations; erosion control design areas; erosion control facilities, etc.

### **Section 5 Construction of a Network for Sharing Information and Data**

The construction of high-speed networks such as ultrafast large-capacity fiber-optic networks should be examined among the relevant organizations and bodies for disaster prevention. Commoditization of disaster-prevention information and the mutual exploitation of the latest data need to be attempted using high-speed networks. It is also necessary to construct a system by which the relevant organizations and bodies can promptly and effectively deal with disasters in a time of disaster. Additionally, the information should be widely provided to the general public over the Internet etc.

The provision of real-time data is important for the purpose of reducing damage in times of emergency. The system should be such that real-time data can be promptly, precisely, and clearly provided to the general public and the relevant organizations and bodies. Moreover, it is necessary to construct a system that can provide information from multiple media while working with the relevant organizations and bodies, on the assumption that communication may be blocked in time of disaster.

Accumulated data should be conveyed to members of the general public by providing them with maps of probable flood-prone areas or hazard maps so that they can prepare themselves for an emergency on a daily basis. At the same time, it is also important to provide both daily data on water levels and water quality, and on the status of the river environment and its utilization, and past data, so that the general public can take advantage of this when carrying out various activities. Thus, the information service needs to be conducted by a system that is available to them.

#### **Explanation**

(Emergency)

From the viewpoint of reducing damage in a time of disaster, it is particularly important to promptly and precisely determine the rainfall, water level, and other river information, such as the river course status, and to share future prediction information among the relevant organizations and bodies. In gathering, developing, and providing river information, it is necessary to satisfy needs from the viewpoints of the relevant organizations and bodies and to construct a system by which the information can be shared among these bodies.

Therefore, it is effective to construct a high-speed network such as an ultra large capacity optic-fiber network

that can transmit image information and the results of flood simulations to the relevant disaster-prevention agencies.

Additionally, the high-speed network should be constructed in consideration of security against virus entry, backup, the effective arrangement of servers, etc.

Since a fast information service is important to prevent disasters and reduce the damage they cause, river administrators, erosion control implementation bodies, and seacoast administrators have to develop a real-time data information service system by which they can grasp the information and provide it to the general public.

For the information service, it is important to indicate the present situation and predicted values by processing them into what is easy for the general public to understand. Additionally, since this information needs to be provided by a variety of media, such as those in related municipalities, mass media, the Internet, information bulletin boards, recorded telephone messages, etc., the system must be prepared from various perspectives on a regular basis.

In terms of network construction, backup for emergencies is also considered.

(Ordinary times)

For smooth evacuation and flood protection in times of disaster, information on inundation-hazard areas, the behavior of flood flows, volcano hazard maps, etc. must be conveyed to the general public through the Internet.

On the other hand, there is a common view that rivers are habitats, places for walking and sport, and important factors in forming the cultural climates of communities, as beautiful natural environments that change from season to season. This common view is very important for conducting proper river administration. Additionally, to support these various public activities, it is necessary to develop a system to provide people with related information, considering the roles of rivers as areas for civil activities or events related to rivers and environmental education. Moreover, it is also necessary to supply the public with daily observation data on hydrology, water quality, and the environment; this will help the general public to monitor or participate in river administration and will encourage them to embrace research activities.

## Afterword by the translators

This English version of the technical note is the translation of "The Japanese Ministry of Land, Infrastructure, Transport and Tourism's Technical Criteria for River Works: Practical Guide for Planning," published in November 2005. The original Japanese copy was edited by the Japan River Association under the editorial supervision of the River Bureau of the Ministry of Land, Infrastructure, Transport and Tourism. The English version resulted from a joint effort made by the River Division of the River Department of the National Institute of Land and Infrastructure Management (NILIM) and the Disaster Prevention Team of the International Centre for Water Hazard and Risk Management (ICHARM), Public Works Research Institute (PWRI). Through the process of editing the initial draft prepared by a translation agency, we made considerable efforts to find and use internationally understandable terminologies and expressions instead of literally translating those only used in Japan. In general, however, we tried to put the original Japanese sentences into English as faithfully as possible with few additional technical explanations. In this sense, manual users should know that to gain full understanding of the contents, they may need other background knowledge which is not described in this version.

We hope that this English version of the technical note will be used internationally in a variety of situations, for example, as a textbook for trainees and a reference to improve river management practices

River Division, NILIM

Disaster Prevention Team, ICHARM, PWRI

あとがき

本資料は、平成17年発行「国土交通省 河川砂防技術基準 同解説 計画編」の翻訳である。この翻訳は、国土技術政策総合研究所河川部河川研究室と土木研究所水災害・リスクマネジメントセンター防災チームが共同で行ったものである。翻訳にあたっては、翻訳会社による一次翻訳を元に必要な修正を加える形で進めた。その際、日本の河川行政を知らなければ理解できない独自の単語やフレーズは日本語表記に囚われず、適切な英語表記に置き換えることとした。ただし、原文の追加説明のための新規文の挿入などは行わず、できるだけ原文に忠実に翻訳した。

この翻訳が、研修テキスト等として活用されることを通じて、読者の国の河川行政の参考になるところがあれば幸いである。

国土技術政策総合研究所河川部河川研究室

土木研究所水災害・リスクマネジメントセンター防災チーム